

KING COUNTY

Signature Report

April 9, 2015

Ordinance

	Proposed No. 2014-0209.1 Sponsors Hague
1	AN ORDINANCE relating to personnel policies; providing
2	that probationary periods are not applied to employees who
3	transfer to a position within their same classification if that
4	position is within their same department or agency; and
5	amending Ordinance 12014, Section 5, as amended, and
6	K.C.C. 3.12.010 and Ordinance 12014, Section 13, as
7	amended, and K.C.C. 3.12.100.
8	BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:
9	SECTION 1. Findings:
10	A. A county employee is appointed to a career service position as a result of a
11	competitive selection process, and the appointment is final upon the successful
12	completion of a probationary period. The probationary period is used to determine
13	whether the probationary employee is qualified for the position. K.C.C. 3.12.100
14	provides that a probationary period is required for all promotions, demotions and
15	transfers. Until the employee has successfully completed the probationary period, the
16	employee is "at will" and may be terminated without cause.
17	B. The probationary period has been applied to county employees upon initial
18	employment, reemployment and movement to different career service positions,

including lateral transfers to different positions within their same classifications that arealso within the same agencies or departments.

C. However, when a career service employee laterally transfers to a different 21 position that is within the employee's same classification and that is within the same 22 department or agency and has the same pay range as the position from which the 23 employee transferred, the probationary period should not be applied. This is because 24 when the employee was originally hired, the employee engaged in a competitive selection 25 process and has since demonstrated proficiency in the classification within the 26 department. This ordinance provides that a probationary period will not be applied in 27 28 these circumstances. SECTION 2. Ordinance 12014, Section 5, as amended, and K.C.C. 3.12.010 are 29 30 each hereby amended to read as follows: For the purposes of this chapter, all words shall have their ordinary and usual 31 meanings except those defined in this section which shall have, in addition, the following 32 meanings. In the event of conflict, the specific definitions set forth in this section shall 33 presumptively, but not conclusively, prevail. 34 A.1. "Administrative interns" means employees who are: 35 a. enrolled full-time during the regular school year in a program of education, 36 internship or apprenticeship; or 37 b. veterans temporarily working to gain practical workforce experience. 38 2. All administrative internships in executive departments shall be approved by 39 40 the manager. Administrative interns are exempt from the career service under Section

41 550 of the charter.

42	B. "Appointing authority" means the county council, the executive, chief officers
43	of executive departments and administrative offices, or division managers having
44	authority to appoint or to remove persons from positions in the county service.
45	C. "Basis of merit" means the value, excellence or superior quality of an
46	individual's work performance, as determined by a structured process comparing the
47	employee's performance against defined standards and, where possible, the performance
48	of other employees of the same or similar class.
49	D. "Board" means the county personnel board established by Section 540 of the
50	charter.
51	E. "Budgetary furlough" means a circumstance in which projected county
52	revenues are determined to be insufficient to fully fund county agency operations and, in
53	order either to achieve budget savings or to meet unallocated budget reductions, which
54	are commonly known as contras, or both, cost savings may be achieved through
55	reduction in days or hours of service, resulting in placing an employee for one or more
56	days in a temporary furlough status without duties and without pay.
57	F. "Career service employee" means a county employee appointed to a career
58	service position as a result of the selection procedure provided for in this chapter, and
59	who has completed the probationary period.
60	G. "Career service position" means all positions in the county service except for
61	those that are designated by Section 550 of the charter as follows: all elected officers; the
62	county auditor, the clerk and all other employees of the county council; the county
63	administrative officer; the chief officer of each executive department and administrative
64	office; the members of all boards and commissions; the chief economist and other

65	employees of the office economic and financial analysis; the chief economist and other
66	employees of the office of economic and financial analysis; administrative assistants for
67	the executive and one administrative assistant each for the county administrative officer,
68	the county auditor, the county assessor, the chief officer of each executive department
69	and administrative office and for each board and commission; a chief deputy for the
70	county assessor; one confidential secretary each for the executive, the chief officer of
71	each executive department and administrative office, and for each administrative assistant
72	specified in this section; all employees of those officers who are exempted from the
73	provisions of this chapter by the state constitution; persons employed in a professional or
74	scientific capacity to conduct a special inquiry, investigation or examination; part-time
75	and temporary employees; administrative interns; election precinct officials; all persons
76	serving the county without compensation; physicians; surgeons; dentists; medical interns;
77	and student nurses and inmates employed by county hospitals, tuberculosis sanitariums
78	and health departments of the county.
79	Divisions in executive departments and administrative offices as determined by
80	the county council shall be considered to be executive departments for the purpose of
81	determining the applicability of Section 550 of the charter.
82	All part-time employees shall be exempted from career service membership
83	except, all part-time employees employed at least half time or more, as defined by
84	ordinance, shall be members of the career service.
85	H. "Charter" means the King County Charter, as amended.
86	I. "Child" means a biological, adopted or foster child, a stepchild, a legal ward or
87	a child of an employee standing in loco parentis to the child, who is:

88 1. Under eighteen years of age; or 2. Eighteen years of age or older and incapable of self care because of a mental 89 90 or physical disability. 91 J. "Class" or "classification" means a position or group of positions, established under authority of this chapter, sufficiently similar in respect to the duties, responsibilities 92 93 and authority thereof, that the same descriptive title may be used to designate each 94 position allocated to the class. 95 K. "Classification plan" means the arrangement of positions into classifications 96 together with specifications describing each classification. L. "Compensatory time" means time off granted with pay in lieu of pay for work 97 performed either on an authorized overtime basis or work performed on a holiday that is 98 99 normally scheduled as a day off. Such compensatory time shall be granted on the basis of 100 time and one-half. M. "Competitive employment" means a position established in the county budget 101 and that requires at least twenty-six weeks of service per year as the work schedule 102 established for the position. 103 104 N. "Council" means the county council as established by Article 2 of the charter. O. "County" means King County and any other organization that is legally 105 governed by the county with respect to personnel matters. 106 107 P. "Developmental disability" means a developmental disability, as defined in RCW 71A.10.020(2), as amended, attributable to mental retardation, cerebral palsy, 108 109 epilepsy, autism or other neurological or other condition of an individual found by the 110 secretary of the Washington state Department of Social and Health Services, or the

111	secretary's designee, to be closely related to mental retardation or to require treatment
112	similar to that required for individuals with mental retardation, which disability originates
113	before the individual attains age eighteen, that has continued or can be expected to
114	continue indefinitely and that constitutes a substantial handicap for the individual.
115	Q. "Direct cost" means the cost aggregate of the actual weighted average cost of
116	insured benefits, less any administrative cost therefor. Any payments to part-time and
117	temporary employees under this chapter shall not include any administrative overhead
118	charges applicable to administrative offices and executive departments.
119	R. "Director" means the manager of the human resources management division.
120	S. "Division" means the human resources management division or its successor
121	agency.
122	T. "Domestic partners" are two people in a domestic partnership, one of whom is
123	a county employee.
124	U. "Domestic partnership" is a relationship whereby two people:
125	1. Have a close personal relationship;
126	2. Are each other's sole domestic partner and are responsible for each other's
127	common welfare;
128	3. Share the same regular and permanent residence;
129	4. Are jointly responsible for basic living expenses which means the cost of
130	basic food, shelter and any other expenses of a domestic partner that are paid at least in
131	part by a program or benefit for which the partner qualified because of the domestic
132	partnership. The individuals need not contribute equally or jointly to the cost of these
133	expenses as long as they agree that both are responsible for the cost;

134 5. Are not married to anyone;

135 6. Are each eighteen years of age or older;

136 7. Are not related by blood closer than would bar marriage in the state of137 Washington;

138 8. Were mentally competent to consent to contract when the domestic139 partnership began.

140 V. "Employed at least half time or more" means employed in a regular position that has an established work schedule of not less than one-half the number of hours of the 141 full-time positions in the work unit in which the employee is assigned, or when viewed 142 on a calendar year basis, nine hundred ten hours or more in a work unit in which a work 143 week of more than thirty-five but less than forty hours is standard or one thousand forty 144 145 hours or more in a work unit in which a forty hour work week is standard. If the standard work week hours within a work unit varies (employees working both thirty five and forty 146 hours) the manager, in consultation with the department, is responsible for determining 147 what hour threshold applies. 148

W. "Employee" means any person who is employed in a career service positionor exempt position.

151 X. "Executive" means the county executive, as established by Article 3 of the152 charter.

Y. "Exempt employee" means an employee employed in a position that is not a career service position under Section 550 of the charter. Exempt employees serve at the pleasure of the appointing authority.

Z. "Exempt position" means any position excluded as a career service position by
Section 550 of the charter. Exempt positions are positions to which appointments may be
made directly without a competitive hiring process.

AA. "Full-time regular employee" means an employee employed in a full-time regular position and, for full-time career service positions, is not serving a probationary period.

BB. "Full-time regular position" means a regular position that has an established work schedule of not less than thirty-five hours per week in those work units in which a thirty-five hour week is standard, or of not less than forty hours per week in those work units in which a forty-hour week is standard.

166 CC. "Furlough day" means a day for which an employee shall perform no work
167 and shall receive no pay due to an emergency budget crisis necessitating emergency
168 budget furloughs.

169 DD. "Furloughed employee" means an employee who is placed in a temporary 170 status without duties and without pay due to a financial emergency necessitating budget 171 reductions.

EE. "Grievance" means an issue raised by an employee relating to the interpretation of rights, benefits, or condition of employment as contained in either the administrative rules or procedures, or both, for the career service.

FF. "Immediate family" means spouse, child, parent, son-in-law, daughter-inlaw, grandparent, grandchild, sibling, domestic partner and the child, parent, sibling,
grandparent or grandchild of the spouse or domestic partner.

178	GG. "Incentive increase" means an increase to an employee's base salary within
179	the assigned pay range, based on demonstrated performance.
180	HH. "Integrated work setting" means a work setting with no more than eight
181	persons with developmental disabilities or with the presence of a sensory, mental or
182	physical handicap as specified in K.C.C. 3.12.180. This definition refers to all county
183	offices, field locations and other work sites at which supported employees work
184	alongside employees who are not persons with development disabilities employed in
185	permanent county positions.
186	II. "Life-giving and life-saving procedures" means a medically-supervised
187	procedure involving the testing, sampling, or donation of blood, organs, fluids, tissues
188	and other human body components for the purposes of donation without compensation to
189	a person for a medically necessary treatment.
190	JJ. "Manager" means the manager of the human resources management division
191	or its successor agency.
192	KK. "Marital status" means the presence or absence of a marital relationship and
193	includes the status of married, separated, divorced, engaged, widowed, single or
194	cohabiting.
195	LL. "Part-time employee" means an employee employed in a part-time position.
196	Under Section 550 of the charter, part-time employees are not members of the career
197	service.
198	MM. "Part-time position" means an other than a regular position in which the
199	part-time employee is employed less than half time, that is less than nine hundred ten
200	hours in a calendar year in a work unit in which a thirty-five hour work week is standard

or less than one thousand forty hours in a calendar year in a work unit in which a fortyhour work week is standard, except as provided elsewhere in this chapter. Where the
standard work week falls between thirty-five and forty hours, the manager, in
consultation with the department, is responsible for determining what hour threshold will
apply. Part-time position excludes administrative intern.

NN. "Part-time regular employee" means an employee employed in a part-time
regular position and, for part-time career service positions, is not serving a probationary
period. Under Section 550 of the charter, such part-time regular employees are members
of the career service.

OO. "Part-time regular position" means a regular position in which the part-time 210 regular employee is employed for at least nine hundred ten hours but less than a full-time 211 212 basis in a calendar year in a work unit in which a thirty-five hour work week is standard or for at least one thousand forty hours but less than a full-time basis in a calendar year in 213 a work unit in which a forty-hour work week is standard. Where the standard work week 214 falls between thirty-five and forty hours, the manager, in consultation with the 215 department, is responsible for determining what hour threshold will apply. 216 PP. "Pay plan" means a systematic schedule of numbered pay ranges with 217 minimum, maximum and intermediate steps for each pay range, a schedule of assignment 218

- of each classification to a numbered pay range and rules for administration.
- 220 QQ. "Pay range" means one or more pay rates representing the minimum,
- 221 maximum and intermediate steps assigned to a classification.

222	RR. "Pay range adjustment" means the adjustment of the numbered pay range of
223	a classification to another numbered pay range in the schedule based on a classification
224	change, competitive pay data or other significant factors.
225	SS. "Personnel guidelines" means only those operational procedures promulgated
226	by the manager necessary to implement personnel policies or requirements previously
227	stipulated by ordinance or the charter. Such personnel guidelines shall be applicable only
228	to employees assigned to executive departments and administrative agencies.
229	TT. "Position" means a group of current duties and responsibilities assigned by
230	competent authority requiring the employment of one person.
231	UU. "Probationary employee" means an employee serving a probationary period
232	in a regular career service. Probationary employees are temporary employees and
233	excluded from career service under Section 550 of the charter.
234	VV. "Probationary period" means a period of time, as determined by the
235	((manager)) director, ((constituting the final step in the competitive screening process for
236	career service or for promotion from one career service position to another. An
237	appointment to the career service, whether following successful completion of an initial
238	probationary period of county employment or a promotional probationary period, shall
239	not be final unless the employee successfully completes this probationary period)) for
240	assessing whether an individual is qualified for a career service position to which the
241	employee has been newly appointed or has moved from another position, whether
242	through promotion, demotion or transfer, except as provided in K.C.C. 3.12.100.

243	WW. "Probationary period salary increase" means a within-range salary increase
244	from one step to the next highest step upon satisfactory completion of the probationary
245	period.

246 XX. "Promotion" means the movement of an employee to a position in a247 classification having a higher maximum salary.

YY. "Provisional appointment" means an appointment made in the absence of a
list of candidates certified as qualified by the manager. Only the manager may authorize
a provisional appointment. An appointment to this status is limited to six months.

ZZ. "Provisional employee" means an employee serving by provisional
appointment in a regular career service. Provisional employees are temporary employees
and excluded from career service under Section 550 of the charter.

AAA. "Recruiting step" means the first step of the salary range allocated to a class unless otherwise authorized by the executive.

BBB. "Regular position" means a position established in the county budget and identified within a budgetary unit's authorized full time equivalent (FTE) level as set out in the budget detail report.

CCC. "Salary or pay rate" means an individual dollar amount that is one of the
steps in a pay range paid to an employee based on the classification of the position
occupied.

DDD. "Section" means an agency's budget unit comprised of a particular project program or line of business as described in the budget detail plan for the previous fiscal period as attached to the adopted appropriation ordinance or as modified by the most

recent supplemental appropriations ordinance. This definition is not intended to create anorganization structure for any agency.

267 EEE. "Serious health condition" means an illness or injury, impairment or 268 physical or mental condition that involves one or more of the following:

1. An acute episode that requires more than three consecutive calendar days of
incapacity and either multiple treatments by a licensed health care provider or at least one
treatment plus follow-up care such as a course of prescription medication; and any

subsequent treatment or period of incapacity relating to the same condition;

273 2. A chronic ailment continuing over an extended period of time that requires
274 periodic visits for treatment by a health care provider and that has the ability to cause
275 either continuous or intermittent episodes of incapacity;

276 3. In-patient care in a hospital, hospice or residential medical care facility or

277 related out-patient follow-up care;

4. An ailment requiring multiple medical interventions or treatments by a health
care provider that, if not provided, would likely result in a period of incapacity for more
than three consecutive calendar days;

281 5. A permanent or long-term ailment for which treatment might not be effective
282 but that requires medical supervision by a health care provider; or

283 6. Any period of incapacity due to pregnancy or prenatal care.

FFF. "Temporary employee" means an employee employed in a temporary position and in addition, includes an employee serving a probationary period or is under provisional appointment. Under Section 550 of the charter, temporary employees shall

287 not be members of the career service.

288 GGG. "Temporary position" means a position that is not a regular position as defined in this chapter and excludes administrative intern. Temporary positions include 289 290 both term-limited temporary positions as defined in this chapter and short-term (normally 291 less than six months) temporary positions in which a temporary employee works less than nine hundred ten hours in a calendar year in a work unit in which a thirty-five hour work 292 week is standard or less than one thousand forty hours in a calendar year in a work unit in 293 which a forty hour work week is standard, except as provided elsewhere in this chapter. 294 295 Where the standard work week falls between thirty-five and forty hours, the manager, in 296 consultation with the department, is responsible for determining what hour threshold will 297 apply.

HHH. "Term-limited temporary employee" means a temporary employee who is 298 299 employed in a term-limited temporary position. Term-limited temporary employees are not members of the career service. Term-limited temporary employees may not be 300 employed in term-limited temporary positions longer than three years beyond the date of 301 hire, except that for grant-funded projects capital improvement projects and information 302 systems technology projects the maximum period may be extended up to five years upon 303 approval of the manager. The manager shall maintain a current list of all term-limited 304 temporary employees by department. 305

III. "Term-limited temporary position" means a temporary position with work
related to a specific grant, capital improvement project, information systems technology
project or other nonroutine, substantial body of work, for a period greater than six
months. In determining whether a body of work is appropriate for a term-limited
temporary position, the appointing authority will consider the following:

Grant-funded projects: These positions will involve projects or activities that
 are funded by special grants for a specific time or activity. These grants are not regularly
 available to or their receipt predictable by the county;

2. Information systems technology projects: These positions will be needed to plan and implement new information systems projects for the county. Term-limited temporary positions may not be used for ongoing maintenance of systems that have been implemented;

318 3. Capital improvement projects: These positions will involve the management 319 of major capital improvement projects. Term-limited temporary positions may not be 320 used for ongoing management of buildings or facilities once they have been built;

4. Miscellaneous projects: Other significant and substantial bodies of work may
be appropriate for term-limited temporary positions. These bodies of work must be either
nonroutine projects for the department or related to the initiation or cessation of a county
function, project or department;

5. Seasonal positions: These are positions with work for more than six 325 consecutive months, half-time or more, with total hours of at least nine hundred ten in a 326 calendar year in a work unit in which a thirty-five hour work week is standard or at least 327 one thousand forty hours in a calendar year in a work unit in which a forty hour work 328 week is standard, that due to the nature of the work have predictable periods of inactivity 329 exceeding one month. Where the standard work week falls between thirty-five and forty 330 hours, the manager, in consultation with the department, is responsible for determining 331 332 what hour threshold will apply; and

6. Temporary placement in regular positions: These are positions used to back
fill regular positions for six months or more due to a career service employee's absence
such as extended leave or assignment on any of the foregoing time-limited projects.
All appointments to term-limited temporary positions will be made by the
appointing authority in consultation with the manager before the appointment of termlimited temporary employees.
JJJ. "Volunteer for the county" means an individual who performs service for the

county for civic, charitable or humanitarian reasons, without promise, expectation or 340 receipt of compensation from the county for services rendered and who is accepted as a 341 volunteer by the county, except emergency service worker volunteers as described by 342 chapter 38.52 RCW. A "volunteer for the county" may receive reasonable 343 344 reimbursement of expenses or an allowance for expenses actually incurred without losing his or her status as a volunteer. "Volunteer for the county" includes, but is not limited to, 345 a volunteer serving as a board member, officer, commission member, volunteer intern or 346 direct service volunteer. 347

348 KKK. "Volunteer intern" means volunteers who are also enrolled full-time during
349 the regular school year in a program of education, internship or apprenticeship who are
350 receiving scholastic credit or scholastic recognition for participating in the internship.

LLL. "Work study student" means a student enrolled or accepted for enrollment at a post-secondary institution who, according to a system of need analysis approved by the higher education coordinating board, demonstrates a financial inability, either parental, familial or personal, to bear the total cost of education for any semester or quarter.

356	SECTION 3. Ordinance 12014, Section 13, as amended, and K.C.C. 3.12.100 are
357	each hereby amended to read as follows:
358	A. There shall be a probationary period during which time a probationary
359	employee shall be evaluated by the appointing authority to determine qualification for
360	entry into the career service. An individual's appointment or transfer to a career service
361	position is not final unless the employee successfully completes the probationary period.
362	The probationary period shall be determined by the director, but shall be not less than six
363	months or more than one year of actual service, and shall be served by those employees
364	who have been newly hired(($_{7}$)) or reemployed (($_{7}$ transferred to a different position, or
365	promoted or demoted.)) or have moved from another career service position, whether
366	through promotion, demotion or transfer except:
367	<u>1.</u> A furloughed employee's probationary period shall not be extended as a result
368	of a budgetary furlough; and
369	2. A career service employee who transfers to a position within the employee's
370	same classification that is within the same department or agency and has the same pay
371	range as the position from which the employee transferred does not serve a probationary
372	period, except that a probationary period may be required but only if:
373	a. the essential functions of the new position are substantially different from
374	the position from which the employee transferred; and
375	b. a probationary period is authorized in advance in writing by the director of
376	the human resources division or successor organization.
377	B. A probationary employee may be separated from county service at any time
378	during the probationary period without right of appeal to the personnel board.

379	Notwithstanding any other provisions of this section, an employee who does not
380	successfully complete the probationary period in a position to which he or she had been
381	promoted or transferred may be restored to his or her former position. Such \underline{a} restoration
382	is not mandatory, but is optional at the discretion of the former appointing authority
383	within the limits of available authorized positions. Such <u>a</u> restoration shall include
384	restoration of the employee's former salary and all other benefits to which he or she
385	would have been entitled if the promotion or transfer had not occurred.
386	SECTION 4. Severability. If any provision of this ordinance or its application

- to any person or circumstance is held invalid, the remainder of the ordinance or the
- 388 application of the provision to other persons or circumstances is not affected.

KING COUNTY COUNCIL KING COUNTY, WASHINGTON

Larry Gossett, Chair

ATTEST:

Anne Noris, Clerk of the Council

APPROVED this _____ day of _____, ____.

Dow Constantine, County Executive

Attachments: None