



King County

**CONFIRMATION PACKET
FOR
RICK BRATER
AS THE DIRECTOR OF THE ROADS DIVISION
KING COUNTY DEPARTMENT OF
LOCAL SERVICES**

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SECTION A

- Letter from Executive Dow Constantine to King County Council Chair Rod Dembowski to Announce Appointment
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January 23, 2019

The Honorable Rod Dembowski
Chair, King County Council
Room 1200
C O U R T H O U S E

Dear Councilmember Dembowski:

I am pleased to transmit for the King County Council's approval the attached motion confirming Rick Brater as the Director of the Roads Division of the King County Department of Local Services. A full set of confirmation documents will be submitted to the Council under separate cover.

With the Council's approval, Mr. Brater will lead the Roads Division at an important time in its history. Beginning January 1, 2019, the Roads Division moved from the Department of Transportation to the newly created Department of Local Services.

The Department of Local Services will be a conduit for enhanced communication between community members in the unincorporated areas of King County and the King County agencies that provide local services. The goal in creating the Department of Local Services is to serve the County's Strategic Plan by following the guiding principle of providing quality local government in the form of effective, efficient local governance and services to unincorporated areas. It also speaks to the strategic plan's goal and objective of "Efficient, Accountable Regional and Local Government" by ensuring that County government operates efficiently and effectively and is accountable to the public by aligning funding, policy, and operational goals of King County government with community priorities; optimizing County operations through innovation and continuous improvement; delivering consistent, responsive, equitable, high-quality services to residents, cities, and districts; exercising sound financial and risk management; and building King County's long-term fiscal strength.

On October 1, 2018, Mr. Brater was appointed the interim director of the Roads Division of the Department of Transportation. When the Department of Local Services began work on January 1, 2019, he moved in his role with the roads division to the same role in the new department.

The Honorable Rod Dembowski

January 23, 2019

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Mr. Brater has worked for King County for over 17 years. He has extensive experience working successfully with a wide range of stakeholders, including councilmembers, mayors, regulatory agencies, media, tribal interests, employee groups and not least, residents. His ability to successfully communicate and collaborate with these diverse interests demonstrates his ability to manage complex and critical initiatives in the interest of King County.

Mr. Brater's experience and skills suggest he is well prepared to lead the Roads Division as it implements a cultural shift to a more locally centered focus. It is my great pleasure to request the confirmation of Rick Brater as the Director of the Roads Division of the King County Department of Local Services.

Sincerely,

Dow Constantine
King County Executive

Enclosure

cc: King County Councilmembers
 ATTN: Carolyn Busch, Chief of Staff
 Melani Pedroza, Clerk of the Council
Whitney Abrams, Chief People Officer, King County Executive Office
Dwight Dively, Director, Office of Performance, Strategy and Budget
Jay Osborne, Director, Department of Human Resources
John Taylor, Director, Department of Local Services
Rick Brater, Director Designee, Roads Division, Department of Local Services

Date Created:	11/8/18
Drafted by:	Richard Hayes, Sr. HR Policy Advisor
Sponsors:	
Attachments:	

1 ..Title

2 A MOTION confirming the appointment of Rick Brater as
3 the director of the roads division of the department of local
4 services.

5 ..Body

6 BE IT MOVED by the Council of King County:

7 The appointment of Rick Brater as the director of the roads division of the
8 department of local services is hereby confirmed.



Washington State

County Road Administration Board

2404 Chandler Court SW, Suite 240 Olympia, WA 98502

www.crab.wa.gov 360-753-5989 Fax: 360-350-6094

January 30, 2019

The Honorable Rod Dembowski
Chair, Metropolitan King County Council
516 Third Avenue, Room 1200
Seattle, WA 98104

Chairman Dembowski:

I write this letter in wholehearted support of Mr. Rick Brater, PE, who is nominated by King County Executive Dow Constantine to serve as the Director of the Roads Division of the King County Department of Local Services.

I have been acquainted with Rick for over twenty years and have always found him to be the consummate professional engineer and administrator. Not only has Rick been an excellent representative for King County at Washington State Association of County Engineers events, but also he brings a special understanding of large county issues to the attention of the Association. His insight into the Puget Sound Region's Rural Arterial Program and his obligation to meeting County Road Administration Board's Standards of Good Practice in the challenging regulatory environment are indeed noteworthy.

Rick's dedication to working with diverse community groups, his commitment to excellent customer service, fair administration of funding programs, and leading his team in a complex union environment speak to Rick's professionalism. He has always addressed any of our concerns with openness and transparency to ensure King County meets the highest standards of professional management practice.

Mr. Brater will serve you and the King County Council superbly if given the opportunity to serve as the Director of the Roads Division for the King County Department of Local Services.

Sincerely,

Walter 'Walt' R. Olsen, PE
Deputy Director
Washington State County Road Administration Board

Cc: Whitney Abrams, Chief People Officer



King County

Dow Constantine
King County Executive

January 30, 2019

The Honorable Rod Dembowski
Chair, Metropolitan King County Council
516 Third Avenue, Room 1200
Seattle, WA 98104

Dear Chair Dembowski,

I am honored to recommend to you the Executive appointment of Rick Brater as King County's new Director of the Road Services Division.

As the former Division Director, I worked closely with Mr. Brater for six years, and in our working partnership we were able to successfully address extraordinarily difficult conditions and challenges.

During that time, he managed the engineering functions of Roads, worked in the statutory role as the County Road Engineer, and was responsible for all critical engineering and safety decisions regarding county roads and bridges. Rick was the guiding force in the management of once in a lifetime bridge projects, including the construction of the South Park Bridge, emergency repairs to the sole access Baring Bridge, and remedying design defects on the Tolt Hill Bridge.

In addition to guiding projects, addressing emerging issues, and as spokesperson for the county on critical road engineering matters, he was the lead expert in the division's successful effort to rewrite the county code governing roadways. A wholesale evaluation of this critical law had not previously been undertaken, and it took several years to accomplish.

Rick has an excellent understanding of technical issues, options and strategies to address problems. He is able to combine technical knowledge with an understanding of policy and politics that results in very smart recommendations to address engineering/project/emergency conditions.

He has built strong working relationships with other division directors, department heads and elected officials within and outside of the county. Mr. Brater communicates well one on one, on camera, in public meetings, and in presentations to constituent groups. Rick understands his role in the organization both as a leader and team member, and works well with staff and labor representatives.

Honorable Rod Dembowski
January 30, 2019
Page 2

Mr. Brater has a deep understanding of the work, the infrastructure, and the communities served. I hope that I can continue to work with him in this new capacity. I would be happy to discuss my recommendation further.

Sincerely,

A handwritten signature in black ink, appearing to read "Brenda Bauer". The signature is fluid and cursive, with the first name "Brenda" and last name "Bauer" clearly distinguishable.

Brenda Bauer
Deputy Chief Operating Officer
Interim Director, DAJD

cc: Whitney Abrams, Chief People Officer

January 31, 2019

The Honorable Rod Dembowski
Chair, Metropolitan King County Council
516 Third Avenue, Room 1200
Seattle, WA 98104

Dear Chair Dembowski:

I am writing to endorse the appointment of Rick Brater for Director of the Road Services Division of King County Department of Local Services.

I have known Rick since 1992, when we worked together at the Washington State Department of Transportation, in the Washington State Ferries. Later, in 2001 when I was the King County Road Engineer, I recruited Rick to lead the Engineering Services Section at the Road Services Division. I knew from my previous experience at Ferries that Rick would provide the leadership needed to deliver an ambitious capital program at the time. Rick quickly established his leadership of Engineering Services, and was instrumental in overseeing delivery of some of the County's most critical capital projects, including the South Park Bridge, and Novelty Hill Road projects.

I believe Rick's experience at the Washington State Department of Transportation, and at King County have prepared him for this role. He is a committed public servant who will manage the County's resources efficiently, and understands the needs of the communities served by the County. Importantly, Rick is a leader who knows how to create a vision for the Road Services Division, and has the respect of people he works with, and the communities that he engages with routinely.

Sincerely,



Ron Paananen, P.E.
Vice President
HDR Engineering, Inc
999 – Third Ave, Suite 2200
Seattle, WA 98104
ron.paananen@hdrinc.com
206-402-7283

Cc: Whitney Abrams, Chief People Officer, King County



King County
Department of
Natural Resources and Parks
Director's Office
King Street Center
201 S Jackson St, Suite 700
Seattle, WA 98104-3855

February 4, 2019

The Honorable Rod Dembowski
Chair, Metropolitan King County Council
516 Third Avenue, Room 1200
Seattle, WA 98104

Dear Chair Dembowski:

I am writing you in support of King County Executive Dow Constantine's nomination of Rick Brater to serve as the Director of the Roads Division of the King County Department of Local Services.

Although I have known Rick for a long time, I have had many opportunities to work with him since becoming the Director of the Department of Natural Resources (DNRP) over 8 years ago. Rick has been a leader in partnering with DNRP on drainage, fish barrier removal, stormwater permitting and emergency response. Many of these projects and programs are complex and financially challenging but Rick has demonstrated strong problem solving skills, collaboration and an openness in looking at programs from different perspectives. Rick's deep understanding of the road system and his willingness to work on any issue has made him our "go to guy" on numerous occasions; responding to drainage and road failures, protecting the public and property during flooding and landslides and keeping our facilities accessible during storms. Rick has helped to get teams from different labor unions working side by side during emergencies and also in our day to day work.

In addition to being an excellent partner and collaborator, Rick has excellent communication skills that enable him to be effective in technical discussions, policy debates, and meeting with members of the public.

Roads has many challenges and I look forward to continuing to work with Rick. He is trustworthy, knowledgeable and always willing to help. I encourage the Council to confirm his nomination.

Sincerely,

Christie True
Director

cc: Whitney Abrams, Chief People Officer, King County Executive Office



**Washington State
Department of Transportation**

Northwest Region
15700 Dayton Avenue North
P.O. Box 330310
Seattle, WA 98133-9710
206-440-4000
TTY: 1-800-833-6388
www.wsdot.wa.gov

February 6, 2019

The Honorable Rod Dembowski
Chair, Metropolitan King County Council
516 Third Avenue, Room 1200
Seattle, WA 98104

Dear Councilman Dembowski:

This letter is provided in support of Mr. Rick Brater for the position of Director of the Roads Division of the King County Department of Local Services. It has been my pleasure to know and to work with Mr. Brater for the past 25 years as he has served the residents of King County and the State of Washington.

It has been my experience that Mr. Brater conducts himself with professionalism and consistently treats others with dignity and respect. He has always been a good steward of the trust of the communities he works with, as well as working with his peers and partners to fairly consider all options, and striving to deliver outcomes in the best interests of the stakeholders and traveling public.

Mr. Brater is an accomplished engineer and manager who has earned the respect of his staff and peers. He has developed and managed high-performing teams to efficiently and effectively deliver projects and manage the programs entrusted to them.

I enthusiastically support Mr. Brater for this position as I feel he has the skills, experience, and leadership to continue to be an asset to King County. Feel free to contact me if you have any questions or require additional information.

Sincerely,

A handwritten signature in black ink that reads "Michael A. Cotten".

Michael A. Cotten, PE, DBIA
WSDOT NW Region Administrator

cc: Whitney Abrams, Chief People Officer



King County

Fleet Services Division

Department of Executive Services
King Street Center, KSC-ES-0822
201 South Jackson Street, Room 822
Seattle, WA 98104-3856

206.477.3880
TTY Relay: 711

February 6, 2019

The Honorable Rod Dembowski
Chair, King County Council
Room 1200
COURTHOUSE

Dear Councilmember Dembowski,

I am writing to express my support of County Executive Dow Constantine's nomination of Rick Brater as the Director of the Roads Services Division in the Department of Local Services.

Rick has been a dedicated steward of our roads and resources since he joined the County in 2001. He has delivered large, complex projects such as the South Park Bridge replacement and Novelty Hill Road widening. He's had to make tough decisions as the County Road Engineer, such as closing bridges and roadways that have become unsafe. Above all, Rick has always put the safety of the drivers and the integrity of the County at the forefront in his decision making, even when unpopular. Rick collaborates with the County's constituents respectfully and honestly and exemplifies the County Executive's mission of providing excellent customer service.

I've had the pleasure of working with Rick since he started working for King County. In that time, I've appreciated his analytical nature and his ability to step back and see the long-term vision of the County's road system. I look forward to continuing to work collaboratively with Rick in this new role.

Sincerely,

Jennifer Lindwall
Director, Fleet Services Division
Department of Executive Services

cc: Whitney Abrams, Chief People Officer

SECTION B

- Letter from King County Department of Local Services Director, John Taylor, appointing Rick Brater to the position of Director of the Roads Division, King County Department of Local Services
- Letter from Rick Brater, to King County Chair Rob Dembowski, accepting the position of Director of the Roads Division of the King County Department of Local Services, and Acknowledgement to Comply with County Code Provisions
- Resume



King County

Department of Local Services

John Taylor, *Director*
KSC-LS-0815
201 South Jackson Street
Seattle, WA 98104-3856
206.477.3800 TTY Relay: 711
www.kingcounty.gov/kcdls

January 14, 2019

Rick Brater

Dear Rick:

Congratulations! This letter confirms the details of your appointment to Division Director with the Road Services Division in King County's Department of Local Services Services. The date of your appointment is January 1, 2019. Your annual salary in this non-represented position is \$184,416.54, (Range 87, Step 8), which has an hourly equivalent of \$88.6618. This position is designated exempt from the Fair Labor Standards Act, which means that you are not eligible for overtime pay. You will report to me. Your primary work location is in King Street Center located at 201 S. Jackson Street, Seattle, WA 98104. Regularly scheduled hours for this position are Monday through Friday, from 8:00 a.m. to 5:00 p.m.

This is an exempt position, which is defined by the King County Personnel Guidelines as any position that is not a career service position. Exempt positions are positions to which appointments may be made directly. Exempt employees serve at the pleasure of the appointing authority. Any future pay increases, excluding cost of living adjustments, will be at my discretion.

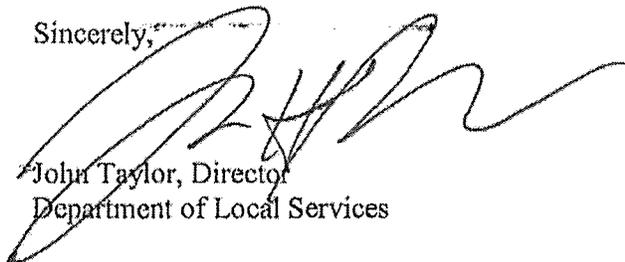
Under King County Administrative Policies and Procedures PER 8-1-1 (AEP), those who are FLSA exempt employees and therefore not entitled to overtime pay are eligible for Executive Leave, under certain circumstances. Executive Leave may be granted to the eligible employee if the employee works "substantially in excess of the standard work schedule...on an ongoing, regular basis." Executive Leave is granted for the calendar year and is limited to a maximum of 10 days – unused Executive Leave does not carry over to subsequent year. Based on the knowledge of your projects and work load, and the extra hours you frequently must put in to effectively accomplish the work peaks and project deadlines your job entails, I have approved 10 days (not to exceed 80 hours) of Executive Leave for your use for the 2019 calendar year.

Rick, I would like to congratulate you on being selected for this position in the Department of Local Services! Please understand that the provisions of this letter do not constitute an expressed

Rick Brater
January 14, 2019
Page 2

or implied contract, but simply a statement of several things important to you. If you have any questions, please call me at (206) 477-4602.

Sincerely,

A large, stylized handwritten signature in black ink, appearing to read 'John Taylor', is written over the typed name and title.

John Taylor, Director
Department of Local Services

cc: Mary F. Louis, Human Resources Manager II
Melinda Dickie, Sr. Human Resources Analyst, Road Services Division, Department of
Local Services
Personnel File



King County

Department of Local Services
Road Services Division

January 16, 2019

The Honorable Rob Dembowski
Chair, King County Council
Room 1200
COURTHOUSE

RE: Acknowledgement to Comply with County Code Revisions

Dear Councilmember Dembowski:

I am pleased to accept the King County Executive's appointment to the position of Director of the Roads Division of the King County Department of Local Services. Pursuant to King County Code Section 2.16.110(E) (5), please accept this letter as my acknowledgement that the Council's confirmation process may require the submittal of information relating to my background and expertise.

I look forward to receiving the Council's consideration for confirmation.

Sincerely,

Rick Brater
Division Director Designee

cc: King County Councilmembers
John Taylor, Director, Department of Local Services

Richard A. Brater

Seattle, WA 98112 | (206) 477-3601

SKILLS

- Strategic Leader
- Transportation Infrastructure Expertise
- Creative Problem Solver
- Effective Communicator
- Build Partnerships

PROFESSIONAL EXPERIENCE

King County Road Services Division

2001 to Present

Director, 2018 to Present

Responsible for the King County Unincorporated Area Roads, a regional transportation system consisting of 1,500 miles of roads, 182 bridges, valued at over \$40 billion in assets, with a \$275 million Biennial budget, 400 staff and serving the region and King County with a system having on average more than 1,000,000 trips per day.

- Seamlessly provide new and effective leadership to a large, highly unionized and complex transportation organization by leading teams, meeting with the Labor Management Committee and providing direction by working with all levels of the County from front line staff to elected officials.
- Successfully lead the Division through the 2019 – 2020 Biennial Budget by working collaboratively with Executive, Department and Council Leadership, resulting in a budget that meets the Division's strategic goals by effectively utilizing our limited financial resources.
- Build positive relationships with all staff through employee outreach and engagement addressing questions and concerns regarding new leadership, the 2019 – 2020 budget and transition to the Department of Local Services to foster a culture of clear and open communication within the Road Services Division and ensure staff concerns are answered and addressed.
- Complete and implement the Division Strategic Climate Action Plan (SCAP) plan setting a sustainable approach to delivering capital projects and operations. The division has met or exceeded countywide climate goals.
- Successfully worked with Council Auditor to resolve or begin implementation on 2014 Pavement Preservation Audit.

Richard A. Brater

Seattle, WA 98112 | (206) 477-3601

County Road Engineer, 2013 to Present

- Develop and implement a risk based asset management system that ensures the safety for the users of the road network, maximizes the effectiveness of road fund investments and slows the decline of the system to the greatest extent possible.
- Represent and negotiate financial, administrative, and politically sensitive King County Transportation issues. Make presentations and briefings regarding section and Capital Improvement Program (CIP) issues to the King County Executive, King County Council, City Councils, other agencies and the public.
- Develop complex budgets to implement organizational strategic goals, business plans, using risk based programs to maximize the investments into the King County roadway infrastructure to provide safety, regulatory compliance and preservation through priority array and data driven information.
- Developed and implemented a comprehensive traffic operations safety program one of the most highly traveled counties in the state.
- Develop, propose and start a new Bridge Safety program addressing the County's highest risk bridges.
- Comprehensively updated and revised portions of King County Code Title 14 Roads and Bridges and recodified Title 46 Traffic Code to be consistent with state law, current standards, and recent court decisions; modernize King County practices; repeal obsolete sections; and provide better public access to information and code.
- Respond to new regulations and criteria for bridge and roadway infrastructure such as the development and implementation of a bridge load rating program for the 182 county bridges to comply with new Federal load rating requirements.
- Build a strong and supportive relationship with the County Road Administration Board. Effectively communicate with media TV, radio and print on roadway issues, and controversial projects such as implementing rumble strips on Vashon Island and safety projects such as the Novelty Hill Safety Enhancement Project, a wildlife crossing over Novelty Hill Road.
- Successfully build meaningful partnerships and relations with elected officials, other jurisdictions, communities and individual citizens requiring strong communication and interpersonal skills through project outreach programs, community associations, citizen inquiries/responses and stakeholder partnerships.
- Completed dozens of capital improvements in traditionally underserved communities such as North Highline/White Center and Skyway/West Hill including the \$175 million award winning South Park Bridge. This included innovative outreach for the project including partnering with community organizations and providing project information and updates in numerous languages.

Richard A. Brater

Seattle, WA 98112 | (206) 477-3601

Engineering Services Section Manager, 2001 to 2013

- Lead the successful replacement of the South Park Bridge, a \$160 million project that required building relationships with a diverse ESJ community, partnerships with the Muckleshoot Tribe, Federal Highway Administration, Washington State Department of Transportation, City of Seattle, Port of Seattle and the City of Tukwila along with other key stakeholders. Delivering a new moveable bridge located in a superfund site that has listed species at the location of the bridge.
- Responsible for leading, directing, planning and evaluating multiple engineering, bridge, environmental, construction, support and administrative units. Develop and implement policies and procedures, which reflect organizational and administrative requirements.
- Lead overall engineering program management, project development, for large complex Capital Improvement, Seismic Rehabilitation, Bridge Condition and Maintenance, Overlay, Drainage and Fish Passage programs.
- Lead the development and delivery of multiple programs in a complex and sometimes conflicting political, financial, multi-jurisdictional and environmentally sensitive arena of stakeholders, elected officials, tribes, local governments, citizens, and interest groups. Deliver these programs in an open and accountable fashion that ensures effective and efficient use of the public's tax dollars.
- Set goals for scope, schedule and budget and monitor the performance of projects in the delivery of the most projects in both value and number in the Road Services Division's history.
- Lead and direct the work of professional engineering, technical, analytical and support staff. Provide leadership and serve as a mentor in workplace policies, public services and professional development. Manage and direct the development of work plans and determine the staffing and resource needs to deliver the Section's programs and services. Establish performance expectations, identify and develop and implement staff training programs.
- Determine feasibility of projects and programs for construction through analysis of topography, land use requirements, traffic analysis, safety needs, engineering requirements, project economics, and environmental and sociological impacts.

Richard A. Brater

Seattle, WA 98112 | (206) 477-3601

Washington State Ferries

1992 to 2001

Terminal Engineering Program and Design Manager, 1999 to 2001

- Lead, develop and implement the Terminal Capital Improvement and Maintenance programs utilizing life cycle cost models, organizational priorities, financial constraints, operations input, legislative input and condition assessments. Develop two, six and 20 year capital programs and budgets. Deliver these programs in very environmentally sensitive locations with complex design and construction demands from the public and Washington State Ferries (WSF) staff. Integrate cost accounting systems with scheduling software to provide clear measurable performance of project and program delivery.
- Represent WSF in presentations to the Washington State Joint Legislative Transportation Committee regarding projects and programs, San Juan and Kitsap County Commissioners, Planning Commissions and Judicial bodies regarding shoreline hearings, master plans and long range plans for various WSF projects.
- As a member of the Terminal Engineering management team responsible for the reorganization of the Terminal Engineering Department, lead the outreach to internal and external customers to receive their input and benchmark the performance of the Department, and help define the mission and vision for the Department.

Terminal Engineering Design Project Engineer, 1997 to 1999

- Responsible for the management of an office of multi-disciplined engineering professionals, technicians, and administrative staff for the design and delivery of Washington State Ferry Terminal Projects.
- Responsible for the WSF Terminal Capital Program including the development of two, six and 20 year program budgets. Develop priority arrays for terminal expansion and preservation. Develop staffing plans and resource allocation. Manage consultant agreements and usage including in-house staffing from the consultant community.
- Lead and direct the establishment of effective cross-functional teams of terminal, vessel and maintenance staff to provide customer input, review and comment on project designs, and to foster better communication across functional lines. Direct and initiate meetings between Terminal Engineering and Operations Maintenance supervisory representatives to improve communication between engineering and maintenance staff, establish roles and responsibilities, establish agreed upon maintenance priority lists to ensure that the highest priority work was done first and to better utilize and target maintenance funding for WSF terminals.

Richard A. Brater

Seattle, WA 98112 | (206) 477-3601

Terminal Engineering Construction Project Engineer, 1992 to 1997

- Direct and manage the construction administration, including inspection for plan and specification conformance, contract payments, change orders and claims negotiation for all Washington State Ferries, Ferry Terminal projects.
- Lead, direct and manage emergency response to incidents including vessel accidents, earthquake and fire that damage terminal facilities and or result in loss of service. Initiate and execute emergency contracts for design and construction services, ensure all required resources are utilized to minimize service loss and any risk to public or employee safety.
- Direct the terminal condition surveys and assessment and implement the capital maintenance program. Lead and direct the Terminal Capital Maintenance program.

EDUCATION

Bachelor of Science in Civil Engineering, University of Michigan, Ann Arbor, Michigan

SECTION C

- Background Check Authorization
- Law Enforcement Background Investigation Report
- Financial Public Disclosure Form



AUTHORIZATION TO RELEASE INFORMATION

APPLICANT'S FULL NAME: Richard Allen Braker

CURRENT ADDRESS: _____

PHONE #: _____ DATE: 11/30/18 SIGNATURE: [Signature]

To Whom It May Concern:

I hereby authorize any Police Officer or other authorized representative of the King County Sheriff's Office (hereafter as "KCSO") bearing this release, or a copy of it, within one year of its date, to obtain copies of any information in your files concerning me, or information pertaining to my employment, including but not limited to documents concerning my arrest and conviction history, credit history, or education, academic achievement, attendance, athletics, medical, psychological, personal history, work performance, background investigations, polygraph examinations, and any and all internal affairs investigations and discipline, regardless of whether the information released may be derogatory in nature, including any files which are deemed to be confidential and/or sealed.

I hereby direct you to release this information upon request of the bearer, regardless of any agreement I may have made with you previously to the contrary. The law enforcement organization requesting the information pursuant to this release will discontinue processing my application if you refuse to disclose the information requested to the department. This release is executed with full knowledge and understanding that the information is for the official use of the KCSO. I authorize the KCSO to read, review, or photocopy any documents to allow them to assess my suitability as a Department employee.

Consent is granted for the KCSO to furnish the information described above to third parties in the course of fulfilling its official responsibilities. I further understand that I waive any right or opportunity to read or review any information provided in the background investigation report prepared by the KCSO.

I hereby release you, as my employer, former employer, or representative of either of them and any school, college, university or other educational institution, credit bureau, lending institution, consumer reporting agency, legal firm, medical institution, law enforcement agency, or related personnel, both individually and collectively, from any and all liability for damage of whatever kind, which may at any time result to me, my heirs, or my assigned representatives because of compliance with this authorization and request to release information, or any attempt to comply with it. Should there be any questions as to the validity of this release, you may contact me as indicated below. I understand that I have the right to receive a copy of this authorization and acknowledge that I have received a copy.

I understand that the Privacy Act, 5 USC § 552a, prohibits disclosure of certain federal records without my signed authorization or other statutory exemption. My signature above indicates my express permission to release these records pursuant to 5 USC § 552a (b), to the KCSO for their use in conducting this background check.

A photocopy, e-mail transmission, or telephonic facsimile (fax), or any other legible, non-original of this Authorization shall be valid as an original, even though such photocopy or fax does not contain my original electronic or handwritten signature. This release is valid for one year from the date of my signature.

State of WA

County of King

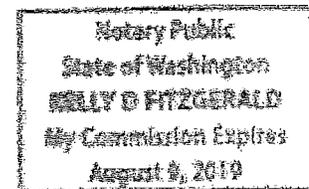
I certify that I know or have satisfactory evidence that Richard A. Braker is the person who appeared before me, and said person acknowledged that (he/she) signed this instrument and acknowledged it to be (his/her) free and voluntary act for the uses and purposes mentioned in the instrument.

Subscribed and sworn to before me this 30 day of November 2018

Notary Seal

Notary Signature: [Signature]

Today's Date 11/30/2018



This Agreement must be submitted in hardcopy. Print the Agreement, complete it per instructions, and upload it to the correct location within the Agreements folder. You will continue to see this page until you have uploaded the document. Save the original hardcopy as your background investigator may want to inspect it.



King County

Department of Human Resources

King County Administration Building
500 Fourth Avenue, Room 553
Seattle, WA 98104
206-296-7340 TTY Relay: 711
www.kingcounty.gov

MEMORANDUM

DATE: February 6, 2019

TO: The Honorable Dow Constantine, King County Executive

FR: Jay Osborne, Director
Department of Human Resources 

RE: Rick Brater – Background Check

I have received the law enforcement background check conducted on Rick Brater, Director of the Roads Division, King County Department of Local Services, by the King County Sheriff's Office.

The report contains no adverse information nor reveals any prior criminal record that disqualifies him for this position.

Thank you.



King County

King County Ethics Program
Office of Risk Management Services
500 4th Ave, Rm 320
Seattle, WA 98104
206-263-7821
TTY Relay 711
program.ethics@kingcounty.gov

Statement of Financial and Other Interests

King County Employees

Filing Year 2018

Read all instructions carefully, then fully complete each section. Incomplete forms cannot be filed.

Name:	Rick Brater
Department:	Transportation

<input type="checkbox"/>	I am filing within two weeks of employment or appointment, reporting on the past year.	Hire Date:	
--------------------------	--	------------	--

<input checked="" type="checkbox"/>	I am filing an annual statement, reporting on calendar year 2017 (See Item No. 3, "Period of reporting" in Filing Instructions.)
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Financial and Other Interests to Report

Note: Underlined terms are defined in the Filing Instructions and in the Code of Ethics

A. Compensation, Gifts and Things of Value

During the reporting period, did you, or a member of your immediate family receive compensation, gifts, or things of value from any person engaged in any transaction with King County in which you participated or for which you had responsibility?

<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	Yes	If yes , please provide the following information:
-------------------------------------	----	--------------------------	-----	---

1) Name of each person engaged in any transaction with King County from whom the compensation, gift, or thing of value was received.

2) Name of individual who received the compensation, gift, or thing of value and their relationship to you.

Describe the situation and provide any additional information regarding the compensation, gift or thing of value for the Ethics Program's consideration below.

B. Financial Interests

During the reporting period, did you, or a member of your immediate family possess a financial interest in any person engaged in any transaction with King County in which you participated or for which you had responsibility?

No Yes If **yes**, please provide the following information:

1) Name of each person engaged in any transaction with King County in whom a financial interest was possessed.

2) Name of individual who possessed the financial interest and their relationship to you.

Describe the situation and provide any additional information regarding this financial interest for the Ethics Program's consideration below.

C. Positions

During the reporting period, did you, or a member of your immediate family hold a position in any person* engaged in any transaction with King County in which you participated or for which you had responsibility?

** "Person" means any individual, partnership, association, corporation, firm, institution, or other entity, whether or not operated for profit. The term does not include governmental units within the United States unless so specified.*

No

Yes

If yes, please provide the following information:

1) Name of each person engaged in any transaction with King County with whom the position was held.

2) Name of individual who held the position and their relationship to you.

3) Title of the position held.

Describe the situation and provide any additional information regarding the position(s) for the Ethics Program's consideration below.

D. Real Property

During the reporting period, did you, or a member of your immediate family possess a financial interest in any real property that was either involved in or the subject of a King County action? Property for which the only King County action was valuation or revaluation for tax purposes does not have to be reported, **except that employees of the Department of Assessments and Board of Appeals who are required to file this statement shall report property for which valuation or revaluation actions were taken by King County during the reporting period.**

No

Yes

If yes, please provide the following information:

1) Street address, parcel number, or legal description of real property involved in or subject of a King County action.

2) Name of individual who possessed the financial interest and their relationship to you.

3) Name of the King County department involved in the King County action.

E. Declaration

By filling out the information below, I affirm that I, (*Type Name*) Rick Brater, am

signing this form and declaring under penalty of perjury under the laws of the State of Washington that the foregoing is true, complete, and correct as of the date submitted.

Signature: S/

Rick Brater
at Seattle WA 01/30/2018
City State Date

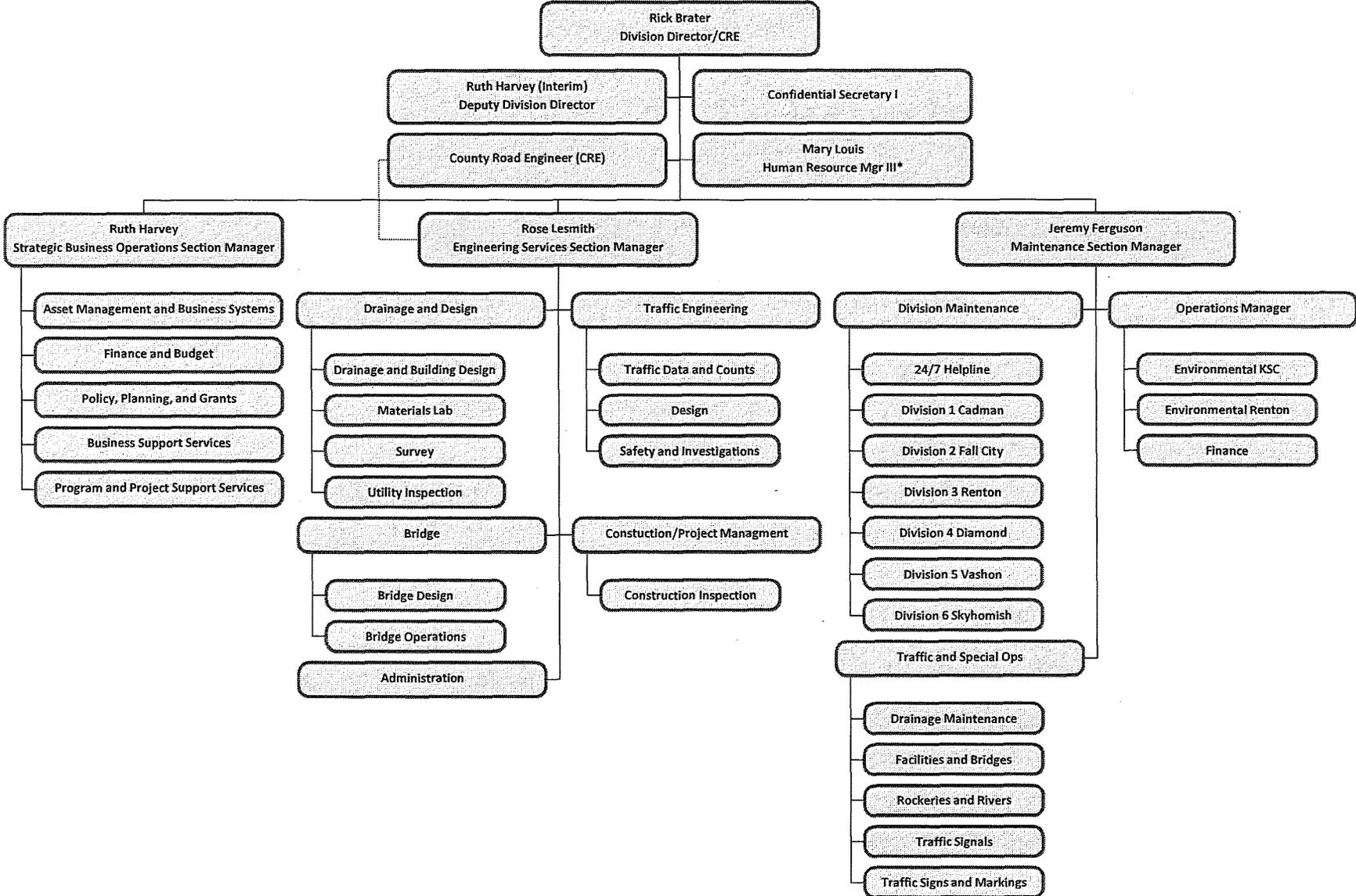
SECTION D

- Division Organizational Chart

King County Road Services Division

Department of Local Services

2019 Organization Chart



*Matrixed from King County Human Resources Department