

Metropolitan King County Council Transportation, Economy, and Environment Committee

STAFF REPORT

Agenda Item:	7	Name:	Nick Wagner
Proposed No.:	2011-0272	Date: June 14, 2011	
Invited:	Jim Johnson, Labor Negotiator, King County Office of Labor Relations Adé Franklin, President, Technical Employees Association		

<u>SUBJECT</u>

A proposed ordinance approving a collective bargaining agreement between the County and the Technical Employees Association.

<u>SUMMARY</u>

Proposed Ordinance 2011-0272 (pp. 7-8 of these materials) would approve a collective bargaining agreement ("CBA") between King County and the Technical Employees Association ("TEA"). The CBA (pp. 9-74 of these materials) covers 17 supervisory employees in the Wastewater Treatment Division ("WTD") of the Department of Natural Resources and Parks ("DNRP") for the three-and-a-half-year period from 1 July 2008 through 31 December 2011.

BACKGROUND

The 17 employees in this bargaining unit supervise other WTD employees and provide the technical skills related to the planning, design, engineering, and construction of the County's wastewater treatment services. As described in the Executive's transmittal letter (pp. 99-100 of these materials), "King County's facilities are the most sophisticated wastewater treatment facilities in the northwest region of the United States and our facilities provide wastewater treatment services to the largest population in the region. The design and construction of these facilities require high levels of design, engineering and project management skills."

The six job classifications included in the bargaining unit are listed in Appendix A of the CBA (p. 73 of these materials).

ANALYSIS

The most significant changes that the proposed CBA would make are described below.

A. New or Changed CBA provisions

1. COLA

The CBA provides in Section 19.2 (p. 56 of these materials) for the following cost of living adjustments ("COLAs"):

Year	Formula	COLA
2009	90% of CPI-W for All Cities, with 2% floor	4.88%
2010	2010 90% of CPI-W for All Cities, with 2% floor	
2011	None	Zero

The COLAs for 2009 and 2010 are consistent with the County's agreements with other collective bargaining units for those years. They will need to be paid retroactively.

The zero COLA for 2011 is consistent with the COLA agreements that have been entered into by the vast majority of the County's represented employees to help the county balance its 2011 budget.

2. Pay Range Increase

Appendix A of the CBA (p. 73 of these materials) provides for pay range increases for five of the six job classifications that are covered by the CBA. The increases create alignment with comparable County positions and with the external market. They are summarized below:

Classification	Current Pay Range	New Page Range	Change
Administrator III	63	63	0
Capital Projects Managing Supervisor	73	74	+1
Construction Management VI	73	74	+1
Environmental Programs Managing Supervisor	71	73	+2

Classification	Current Pay Range	New Page Range	Change
Project Control Engineer Supervisor	71	73	+2
Wastewater Engineer VI	73	74	+1

3. Benefit Time Leave System

The new CBA would adopt a Benefit Time leave system in place of the vacation, holiday, and sick leave provisions of the previous CBA. Under the new system, Benefit Time is used to cover vacations, holidays, and the first two days of any absence due to sickness. (CBA art. 6, pp. 19-22 of these materials) Extended Sick Leave is used to cover absences due to sickness to the extent that they last more than two days. (CBA § 6.2, p. 19 of these materials) The number of days of Benefit Time and Extended Sick Leave that accrue each year is listed in the table at p. 101 of these materials, together with the number of accrued leave days under the previous system.

The new system allows employees to cash out up to 40 hours of benefit time per year (as long as the number of hours of accrued Benefit Time does not fall below 480) and to carry over up to 600 hours of Benefit Time from year to year (accrued Benefit Time in excess of 600 hours is forfeited on April 1 of each year). (CBA § 6.6, pp. 21-22 of these materials) Extended Sick Leave cannot be cashed out, but there is no limit on the accrual of ESL. (CBA § 6.6, p. 21 of these materials)

Upon implementation of the CBA, up to 100 hours of accrued sick leave may be converted into Benefit Time, with any remaining sick leave being converted to ESL, and accrued vacation time will be converted to Benefit Time. (CBA § 6.6, p. 22 of these materials).

4. Benefit Time Cashout Provision

TEA has proposed that the number of hours of Benefit Time that can be cashed out each year be increased from 40 hours to 120 hours. The proposed new CBA provides in section 6.1 (p. 19 of these materials) for a cost-benefit analysis of this proposal:

During the term of the 2011 contract, TEA and WTD Human Resources Office will undertake a joint economic analysis of the costs and benefits of TEA's proposed increase up to 120 hours in the Benefit Time cashout. The analysis will commence as soon as

¹ The Benefit Time leave system has been adopted for other bargaining units in the Wastewater Treatment Division. For example, Ordinance 16689 approved a similar Benefit Time leave system for the WTD employees who are supervised by the employees in this bargaining unit. Those employees are also represented by TEA.

possible after January 1, 2011. The analysis will assess, among other things, the current and future cost of cashing out more than the current 40 hours per year as measured alongside the current and future benefits of such a cashout. The analysis will include a review of other collective bargaining agreements within WTD and the associated cash out provisions.

According to the Executive's transmittal letter (p. 99 of these materials), the question to be addressed in the analysis is "whether subsequent cash out provision under this new article would create greater efficiencies through less reliance on contract workers," which could result in a lower bottom-line cost to the County.

5. Benefit Plan

Article 27 of the new CBA (p. 71 of these materials) provides that health benefits for the bargaining unit will be governed by the Memorandum of Agreement regarding Health Benefits for 2010-2012 between King County and the Unions representing King County Employees (the benefits agreement negotiated in the Joint Labor Management Insurance Committee).²

B. Consistency with Labor Policies

The proposed new CBA appears to be consistent with the County's adopted labor policies, except for the delay in reaching an agreement (more than two years after expiration of the previous agreement). According to Executive staff, the delay was due to the departure of the negotiator who had been representing the County in the negotiations and the need for the parties to use mediation in order to reach an agreement.

C. Fiscal Impact

The fiscal impact of the proposed new CBA is summarized in the Executive's Fiscal Note (p. 97 of these materials). From a base cost of \$2,155,240 for 2009, the CBA would result in the following annual cost increases:

	COLA Percent	COLA Amount	Pay Raise	Total Pay Increase	Total Percent Increase
2009	4.88%	\$105,176	\$0	\$105,176	4.88%
2010	2.00%	\$48,513	\$67,717	\$116,232	5.14%
2011	0%	\$0	\$31,572	\$31,572	1.33%

² One exception is the provision concerning confidentiality of information, which TEA considered to be more protective of employee confidentiality in the preceding agreement.

The Executive's transmittal letter describes the CBA as "compar[ing] favorably with other settlements" and as being within the County's capacity to finance (p. 99 of these materials).

LEGAL REVIEW

The CBA has been reviewed by the Office of the Prosecuting Attorney, Civil Division. (Transmittal letter, p. 99 of these materials)

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Proposed No. 2011-0272.1

KING COUNTY

1200 King County Courthouse 516 Third Avenue Seattle, WA 98104

Signature Report

June 10, 2011

Ordinance

Sponsors

1	AN ORDINANCE approving and adopting the collective
2	bargaining agreement and memorandum of agreement
3	negotiated by and between King County and Technical
4	Employees Association (Wastewater Treatment Division,
5	Supervisors) representing employees in the department of
6	natural resources and parks; and establishing the effective
7	date of said agreements.
8	BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:
9	SECTION 1. The collective bargaining agreement and memorandum of
LO	agreement negotiated between King County and Technical Employees Association
l1	(Wastewater Treatment Division, Supervisors) representing employees in the departmen
12	of natural resources and parks and attached hereto are hereby approved and adopted by
13	this reference made a part hereof

14	SECTION 2. Terms and conditions	s of said agreements shall be effective from
15	July 1, 2008, through and including Decem	aber 31, 2011.
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		KING COUNTY COUNCIL KING COUNTY, WASHINGTON
		KING COONTY, WASHINGTON
	ATTEST:	Larry Gossett, Chair
	ATTEST.	
	Anne Noris, Clerk of the Council	
	APPROVED this day of	
	AFFROVED unis uay of	. ,
		Dow Constantine, County Executive

Attachments: A. Agreement Between King County and Technical Employees Association Department of Natural Resources and Parks - Supervisors, B. Appendix C Memorandum of Agreement Regarding Health Benefits For Represented Benefits-Eligible Employees within the Wastewater Treatment and Transit Divisions By and Between King County and Technical Employees Association, C. Appendix D Memorandum of Agreement By and Between King County And Technical Employees Association (Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors), D. Appendix D - Attachment 1 Memorandum of Agreement By and Between King County and Members of the King County Coalition of Unions Addressing the 2009 Budget Crisis

Attachment A

AGREEMENT BETWEEN KING COUNTY

AND

TECHNICAL EMPLOYEES ASSOCIATION

Department of Natural Resources and Parks - Supervisors

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25		County and Members of the King County Coalition of Unions Addressing The
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Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors

Supervisors
July 1, 2008 through December 31, 2011
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AGREEMENT BETWEEN KING COUNTY

AND

TECHNICAL EMPLOYEES ASSOCIATION

Department of Natural Resources and Parks - Supervisors

PREAMBLE

This Agreement is the result of good faith negotiations between King County ("County") and the Technical Employees Association, ("the Association" or "TEA"). This relationship is a partnership based on mutual interests, respect and trust.

This document establishes a framework within which the County and the Association can achieve our joint mission to efficiently and effectively plan, design, construct and operate the public's wastewater treatment system while providing a high quality work environment. Both parties agree that this Agreement allows for the flexibility needed to further the goals of: improving the work environment, ensuring economic feasibility of the Wastewater Treatment Division, providing a compensation package that is competitive with the best in the wastewater treatment industry, generating gains in efficiency and effectiveness, and attracting and retaining outstanding employees.

The County and the Association recognize that the workplace is changing in an effort to improve the delivery of services. The County and the Association also agree that improvement in the workplace is an evolutionary process that requires the long-term commitment of both parties. The elements of workplace improvement such as the Productivity Initiative, the Productivity Incentive Fund, business planning, organizational changes and performance measurement should be viewed as a system.

In support of practices that reflect our commitment to shared values, the County and the Association should:

- Trust each other,
- Listen and respond to public/customer concerns,
- Respect people,
- Promote a diverse workforce,

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors
July 1, 2008 through December 31, 2011

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- Take responsible risks,
- Behave the way we say we do,
- Give and get reliable business information,
- Work to improve our technical excellence and teamwork,
- Foster a labor/management partnership based on shared interests,
- Collaborate in building an ongoing labor/management relationship based on open communications, mutual trust, and respect, and
- Enjoy challenges, work, and humor.

ARTICLE 1: PURPOSE

- 1.1 Purpose. The purpose of this Agreement is to set forth in writing the negotiated wages, hours and working conditions for those employees who are covered by this Agreement.
- **1.2 Maintenance of Working Conditions.** The County recognizes its obligation to negotiate wages, hours and working conditions with TEA.
- 1.3 Application of Personnel Guidelines. As set forth in this section, the 2005 King County Personnel Guidelines shall apply to members of this bargaining unit where this Agreement is silent or ambiguous. The 2005 Personnel Guidelines (except those identified in Appendix B to have no application) shall replace any pre-existing practice between the parties, provided that nothing in those Guidelines will be interpreted or applied to circumvent the parties' collective bargaining obligations. However, should any genuine established practice arise subsequent to the date upon which this Agreement takes effect, and such practice conflicts with the terms of the 2005 Personnel Guidelines (and it pertains to a matter on which the Agreement is either silent or ambiguous), then the practice shall govern. Should the Guidelines be invoked to interpret the contract, the arbitrator reserves the right to determine what weight should be given along side those other interpretive factors that an arbitrator might conclude appropriate.

Except as expressly noted, definitions in the Personnel Guidelines shall apply to the interpretation of the Personnel Guidelines only.

ARTICLE 2: ASSOCIATION RECOGNITION AND MEMBERSHIP

- 2.1 Recognition. The County recognizes the Association as the exclusive bargaining representative of all employees in two separate bargaining units in the Project Planning and Delivery, Resource Recovery, Brightwater and the Environmental and Community Services Sections of the Wastewater Treatment Division of the Department of Natural Resources and Parks (DNRP), excluding, managers, confidential employees, student interns, all employees in the Industrial Waste unit of the Planning and Compliance Section, and all other employees of the employer. The two separate bargaining units recognized above include: 1) One bargaining unit consisting of all employees excluding supervisors and 2) Another bargaining unit consisting of all supervisors. The terms of this agreement shall not apply to employees in the staff unit of TEA Wastewater Treatment Division.
- 2.2 Association Membership. All employees covered by this Agreement shall, as a condition of continued employment, within thirty days after TEA's signing this Agreement, either (1) pay TEA the regular initiation fee and regular monthly dues uniformly required of members, or (2) pay an amount established by TEA as Agency Fees not to exceed regular dues and fees uniformly required of members. All regular, term-limited temporary and temporary employees covered under this Agreement who are hired on or after TEA's signing of the Agreement shall, as a condition of continued employment, within thirty days following the starting date of their employment, either (1) pay TEA the regular initiation fee and regular monthly dues uniformly required of members, or (2) pay an amount established by TEA as Agency Fees not to exceed regular dues and fees uniformly required of members.

Failure by an employee to satisfy the above paragraph of this section shall constitute just cause for dismissal provided TEA notifies the County and the affected employee of its intent to seek dismissal of the affected employee within thirty (30) days of making a request for dismissal. At the expiration of thirty days notice, TEA may request dismissal in writing. Discharge must occur within thirty (30) days of such request.

2.3 Religious Exemption. If an employee can substantiate, in accordance with existing law, bona fide religious beliefs or tenets which prohibit the employee from paying dues or otherwise

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contributing to a labor organization, such employee shall notify the County and TEA of his or her objection to membership in TEA, and shall pay an amount equivalent to regular union dues and initiation fees to a non-religious charitable organization mutually agreed upon by the employee affected and TEA. If the employee and TEA do not reach agreement on such matter, the Public Employees Relations Commission shall designate the charitable organization.

2.4 Dues Deduction Procedure. The County shall deduct regular monthly dues and initiation fees from the employee's paycheck when authorized in writing by the employee. The deductions will be transferred to TEA monthly. TEA shall refund any amounts paid to it in error upon presentation of proper evidence thereof. TEA will indemnify, defend, and hold the County harmless against any claims made and any suit instituted against the County on account of the application of any provision of this article as it relates to the collection of TEA dues and assessments. The County shall notify TEA of changes in employment status on a monthly basis.

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ARTICLE 3: RIGHTS OF MANAGEMENT

The management of the County and the direction of the work force are vested exclusively in the County, except as may be limited by the express written terms of this Agreement.

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Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors
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ARTICLE 4: WAIVER AND COMPLETE AGREEMENT

4.1 Waiver.

A. The Agreement expressed herein in writing constitutes the entire Agreement between the parties and no express or implied or oral statements shall add to or supersede any of its provisions.

B. The parties acknowledge that during the negotiations which resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter appropriate for collective bargaining, and that the understanding and agreements arrived at by the parties after the exercise of that right and opportunity are set forth in this Agreement. Therefore, the County and TEA, for the life of this Agreement, each voluntarily and unqualifiedly waive the right and each agrees that the other shall not be obligated to bargain collectively with respect to any subject or matter, even though such subjects or matters may not have been within the knowledge of contemplation of either or both of the parties at the time that they negotiated or signed this Agreement.

4.2 Modification. Should the parties agree to amend or supplement the terms of this Agreement, such amendments or supplements shall be in writing. No binding agreements, including but not limited to memorandums of understanding, side letters, etc., involving the day-to-day administration of the collective bargaining agreement or the bargaining relationships will be entered into with the bargaining representative without the authorization of the King County Labor Relations Director or his/her designee.

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors

July 1, 2008 through December 31, 2011

5.1 Personnel Files.

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A. The employee and/or an Association representative may examine the employee's personnel files if the employee so authorizes in writing. Material placed into the employee's files relating to job performance or personal character shall be provided to the employee prior to placement in the file. The employee may challenge the propriety of including it in the files. If, after discussion, the County retains the material in the file, the employee shall have the right to insert contrary documentation into the file.

B. Unauthorized persons shall not have access to employee files or other personal data relating to the employee. The Department Director/designee will determine staff authorized for access to personnel files maintained in the Department of Natural Resources and Parks. This does not limit the Union's statutory right to request information pursuant to its statutory right to request collective bargaining information. All persons with the exception of WTD, King County Labor Relations personnel, Department of Executive Services, and Prosecuting Attorney staff shall record access to employee files.

C. The only personnel files will be the Department personnel file and the Section personnel file. Additionally, supervisors may keep a "working file" which may be used for the purpose of developing an annual evaluation. Such materials will be purged from this working file when the evaluation is finalized. Notes taken for such purposes may be added to the personnel file.

D. Written warnings and/or reprimands shall remain in the employee's personnel file for a maximum of three (3) years except where there is a reoccurrence of a similar nature.

Suspensions or demotions may be removed from the employee's personnel file after five (5) years upon request of the employee and approval of the Division Director.

5.2 Right to Representation. An employee, at his/her request, has a right to Union representation at any meeting which s/he reasonably believes may lead to disciplinary action against the employee. If the employee requests TEA representation in such a matter, the employee will be provided with reasonable time to arrange for TEA representation. The parties acknowledge that in certain instances a reasonable time may be as little as the same day.

5.3 Seniority List.

The County will supply the Union with a seniority list twice a year upon written request.

Requests are to be directed to the Wastewater Treatment Division's Human Resources Manager. The list will include each TEA employee's name, job classification number, classification title, section, and seniority data.

Seniority for all purposes of this Contract shall be calculated as a person's continuous length of service in a Wastewater bargaining unit represented by TEA from April 13, 2001. Employees with the same WTD TEA seniority shall be subject to a tiebreaker, which shall be the employee's King County/Metro adjusted service date. The "adjusted service date" means the most recent date of hire into a regular position, as backdated for any prior eligible service that ended no more than two years before reemployment and is adjusted (postdated) for unpaid leaves of absence, including unpaid family leave, that exceed thirty (30) calendar days. In this context, eligible service means employment in a regular position; however, if an employee moves from a term-limited temporary position into a regular position with no break in service, employment in the term-limited temporary position will be included when establishing the adjusted service date. Breaks in the continuous length of service shall be calculated in the same manner as the adjusted service date. Seniority will be posted in years, months and days.

5.4 Supervision and Evaluations. Employees will be supervised and evaluated by the supervisor of the work group responsible for establishing the performance expectations, deliverables, and assignments for the majority of the employee's workload.

ARTICLE 6: BENEFIT TIME

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6.1 General Description

The benefit program has two elements to it: one is Benefit Time (BT) and the other is Extended Sick Leave (ESL). Both programs are built on the accrual rate table set forth in Section 6.5. This program recognizes the need for scheduled time away from the job (vacation and holidays) for personal reasons and for occasions when the employee must be away because of illness or injury. Benefit Time is administered with the understanding that: a) BT is intended to constitute wages earned for services rendered, and b) because business needs may constrain employees' ability to utilize leave, the Collective Bargaining Agreement provides for a yearly cash conversion of up to forty hours (40) hours of Benefit Time. Full-time regular, part-time regular, provisional, probationary and term-limited temporary employees shall receive the leave benefits provided in this Article. During the term of the 2011 contract, TEA and WTD Human Resources Office will undertake a joint economic analysis of the costs and benefits of TEA's proposed increase up to 120 hours in the Benefit Time cashout. The analysis will commence as soon as possible after January 1, 2011. The analysis will assess, among other things, the current and future cost of cashing out more than the current 40 hours per year as measured alongside the current and future benefits of such a cashout. The analysis will include a review of other collective bargaining agreements within WTD and the associated cash out provisions.

6.2 Definitions

All BT and ESL time is based on a two thousand eighty (2,080) hour year. Benefit Time (BT) is the bank of time accrued for use during scheduled paid time off, including holidays, and unscheduled paid time off (excluding bereavement leave and jury duty) to include the first two (2) consecutive days of unscheduled illness for employees and their dependents.

Extended Sick Leave (ESL) is the bank of time accrued for use during all paid nonscheduled illness exceeding two (2) consecutive scheduled workdays for employees and their dependents, as well as for pre-scheduled paid time off (e.g., surgery or tests) or injury of the employee or dependent.

Employees may donate BT and ESL to another employee in accordance with section 7.2.

6.3 Principles

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Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, July 1, 2008 through December 31, 2011

A. The Benefit Time program is intended to provide a productive workplace where employees are encouraged to be healthy and regularly be at work.

B. Operational efficiency is increased by the responsible management of the benefit time usage.

6.4 Absence

Employees are expected to schedule BT as far in advance as possible to facilitate workload planning. Employees are expected to notify the Employer each day of any unscheduled absence. If the reason for unscheduled absence is for illness in excess of two (2) consecutive days, the employee shall be paid from their accrued ESL bank beginning with the third (3rd) day. However, all BT and ESL time shall be coordinated with, and supplementary to, Workers' Compensation.

FLSA exempt employees use accrued BT and ESL in increments of not less than one regular work day. FLSA exempt employees who are absent for part of a work day will not be required to charge such absences against any accrued leave balances nor will the employee's pay be reduced.

Employees unable to work because of any other personal emergency shall be eligible to use BT for any unworked but scheduled hours upon approval by the supervisor.

Benefit Time (BT) and Extended Sick Leave (ESL) will be paid only to the extent that BT and ESL hours have been accrued by the employee in the pay period immediately preceding the absence.

6.5 Benefit Time Accrual and Extended Sick Leave Accrual

Benefit Time accrual shall be as follows:

		Accrual Rates		
Years of Employment	Annual	Annual Bi-weekly Hourly		
Less than 5 years	232	8.923	0.1115	
5 years but less than 8 years	256	9.846	0.1231	
8 years but less than 10 years	264	10.154	0.1269	
10 years but less than 16 years	296	11.385	0.1423	
16 years but less than 17 years	304	11.692	0.1462	
17 years but less than 18 years	312	12.000	0.1500	

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors

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		Accrual Rates	
Years of Employment	Annual	Bi-weekly	Hourly
18 years but less than 19 years	320	12.308	0.1538
19 years but less than 20 years	328	12.615	0.1577
20 years but less than 21 years	336	12.923	0.1615
21 years but less than 22 years	344	13.231	0.1654
22 years but less than 23 years	352	13.538	0.1692
23 years but less than 24 years	360	13.846	0.1731
24 years but less than 25 years	368	14.154	0.1769
More than 25 years of service	376	14.462	0.1808

Annual and bi-weekly totals in the above table are approximations and may vary slightly based on the hourly rate. Extended Sick Leave accrual shall accumulate for all employees on the basis of fifty-six (56) hours per year (0.0269 hours per hour). All leave accruals will be prorated for regular part-time employees on the percentage of full-time worked.

The hourly accrual rates indicated in this article shall not be construed to mean that FLSA exempt employees receive compensation based on number of hours worked.

6.6 Benefit Time and Extended Sick Leave Accumulation and Conversion

The maximum accumulated carryover of Benefit Time from the pay period ending before April 1st of one calendar year to the next shall be six hundred (600) hours (prorated for part-time employees on the percentage of full-time worked). Employees with at least four hundred and eighty (480) hours at that time shall have the option to convert up to forty (40) hours to cash, down to a balance of four hundred and eighty (480) hours. Accumulated hours beyond 600 (or prorated for part-time) will be forfeited in the payroll period that contains April 1.

There shall be no limit on the amount of Extended Sick Leave (ESL) accrued.

Benefit time will accrue prospectively after January 1, 2011 upon implementation of this agreement. Upon implementation, the benefit time accrual rate will be applied retroactively to January 1, 2011.

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors

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On a one-time basis upon implementation of this agreement, employees may convert up to one-hundred (100) hours from their sick leave balance into benefit time. Any remaining sick leave balance will convert into extended sick leave. Vacation leave balances will convert to benefit time. Conversions will be done on an hour-for-hour basis.

6.7 Upon Retirement or Death

Upon retirement from the County or death, an employee or their beneficiary shall be paid for up to four-hundred eighty (480) hours of accrued benefit time (BT) at one-hundred percent (100%) and for all accrued Extended Sick Leave (ESL) at thirty-five percent (35%).

6.8 Upon Separation

Employees shall be paid for accrued Benefit Time to their date of separation if they have successfully completed their first six (6) months of County service in a paid leave eligible position up to 480 hours maximum and any remainder shall be forfeit and not be paid. Payment shall be at the employee's rate of pay in effect upon the date of leaving County employment less mandatory withholdings. If employees leave prior to successful completion of the first six (6) months of County service, they shall forfeit and not be paid for accrued Benefit Time.

Separation from employment except by reason of retirement, death, layoff, or non-disciplinary medical reasons will cancel all Extended Sick Leave accrued to the paid leave eligible employee as of the date of separation. Should a regular career service employee resign in good standing, be laid off or separated for non-disciplinary medical reasons and return to County employment within two (2) years, his/her accrued Extended Sick Leave will be restored.

6.9 Holidays

Employees will use BT to receive compensation for scheduled holidays. Holidays are scheduled on the day the county observes the holiday. An employee who does not have sufficient accrued leave will not be paid for a holiday. Employees on alternate work schedules without sufficient BT to be paid for the holiday may, with the approval of their supervisor, adjust their work schedules in order to have the holiday fall on a regular day off. Employees may work on the scheduled holiday with the approval of their supervisor.

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ARTICLE 7: LEAVE DONATIONS AND GENERAL LEAVES

- 7.1 Full-time regular, part-time regular, provisional, probationary and term-limited temporary employees shall receive the leave benefits provided in this Article.
- 7.2 Donation of Leaves. Donation of benefit time hours and donation of extended sick leave hours shall be as provided herein. However, should King County formally change its policies regarding donation of leave, the parties agree that either party may open this contract within 60 days for the purpose of negotiation over these subjects.

A. Benefit Time hours:

- 1. Approval Required. An employee eligible for paid leave may donate a portion of his/her accrued benefit time to another employee eligible for leave benefits. Such donation will occur upon written request to and approval of the donating and receiving employee's department director(s)/designee, except that requests for benefit time donation made for the purposes of supplementing the extended sick leave benefits of the receiving employee will not be denied unless approval would result in a departmental hardship for the receiving department.
- 2. Limitations. The number of hours donated will not exceed the donor's accrued benefit time as of the date of the request. No donation of benefit time will be permitted where it would cause the employee receiving the transfer to exceed his/her maximum benefit time.
- 3. Use of Donated Leave. Donated hours accrue to the donee's leave bank and do not expire or return to the donor once accrued. Donated benefit time leave hours will be excluded from cashout provisions contained in Article 6. For purposes of this Article, the first hours used by an employee will be accrued benefit time hours.

B. Extended Sick Leave hours:

- 1. Written Notice Required. An employee eligible for paid leave may donate a portion of his/her accrued extended sick leave to another employee eligible for leave benefits upon written notice to the donating and receiving employee's department director(s).
- 2. Minimum Leave Balance Required (Donor). No donation will be permitted unless the donating employee's extended sick leave accrual balance immediately subsequent to the donation is one hundred (100) hours or more. No employee may donate more than

twenty-five (25) hours of his/her accrued sick leave in a calendar year. 1 2 3. Use of Donated Leave. Donated hours accrue to the donee's extended sick 3 leave bank and do not expire or return to the donor once accrued. Donated extended sick leave hours 4 will be excluded from the extended sick leave cashout provisions contained in Article 6. For 5 purposes of this Article, the first hours used by an employee will be accrued extended sick leave 6 hours. 7 4. No Solicitation. All donations of leave made under this Article are strictly 8 voluntary. An employee is prohibited from soliciting, offering or receiving monetary or any other 9 compensation or benefits in exchange for donating leave hours. 10 5. Conversion Rate. All leave hours donated will be converted to a dollar 11 value based on the donor's regular hourly rate at the time of donation. Such dollar value will then be 12 divided by the receiving employee's regular hourly rate to determine the actual number of hours received. 13 14 7.3 Leave - Organ Donors. The manager/designee shall allow all employees eligible for paid 15 leave benefits who are voluntarily participating as donors in life-giving or life-saving procedures such 16 as, but not limited to, bone marrow transplants, kidney transplants, or blood transfusions to take five 17 (5) days paid leave, which shall not be charged to benefit time or extended sick leave, provided that: 18 A. The employee gives the manager/designee reasonable advance notice of the need to 19 take time off from work for the donation of bone marrow, a kidney, or other organs or tissue where 20 there is reasonable expectation that the employee's failure to donate may result in serious illness, 21 injury, pain or the eventual death of the identified recipient. 22 **B.** The employee provides written proof from an accredited medical institution, 23 organization or individual as to the need for the employee to donate bone marrow, a kidney, or other 24 organs or tissue or to participate in any other medial procedure where the participation of the donor is 25 unique or critical to a successful outcome. 26 Time off from work for the purpose set out above in excess of five (5) working days will be 27 subject to the terms of this Agreement.

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7.4 Leave of Absence without Pay. If a leave of absence is taken in conjunction with a

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7.6 Military Pay & Benefits Continuation. If an employee is called to involuntary active duty, she/he may be eligible for health benefit continuation and pay supplementation in accordance with County policy at the time the individual is called to active duty.

7.7 Jury Duty. If an employee eligible for leave is called for jury duty, then the employee will be entitled to regular pay for all workdays that the employee misses due to jury duty. The employee should deposit his or her jury duty fees, excluding mileage, with the Finance and Business Operations Division of the Department of Executive Services. Employees must contact their supervisor when dismissed from jury duty during regularly scheduled working hours and may be required to report back to work.

- 7.8 School Volunteer Leave. An employee may use up to three (3) days of extended sick leave per year for volunteering at the employee's children's school. The employee must obtain approval in advance from the employee's appointing authority.
- 7.9 Bereavement Leave. All employees eligible for leave benefits are entitled to three (3) paid days per occurrence of bereavement leave due to the death of an immediate family member. For purposes of this section, "immediate family" is defined as follows:

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Children; children of spouse or domestic partner; parents; parents of spouse or domestic partner; siblings; siblings of spouse or domestic partner; grandchildren; grandchildren of spouse or domestic partner; grandparents; grandparents of spouse or domestic partner; spouse or domestic partner.

Holidays designated pursuant to the County Code, or regular days off falling within the prescribed period of absence will not be charged against bereavement pay entitlement.

Employees who have exhausted their bereavement leave shall be entitled to use extended sick leave in the amount of three days for each instance of death when death occurs to a member of the employee's immediate family.

In the cases of family death where no extended sick leave benefit is authorized or exists, an employee may be granted use of benefit time or leave without pay.

7.10 King County Family and Medical Leave (KCFML).

- A. KCFML may be taken for an employee's own serious health condition; to care for a family member (defined as the employee's spouse or domestic partner, the employee's son or daughter, a son or daughter of the employee's spouse or domestic partner, the parent of the employee, employee's spouse or domestic partner or an individual who stands or stood in loco parentis to the employee, the employee's spouse or domestic partner) who has a serious health condition; or for the birth and care of a newborn or newly adopted child or placement of a foster child.
- **B.** To be eligible for KCFML to care for a family member other than the employee's child, an employee must have been employed by the County for twelve (12) months at any time or more and worked a minimum of 910 hours (35 hour employee) or 1040 hours (40 hour employee) in the preceding twelve (12) months (paid leaves such as benefit time and extended sick leave are not considered hours worked).
- C. An employee may take a total of up to eighteen (18) work weeks unpaid leave for his or her own serious health condition and for family medical reasons, combined, within a rolling twelve-month period. The leave may be continuous, which is consecutive days or weeks, or intermittent, which is taken in whole or partial days as needed.
 - 1. For purposes of this benefit, a rolling twelve-month period is measured

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backward from the date an employee uses any of his or her eighteen (18) week KCFML entitlement. For instance, each time an employee takes family and medical leave the remaining entitlement would be any balance of the eighteen (18) weeks which have not been used during the immediately preceding twelve (12) months. For example, if an employee has taken eight (8) weeks of leave during the past twelve (12) months, an additional ten (10) weeks could be taken. If an employee used four (4) weeks beginning February 1, 2002, six (6) weeks beginning June 1, 2002, and eight (8) weeks beginning December 1, 2002, the employee would not be entitled to any additional leave until February 1, 2003. However, beginning on February 1, 2003, the employee would be entitled to four (4) weeks of leave, on June 1, the employee would be entitled to an additional six (6) weeks, etc.

- 2. If the leave is taken for birth or adoption of a child, or placement of a foster child, and both parents are employed by King County, the aggregate total taken by both employees shall be eighteen (18) weeks.
 - **D.** Intermittent leave is subject to the following conditions:
- 1. When leave is taken after the birth or placement of a child for adoption or foster care, an employee may take leave intermittently or on a reduced leave schedule only if authorized by the employee's appointing authority.
- 2. An employee may take leave intermittently or on a reduced leave schedule when medically necessary due to a serious health condition of the employee or a family member of the employee; and
- 3. If an employee requests intermittent leave or leave on a reduced leave schedule that is foreseeable based on planned medical treatment, the appointing authority may require the employee to transfer temporarily to an available alternative position for which the employee is qualified and that has equivalent pay and benefits and that better accommodates recurring periods of leave than the regular position of the employee.
- E. Prior to using family or medical leave, any accrued compensatory time must be exhausted. The employee may choose to either use it as time off or receive a lump sum cashout. The employee must exhaust all accrued Extended Sick Leave prior to using unpaid leave for the employee's own health condition. For a leave for family reasons, the employee shall choose at the

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1	and has worked a minimum of 1,250 hours in the preceding twelve months (paid leaves such as
2	benefit time and extended sick leave are not considered hours worked), may be eligible for leave
3	under the FMLA.
4	B. The following are qualifying reasons for federal FMLA leave:
5	1. The birth or adoption of the employee's child, or placement of the
6	employee's foster child;
7	2. The employee's serious health condition;
8	3. The employee's spouse's, parent's, son's or daughter's serious health
9	condition.
10	C. All requests for FMLA require certification to be submitted with the request.
11	D. An employee is entitled to up to twelve weeks of FMLA leave in a rolling
12	twelve-month period.
13	1. If the leave is taken for birth or adoption of a child, or placement of a foster
14	child, and both parents are employed by King County, the aggregate total leave taken by both
15	employees shall be twelve weeks.
16	2. For purposes of this subsection, a rolling twelve-month period is measured
17	backward from the date an employee uses any FMLA leave. For instance, each time an employee
18	takes family or medical leave, the remaining leave entitlement would be any balance of the twelve
19	weeks which have not been used during the immediately preceding twelve months.
20	3. When leave is taken after the birth or placement of a child for adoption or
21	foster care, an employee may take leave intermittently or on a reduced leave schedule only if
22	authorized by the employee's appointing authority;
23	4. An employee may take leave intermittently or on a reduced schedule when
24	medically necessary due to a serious health condition of the employee or a family member of the
25	employee. When intermittent leave is needed to care for an immediate family member or the
26	employee's own illness, and is for planned medical treatment, the employee must try to schedule
27	treatment so as not to unduly disrupt the department's operation; and
28	5. If an employee requests intermittent leave or leave on a reduced leave
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insured benefits. 7.15 Additional Leave: If state or federal law provides additional leave benefits that an employee is entitled to by the terms of such laws, the employee shall be entitled to take those leaves as well. Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks,

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ARTICLE 8: HOURS OF WORK AND OVERTIME

8.1 Regular Schedule. Regular work shifts for full-time employees are eight (8) hours per day (exclusive of the meal period) for five (5) days per week, with Saturday and Sunday generally the days off. FLSA exempt employees are required to work the hours needed to perform their duties and will receive their normal rate of pay for the workweek regardless of whether or not they work on a holiday, and regardless of whether they are on a standard or alternative work schedule.

Alternative Work Schedules. A full-time employee may request, a four (4) day, forty (40) hour work week, a nine (9) day, eighty (80) hour bi-weekly work schedule, or other alternative schedule in order to support the County Commute Trip Reduction program. Employees will submit written requests for alternative work schedule approval to the Section Manager/designee. Requests will be evaluated and approved or denied relative to the business needs of the organization, and must be reviewed at least annually. In administering any such alternative work schedule, the following working conditions shall prevail:

- A. Employee participation shall be on a voluntary basis unless the Section Manager determines that an alternative schedule is essential to the business needs of the organization. The establishment of and approval for alternative work schedules is vested solely within the purview of the County and may be changed from time to time. Such changes will normally require at least two (2) weeks notice to the employee.
 - **B.** Section 6.9 sets forth terms regarding holidays and alternate work schedules.
- C. If multiple employees in a work group desire an alternative work schedule with the same days off, the County may, upon written notice to TEA, subject requests for alternative schedules to a bidding process, with priority given to employees in order of decreasing seniority.
- **D.** Employees who currently work on an alternative work schedule shall be permitted to retain that work schedule, subject to the management approval requirements in Section A.

8.2 Overtime.

A. Except as otherwise provided in this Article, FLSA Non-exempt employees shall be paid at an overtime rate of time and one-half (1-1/2) their regular rate of pay for all hours compensated in excess of forty (40) hours per week.

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- **B.** Overtime work shall require the prior approval of the employee's Section Manager/designee.
- 8.3 Compensatory Time. A non-exempt employee may request, and with approval of the Section Manager, may receive time off in lieu of overtime pay. Such time shall be earned on a time and one half (1-1/2) basis as provided under this Article. In accordance with the law the employee must initiate all requests for compensatory time off in lieu of overtime pay, and compensatory time accumulations will be governed by King County policy. Any unused compensatory time will be cashed out on the last payroll of the year.
- **8.4 Benefits for Employees Held Over.** Employees asked to work beyond their normally scheduled shift may use a County telephone to notify home when travel plans have changed.
- 8.5 Call Back. A non-exempt employee covered by this Agreement who is not on standby and is called to duty after completion of his or her regular shift or workweek, not contiguous with the employee's next regular shift shall be granted a minimum of four (4) hours pay at the time and one-half (1-1/2) rate of pay. Paid status will begin upon arrival at the work location, and will terminate at the completion of required work. In the event this overtime work is accomplished prior to the normal working hours and the employee subsequently works his or her regular shift, pay for the regular shift shall be at the employee's straight time rate.
- 8.6 Definition of Call Back. A Call Back shall be defined as a circumstance where an employee has left the work premises at the completion of his or her regular work shift and is required to report to duty prior to the start of his or her next regularly scheduled work shift. An employee who is called back to report to work before the commencement of his or her regular work shift shall be compensated in accordance with the Call Back provisions of this Collective Bargaining Agreement. An individual on standby pay is not eligible for call back pay.
- **8.7** Telecommuting. TEA and the County mutually recognize the importance of regularly reporting to the assigned work site for the purposes of accomplishing work, however, consistent with past practice, an employee may occasionally request, and a supervisor may occasionally approve, an alternative telecommuting work schedule for a limited period of time for the purpose of accommodating and balancing the individual needs of an employee and the business needs of the

organization. Additionally, employees are covered by the King County Telecommuting Policy (PER 18-4 (AEP)), and any amendments thereto.

8.8 Home Free Guarantee. The County will operate a program to provide employees with a free ride home, by taxi, if on a given day the employee has commuted to work by bus, carpool, vanpool, bike or walking on the day of the trip and has an emergency that day which requires the employee to leave work at other than the employee's regularly scheduled quit time. Determination of what constitutes a qualified emergency will be made at each worksite by the employee designated by the County. Employees can exercise their home free guarantee a maximum of eight (8) times per calendar year.

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ARTICLE 9: WORK-OUT-OF-CLASSIFICATION

- 9.1 General. Employees are to be properly paid for their assigned body of work, except in the case of incidental assignment as described below. No employee may assume the duties of a higher paid position without formal assignment, except in a bona fide emergency. Employees are not entitled to classification changes or compensation for work that is not assigned.
- 9.2 Incidental Assignment. Nothing in this article shall limit management from assigning an employee incidental work outside of the employee's current classification; such incidental work assignment shall not constitute the basis for an out-of-class assignment.
- 9.3 Special Duty Assignment. Employees may be assigned work out of their regular classification on a temporary basis by Special Duty Assignment. Restrictions on the length of the assignment are governed by County policy and the Personnel Guidelines. If this assigned work is to a lower classification, the employee will receive his/her normal rate of pay. Compensation for such special duty assignment shall begin on the day identified in the written assignment.

9.4 Pay on Special Duty

- A. Pay for a special duty assignment shall be to the first step of the pay range of the existing higher-level job classification or to a pay step in the existing higher classification that provides at least the equivalent of two steps (approximately 5 percent) increase over the employee's current rate of pay, whichever is greater.
- **B.** Special duty compensation may not exceed the top step of the new range unless the employee was receiving above-Step-10 merit pay. In those instances, the pay may exceed the maximum of the new pay range by no more than five percent and shall continue only as long as the merit pay would have remained in effect.
- C. When the special duty assignment is completed, the employee's pay shall revert to the pay rate the employee would have received if the employee had not been assigned to special duty.
- **D.** Special duty pay shall not be considered part of an employee's base pay rate for purposes of placement within a pay range as a result of promotion or reclassification.
- 9.5 Accretion and other work-out-of classification. Incidental assignments can have the cumulative effect of creating out-of-classification work by accretion when assigned work out of the

employee's current classification becomes the preponderance of the work performed by the employee. Reorganization, changes in job content or council actions may likewise cause the duties of a position to change, or a position may be otherwise incorrectly classified. Under these circumstances, employees may request the Human Resources Director (or designee) to review their job duties to determine if the duties and responsibilities performed by the employee are more accurately described in another, more appropriate, job classification.

A. County Classification Review Procedure. Employees will submit their request for reclassification by completing a Position Description Questionnaire and forwarding it to the WTD Human Resources Service Delivery Manager (SDM), who will forward it to the supervisor for review and comment. After the supervisor has reviewed and commented upon the PDQ, the PDQ will be returned to the employee for review and comment, and then submitted to the section manager and the division director before being returned to the WTD SDM for finalization. Once the PDQ has been finalized, it will be delivered to King County Human Resources Division for a classification analyst to review the request according to their policies and procedures and notify the employee of their findings when the review is completed.

- B. Effective Date. The effective date of reclassification under this article will be the date the employee submits the PDQ to WTD SDM after review and comment by the supervisor, or 30 days from the initial submission of a fully completed PDQ to WTD SDM, whichever is less (incomplete PDQ's will not be considered as received if the WTD HR analyst returns the PDQ to the employee for further completion).
- C. Classification and Compensation. Classification and compensation shall be in accordance with this Agreement. If a reclassification results in assignment to a higher paid classification, then the employee shall receive at least step one of the new pay range or two steps above the employee's current rate of pay, whichever is highest.
- D. Appeal. The County and the Union agree that disputes relating to the classification of a position will be submitted to the Division Director/designee of the Human Resources Division of the Department of Executive Services for reconsideration. If the Union disagrees with the Division Director's/designee's decision it may, within thirty (30) days, submit the

issue to a neutral third party. The neutral party will be selected in accordance with the grievance procedure in this Agreement. The decision of the neutral party shall be binding upon all parties. The classification issue (other than jurisdictional and pay-related) shall be presented to the neutral party and will not be subject to the King County Personnel Board or binding arbitration.

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10.1 Layoff. In the event of a reduction in force due to lack of work or lack of funds, layoffs will be conducted at the division level (WTD). The WTD Director (or designee) will provide written notification to the individual(s) whose position(s) is/are being eliminated. Prior to instituting layoff notification(s), all temporary, term-limited-temporary (TLT) and probationary employees within the skill area affected by the primary layoff (e.g. structural, mechanical, electrical, etc.) shall be released; provided, however, that WTD may retain a limited number of TLT employees during layoffs when there exists a legitimate business need to do so (e.g., the TLT is working on a project which is expected to be completed within four months of the date the layoff takes effect, the TLT possesses or the project requires unique skills and/or experience within the broader skill area, the project involves extensive communication and relationship with community members, etc.).

10.2 Bumping. A regular career service employee who has completed a probationary period so notified may accept layoff or elect to bump an employee with less seniority covered by this Agreement, as provided within this Section; provided, however, that a TLT employee who has been retained through layoff pursuant to Article 10.1 shall not be bumped. An employee will have five (5) work days from the time of written notification of layoff to provide written response to the County of his/her intent to exercise his/her bumping rights. An employee will forfeit his/her bumping rights if his/her written response is not submitted within five (5) days or the County has not accepted a late filing of the response. The County will, if it determines that there are warranting circumstances, accept a late filed response from an employee. Late response from those employees who could not be notified of a reduction in force due to leave, absence, or long-term vacation, etc., will be accepted.

Should the County accept the late filing, the resultant adversely affected employee(s) may not grieve such decision. Also, such acceptance of a late filing will not result in the re-administration of the bumping process.

An employee notified of layoff and each subsequently displaced employee may select any one of the following alternatives rather than accepting layoff:

A. Bump within the WTD Division to displace the least senior employee in his/her same classification for which s/he is qualified;

B. Bump the least senior employee within the WTD Division in a lower pay	ying
classification in his/her same classification series for which s/he is qualified;	

- C. Bump the least senior employee within the WTD Division in a lower paying classification outside of his/her current classification series that s/he has previously served a probationary period or had probation waived by the County and for which s/he is qualified;
- **D.** Bump the least senior employee within the WTD Division in a lateral classification (one that has the same top rate of pay) for which s/he is qualified and has previously served a probationary period or had probation waived by the County.
- 10.3 Transfer. In lieu of laying off a regular career service employee, the director of the Department of Executive Services (DES) or designee may reassign such employee to a comparable, vacant position, when the director of DES determines such reassignment to be in the best interest of the County.
- 10.4 Re-call Rights. A regular career service employee who is laid off will have recall rights to his/her previous position for two (2) years from the date of layoff. An employee retains his/her recall rights if he/she accepts a lesser position with the County. An employee who is laid off shall forfeit his/her recall rights if he/she refuses a recall to a comparable position.
- 10.5 Notice of Recall. A regular career service employee will have ten (10) days from the date the notice of recall is sent by certified mail in which to notify the County of whether he/she will accept the position. The County will consider the employee's failure to notify the County within ten (10) days a refusal, however, if the County determines that there are warranting circumstances, it may accept a late notice from an employee. Notices will be in writing. It is the employee's responsibility to keep the County informed of his/her current address.
- 10.6 Reinstatement. A regular career service employee recalled within two (2) years from the time of layoff will have any forfeited sick leave accruals and seniority restored and adjusted for the period of layoff, and vacation leave accrual rate restored.

11.1 Just Cause Standard. No career service employee shall be disciplined or discharged except for just cause. Probationary and temporary employees are employed at will and may be disciplined and discharged at any time by the County. Probationary and temporary employees may not grieve or in any way appeal discipline or discharge under this Agreement.

Term-limited employees are not subject to the just cause requirement but will be granted due process as defined in this section. Before being disciplined or discharged, except where the action is taken for budgetary or operational reasons, TLTs shall be provided a due process review. Not less than two (2) working days before the review, the employee shall be advised of the intended action and shall be provided notice of the accusations and all documentation relating to the accusations. TLTs shall be entitled to union representation at the review, which shall be held with the decision-maker.

11.2 Probationary Period for New employees. New employees shall be subject to a six (6) month probationary period. Employees who have been assigned to a position as an acting or TLT shall be provided credit for such time toward this period at the discretion of the appointing authority. A probationary period may be extended beyond six (6) months but no more than twelve (12) months upon agreement of the County, the employee, and TEA. A new employee shall receive a one-step pay increase upon successful completion of the probationary period, unless the employee is already at the top step (Step 10) of the wage range.

11.3 Trial Service Period upon Promotion, Reclassification, Transfer or Demotion.

Career Service bargaining unit employees promoted, reclassified or transferred to a new position in the bargaining unit shall be subject to a six (6) month trial service period in the new position.

Employees who have been performing the work of the new position in an acting capacity, or as the result of a reclassification, may be provided credit for such time toward this period at the discretion of the appointing authority. An employee successfully completing a trial service period may be eligible for a one step pay increase at the discretion of the hiring authority.

An employee who does not successfully complete the trial service period in a position to which the employee has been promoted, transferred or reclassified may be restored to the employee's former position, former salary, and all other benefits to which the employee would have been

entitled if the promotion, transfer or reclassification had not occurred. Provided further, there are no reversion rights if discharged for cause. Reversion will occur if the former position is still vacant (has not been offered and accepted by an applicant), and the position still exists. If the former position is unavailable, the individual will be offered any vacant WTD TEA represented position for which they are qualified. If they accept a lower range position, they will have recall rights to the next available position of the range they had at the time of the initial transfer for a period of up to two years. If no vacancy exists, the employee shall be separated from employment and shall be eligible for recall to any of the positions specified in this section for a period of up to two years. If they refuse to accept a position of equal range and similar duties (to the position initially vacated) for which they are qualified, they will be discharged without recall rights. An employee not successfully completing a trial service period upon voluntary or involuntary demotion will be separated from employment with no reversion or recall rights.

11.4 Disciplinary Action. Disciplinary action may include but not be limited to a written reprimand, suspension without pay, involuntary demotion, withholding of a step increase, reduction of a pay step, and discharge. The type and level of disciplinary action issued will be determined by the County based on the nature and severity of the behavior and/or performance deficiency that led to the disciplinary action. The suspension without pay of an employee who is exempt under the Fair Labor Standards Act may only be in full week increments unless the infraction is for the violation of a major safety rule. Counseling whether verbal or written, is not considered discipline and is not subject to the grievance procedure of this Agreement.

A. Verbal and Written Counseling. Instances of prior counseling shall not be used as a resolved disciplinary action for purposes of future disciplinary actions. However, instances of prior counseling may be used as prior notice to the employee and may be taken into account accordingly for subsequent disciplinary actions. Additionally, employees may provide a written response to any counseling maintained in the employee's personnel file, and said response shall be maintained in the employee's personnel file as well.

11.5 Cause for Disciplinary Action.

An employee may be disciplined for any of the following causes, or for any other justifiable

1	cause:
2	Dishonesty, including but not limited to dishonesty in securing appointment
3	Gross misconduct
4	• Incompetence
5	• Inefficiency
6	Unauthorized absence, including patterns of continual tardiness
7	Neglect of duty
8	• Insubordination
9	• Consumption of or being under the influence of alcohol or other drugs while on
10	duty
11	Conviction of a crime
12	Disorderly conduct while on duty
13	Negligent, reckless or knowing damage to or waste of public property
14	 Violation of any of the provisions of applicable federal or state law relating to
15	political activities
16	• Negligent, reckless or knowing violation of any of the provisions of the personne
17	guidelines or this Agreement.
18	 Violation of any lawful order, directive, or policy of a superior, including but no
19	limited to the Executive, department directors and division managers, or a
20	violation of the Employee Code of Ethics.
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ARTICLE 12: CONFLICT RESOLUTION

The County and TEA commit to addressing and resolving issues in a fair and responsible
manner and to use conflict resolution methods when appropriate. The County and TEA's relationship
depends on mutual respect and trust built upon the ability to recognize the individual employee's
value to the County and the employee's investment in the County. Early and informal resolution of
disagreements and workplace problems will enhance the productivity and quality of the workplace. It
shall be a goal of the County and TEA employees to enter the dispute resolution process before a
problem arises to the level of a disciplinary matter or grievance. The steps TEA recommends in
conflict resolution are awareness, acceptance, and action, using pre-designated colleagues in the roles
of natural facilitators to teach, lead and coach. Under no circumstances shall the initiation of the
conflict resolution procedure serve to waive any of the timelines set forth in the Grievance Procedure
provisions set forth in Article 13 below, unless by mutual agreement of TEA and the County.

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ARTICLE 13: GRIEVANCE PROCEDURE

13.1 Nature of the Procedure. Any dispute between the County and TEA or between the County and any employee covered by this Agreement concerning the interpretation, application, claim of breach or violation of the express terms of this Agreement shall be deemed a grievance.

Every effort will be made to settle grievances at the lowest possible level of supervision with the understanding grievances will be filed at the step in which there is authority to adjudicate, provided the immediate supervisor is notified. Employees will be unimpeded and free from restraint, interference, coercion, discrimination, or reprisal in seeking adjudication of their grievance. The conflict resolution procedure described in Article 12 of this agreement is also an encouraged method for resolving problems.

Grievances shall be heard during normal County working hours unless stipulated otherwise by the parties. Employees involved in such grievance meetings during their normal County working hours shall be allowed to do so without suffering a loss in pay.

Any time limits stipulated in the grievance procedure may be extended for stated periods of time by the appropriate parties by mutual agreement in writing.

13.2 Grievance Steps. A grievance in the interest of a majority of the employees in a bargaining unit shall be reduced to writing by TEA and may, at its discretion be introduced at Step 2 of the grievance procedure and be processed within twenty-one (21) days of the alleged violation or knowledge of the alleged violation.

A grievance shall be processed in accordance with the following procedure:

Step 1: A grievance shall be submitted in writing by TEA or the employee within twenty-one (21) calendar days of the alleged contract violation or within (21) calendar days of knowledge of the alleged violation to the grieving employee's immediate supervisor. The grievance shall include a description of the incident, identification of the provisions of the Agreement that apply (subject to refinement), the remedy sought, and the date the incident occurred. The immediate supervisor should arrange a meeting with TEA to resolve the grievance. The employee may elect to attend. The parties agree to make every effort to settle the grievance at this stage promptly. The immediate supervisor(s) shall answer the grievance in writing within fourteen (14) calendar days of receiving the written

grievance.

Step 2: If the grievance is not resolved as provided in Step 1 above, it shall be forwarded in writing to the Section Manager within ten (10) calendar days of the Step 1 written response. The manager shall convene a meeting with TEA within ten (10) calendar days after receipt of the grievance. The meeting shall include the aggrieved employee (at their option). The manager must reply in writing to TEA within ten (10) calendar days after the grievance meeting. The Section Manager will consult with WTD HR and the King County Labor Relations Director/designee and provide copies of all written grievances and responses to the WTD HR and the King County Labor Relations Director/designee. If the County and Association have been unable to resolve the grievance, the Association may request mediation or arbitration and will have thirty (30) calendar days to formalize this request in writing to the Office of Labor Relations who will provide a copy to WTD HR.

Step 3: Mediation - The County and Association will have thirty (30) working days from the mediation request date to schedule a mediation date. The County and the Association shall mutually agree upon a mediator. The decision reached in mediation shall be binding on the parties and, unless specifically agreed otherwise, not form a precedent with WTD for similar issues. If resolution is not reached in mediation, issues may be referred to arbitration, if it concerns the proper application or interpretation of the agreement.

The County and the Association shall each bear the cost of its own presentation and shall bear equally the fees and cost of the mediator.

The Association will have thirty (30) working days from the conclusion of mediation to make a written request for arbitration to the Office of Labor Relations who will provide a copy to WTD HR.

Step 4: Arbitration - If the grievance is not settled at Step 2 or Step 3, either of the signatory parties to this Agreement may submit the grievance to binding arbitration.

After the Demand for Arbitration is filed, the County and TEA will meet to select, by mutual agreement, an arbitrator. If the parties are unable to arrive at an agreement, either party may petition for a list of nine (9) arbitrators from the Public Employment Relations Commission (PERC) after

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ARTICLE 14: NON-DISCRIMINATION

The County or the Association shall not unlawfully discriminate against any employee with respect to compensation, terms, conditions or privileges of employment because of race, color, creed, religion, national origin, age, sex, sexual orientation, marital status, physical, mental or sensory disability, or union activities. Employees may process a grievance dealing with unlawful discrimination to Step 3 of the grievance procedure as described in Article 13. Failing to reach a settlement, employees may take the issues under this Article to the appropriate agency for adjudication.

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ARTICLE 15: WORK STOPPAGES AND EMPLOYER PROTECTION

15.1 No Work Stoppages. The County and the Association agree that the public interest requires efficient and uninterrupted performance of County services and to this end pledge their best efforts to avoid or eliminate any conduct contrary to this objective. Specifically, the Association shall not cause or condone any work stoppage, including any strike, slowdown, or refusal to perform any customarily assigned duties, sick leave absence which is not bona fide, or other interference with County functions by employees under this Agreement and, should same occur, the Association agrees to take appropriate steps to end such interference. Any concerted action by employees shall be deemed a work stoppage if any of the above activities occurs.

15.2 Association's Responsibilities. Upon notification in writing by the County to the Association that any of its members are engaged in such work stoppage, the Association shall direct each of its members to cease such stoppage and provide the County with a copy of such order. In addition, if requested by the County, a responsible official of the Association shall publicly order such employees to cease engaging in such a work stoppage.

ARTICLE 16: PART-TIME AND TEMPORARY EMPLOYMENT

employees shall be in accordance with Article 8.

16.1 Part Time Employees. The Section Manager/designee may approve an employee's request for a part-time schedule. Such approval is conditional on the County's determination of its business needs, and may be rescinded at any time due to changing business needs. The County shall normally provide a part-time employee at least two (2) weeks notice of any necessary change to the employee's part-time status.

All regular part-time employees scheduled for one-half time or more shall receive full medical benefits and privileges and prorated leave benefits. For hourly employees (i.e., employees not exempt from the overtime provisions of the FLSA), any hours worked in excess of the part-time employee's approved schedule up to forty (40) hours per week shall be paid at the straight time rate.

Compensation for hours paid in excess of forty (40) hours per week for non-exempt part-time

16.2 Part-time FLSA Exempt Employees. Part-time regular employees are those employees who work at least half-time but less than full-time in a calendar year. The following applies to part-time regular employees who are in job classifications that have been designated as FLSA Exempt:

- Part-time regular employees are treated for all purposes including compensation consistent with the FLSA designation of their job classification.
- Part-time regular FLSA exempt employees have their workload expectations and pay established relative to a full-time position.
- In accordance with the Executive Policy PER 8-1-2 (AEP), with approval of the HRD Director, an employee who would otherwise be exempt from the FLSA may be compensated on an FLSA non-exempt basis when the department director determines that this method is in the best interests of the department.
- Part-time regular FLSA exempt positions may be approved by hiring authorities on the following bases, in which both the pay level and workload expectations are established relative to a full-time equivalent (FTE) position:

o 0.5 FTE (20 hours per workweek)

1	o 0.6 FTE (24 hours per workweek)
2	o 0.75 FTE (30 hours per workweek)
3	o 0.8 FTE (32 hours per workweek)
4	o 0.9 FTE (36 hours per workweek)
5	16.3 Temporary Employees. Term Limited Temporary employees who have served at least
6	one (1) year with WTD shall be eligible to compete as internal candidates for full-time career service
7	positions represented by TEA. Term Limited Temporary employees shall be eligible to request a
8	part-time schedule in accordance with Article 16.1 above.
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ARTICLE 17: TIME, SPACE AND PROPERTY

- 17.1 Workplace Access. TEA representatives may, after notifying the appropriate Section Manager in charge, visit the work location of employees covered by this Agreement at any reasonable time for the purpose of investigating grievances. Such representative shall limit his or her activities during such investigations to matters relating to this Agreement.
- 17.2 Facilities. County space and facilities may be used by the Association for the purposes of administering the terms of this agreement so long as it does not interfere with the normal operations of the work site.
- 17.3 Release Time. The County agrees to recognize up to eight (8) employees appointed and identified by TEA as representatives. When contract administration business is conducted during working hours, these employees are responsible for coordinating in advance with their Section Manager or designee so as to not negatively impact Section workload. Contract administration includes Weingarten hearings, formal and informal grievances, mediation hearings and other meetings (excluding arbitrations), and similar contract related work with Section Managers and Division Management. TEA meetings that do not include Section Managers or Division Management will be conducted during non-working hours, meal periods or break periods. Release time for contract negotiation sessions between the County and TEA will be limited to up to a total of four (4) persons for the two (2) bargaining units.
- 17.4 Bulletin Boards. The County shall provide bulletin board space for the use of TEA in areas accessible to the members of the bargaining units. Only recognized officers and staff representatives of TEA will be entitled to post and remove TEA materials, and only materials originating from and identified as official TEA documents with a TEA signature, logo, or appropriate stamp may be posted on the TEA bulletin board space.
- 17.5 Equipment Use. The County recognizes that County business will include certain activities relating to contract administration. Employees who are designated by TEA as representatives may make limited use of County telephones, FAX machines, copiers and similar equipment for the purpose of contract administration. As an example, a few copies made occasionally would be limited use of the copier, not copies for the entire bargaining unit or sections

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thereof. Similarly, use of the FAX, computer, and telephone would be on an occasional as needed basis and not on a routine basis and not for communications broadcast to large numbers of employees. In addition, such employee representatives may use the County electronic email system for communications related to contract administration provided that the use is limited to use which is brief in duration and accumulation, and which does not interfere with or impair the conduct of other official County business. Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks,

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ARTICLE 18: SAVINGS CLAUSE

Should any part hereof or any provision in this Agreement be rendered or declared invalid by reason of any existing or subsequently enacted legislation or by any decree of a court of competent jurisdiction, such invalidation of such part or portion of this Agreement shall not invalidate the remaining portions hereof; provided, however, upon such invalidation the parties agree to meet within thirty (30) calendar days and negotiate such parts or provisions affected. The remaining parts or provisions of this Agreement shall remain in full force and effect.

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ARTICLE 19: WAGE RATES

19.1 Pay Ranges. Pay ranges for each classification are set forth in Appendix A.

19.2 Cost of Living Allowance.

- A. Effective January 1, 2009, wage rates in effect on December 31, 2008 shall be increased by an amount equal to ninety percent (90%) of the CPI-W, All Cities Index, September 2007 to September 2008 with a minimum increase of two percent (2%) and a maximum increase of six percent (6%).
- **B.** Effective January 1, 2010, wage rates in effect on December 31, 2009 shall be increased by an amount equal to ninety percent (90%) of the CPI-W, All Cities Index, September 2008 to September 2009 with a minimum increase of two percent (2%) and a maximum increase of six percent (6%) and then the wage ranges shall be reassigned as shown in Appendix A. Employees will be moved to the same step in the new range, with merit pay applied to the new range.
- C. The current wage rates for 2010 will remain in effect throughout 2011 with no cost of living or any other wage adjustment.
- 19.3 Normal Withholding. All payments made pursuant to this Agreement shall be subject to regular and legally required withholding. This will include deductions for purposes of the Public Employment Retirement System (PERS). King County shall be responsible to the Department of Retirement Systems (DRS) for payment of PERS contributions. Each individual shall be responsible to King County for repayment of the employee's share of their PERS contribution. Each individual shall have the PERS employee obligation deducted from any retroactive payment check.
- 19.4 Implementation Schedule. The County will implement any pay increases set forth in this Agreement as soon as practicable and consistent with all applicable laws.

19.5 Professional Registration and Certification Pay.

19.5.1 Introduction. To encourage professional development and to ensure the employment of qualified personnel in appropriate classifications, compensation for professional licenses and certifications will be provided in accordance with this article. Such compensation shall be paid to those employees who have obtained professional licenses and certifications or completed further education or paid for memberships in organizations that are directly applicable to their

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19.5.2 Professional Licenses. Employees who have one or more current

Washington State professional licenses in the branches of Civil, Mechanical, Electrical, Chemical, Environmental, Sanitary, or Structural shall be paid fifty (\$50) dollars per month. If the professional license is directly applicable to their employment, they will receive an additional fifty (\$50) dollars per month.

19.5.3 Certifications and Professional Designations.

A. Within the terms of this Agreement, certifications include the following:

ACI American Concrete Institute Concrete Construction Special Inspector (CM Classification Only) AWS American Welding Society Certified Welding Inspector (CM Classification Only) IAEI International Association of Electrical Inspectors Master Electrical Inspector (CM Classification Only) Crane Institute of America Certified Overhead Crane Inspector Certificate (CM Classification Only) NACE National Association of Corrosion Engineers (CM Classification Only) Association of Energy Engineers (AEE) Certified Energy Managers (CEM) American Institute of Certified Planners CMI Construction Manager Certified Public Accountant Hazardous Waste Certification HAZWOPER (when required by the job) One of International Right of Way Association-SR/WA, R/W-AC, EC, NAC, RAC, AMC (Environmental Programs Managing Supervisor) One of National Association Independent Fee Appraisers (Environmental Programs Managing Supervisor) One of Project Management Institute Certification (PMP, PMR) ICC Underground Storage Tank Installation/Retrofitting Certification Washington State Associate Brokers License (Environmental Programs Managing Supervisor) Washington State Bar Association

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Washington State Certified Real Estate Appraiser (Environmental Programs Managing Supervisor)
One of: Journey Electrician or Master Electrician or Electrical Administrator (CM Classification Only)
One of: AHERA Asbestos Building Inspector or EPA Lead Inspector (CM Classification Only)
Wastewater Treatment Operator
One of SAVE International (AVS, VMP, CVS)
One of AACE International (CCCTM/CCETM, CCTTM, EVPTM, and PSPTM)
Classical RCM or RCM 2 Facilitator
Certified Maintenance and Reliability Professional (SMRP)
Certified Professional in Erosion and Sediment Control
Green Building Certification Institute (GBCI) LEED AP

During the term of this Agreement, additional certifications may be added by mutual agreement of the parties to this contract.

B. All employees who have one or more valid certifications as described in Section 19.5.3(A) above in a discipline directly applicable to their employment, shall be paid an additional fifty (\$50) dollars per month per certification up to a maximum of one hundred (\$100) dollars per month. Employees must provide at least bi-annual documentation of a certification to receive compensation, or annually if certification requires annual renewal. Membership in an organization does not qualify an employee for compensation.

19.6 Professional Memberships, Licenses and Examination Reimbursements.

Employees are encouraged to join professional organizations for the purpose of further professional development. The employer recognizes the value of professional affiliation and agrees to reimburse up to \$400 annually for approved individual professional memberships, licenses and/or examinations that are related to the employee's position. An employee should discuss their desired memberships with their supervisor and/or WTD Human Resources to clarify whether it is an approved organization. Organizations or associations that issue the certifications/designations noted in 19.5.3 are approved, but as stated below, other restrictions may apply.

A. Employees may seek approval for reimbursement using the newly established

process (form submission to supervisor for routing and approval). An employee may belong to more than one organization, but total reimbursements will not exceed the annual maximum, as stated above.

In situations when multiple employees want to join the same organization, management may approve an agency level membership or limit the number of individual memberships where benefits can be shared among employees. Membership dues covering a period of greater than 12 months will be prorated, but shall not exceed the annual cap.

- **B.** Employees may seek reimbursement for professional exams that are relevant to the employee's position and duties. Employees may only seek reimbursement for a particular exam once during that year. The maximum reimbursement is limited to the amount stated above for any combination of memberships and/or exams.
- 19.7 Pay on Completing Probation. Newly hired employees (i.e., not including promotions or trial service situations) shall receive a one step increase upon satisfactory completion of the probationary period.
- 19.8 Pay on Promotion. An employee who is promoted will be placed either in the first step of the new salary range or at the step which is the equivalent of two steps (approximately five percent) more than the employee's former salary step, whichever is greater, but not to exceed the top step of the new range except as provided for below.

If the former salary step includes an above step-ten amount as a result of an incentive increase, the employee's new salary is based upon the above step-ten amount, provided that if such increase results in a salary that is above the top step of the new range (not to exceed 5% above the top step) the salary will be reduced to the top step at the end of the merit period unless the employee re-qualifies for an above-step-10 merit award.

When a promotion results from other than a reclassification, the appointing authority may place the promoted employee at any higher step in the salary range when the department director determines this action is warranted based on the criteria set forth in this Agreement and/or Personnel Guidelines as applicable, provided funds are available in the agency.

19.9 Advancement Through Pay Range. Career Service employees who are not on

probation shall advance through the applicable pay range at two step increments, up to step 10, provided the employee does not receive an annual performance evaluation with an overall rating below satisfactory. Advancement beyond the top of the pay range, or above-top-step merit pay, shall be in accordance with King County's Merit Pay Manual, except where such sources conflict with this Agreement or with any established past practice between the parties.

Advancement through the new pay range for term-limited temporary employees shall be in accordance with the King County Contingent Worker Manual, except where such sources conflict with this Agreement or with any established past practice between the parties.

19.10 Salary Study Subject to Mediation and Fact Finding. If the parties fail to reach agreement on wage rates, the parties will simultaneously (1) request the assistance of an impartial third party selected by the parties; if the parties cannot reach agreement, then the mediator will be selected through the Public Employment Relations Commission to mediate the negotiations; and (2) appoint a neutral fact-finder pursuant to the selection process below. The mediation will be scheduled ahead of the fact finding hearing. The fact-finder shall be charged to make non-binding recommendations to the parties as to the terms of an agreement regarding wage rates for the classifications at issue. The fact-finder shall consider the market position of the classifications and the economic circumstances of the employer in making his or her recommendations. The fact finding will be concluded no later than sixty (60) days after the conclusion of mediation with the recommendation to each party. The cost of the fact-finder shall be borne equally by the parties.

- A. Selection. The parties will attempt to mutually agree on a fact-finder. Absent such agreement, the parties will request a panel from the Public Employment Relations Commission and will select a fact-finder through mutual striking.
- **B.** Hearing. The hearing procedure shall be determined by the fact-finder but shall be conducted fairly and expeditiously.
- C. Recommendation. Prior to issuing a formal recommendation, the fact-finder will meet informally with the parties to inform them of his or her findings. Thereafter, the parties will have one week to reach an agreement. If the parties are unable to reach agreement the fact finder shall issue his or her non-binding decision.

ARTICLE 20: PRODUCTIVITY INITIATIVE

The management of King County Wastewater Treatment Division and the Association agree to engage in a productivity initiative for the benefit of the employees and the ratepayers of King County. Recognizing the inevitability of the need to improve the delivery of services, the parties to this agreement intend to work together to manage that process to their mutual benefit. We believe the partnership we are employing will continue to provide our customers with the best and most efficient, state of the art wastewater treatment utility in the country, while securing rewarding careers for the employees of the division.

In order to accomplish this change successfully, we agree to the following:

- 1. There will be no involuntary layoffs due to the Productivity Pilot Program in the Wastewater Treatment Division and as approved by the King County Council. Any reductions in force necessary to help meet Productivity Initiative goals should be accomplished through attrition.
- 2. This agreement acknowledges the partnership among Wastewater Treatment Division management, the County, the Association, and others to manage the change process as the Productivity Pilot Program is implemented and on a continual basis thereafter.
- 3. Management is committed to providing adequate resources for appropriate and necessary training, career development, and incentives consistent with the business needs, within financial constraints.

ARTICLE 21: PRODUCTIVITY INCENTIVE FUND

21.1 Goals and Parameters.

The goals of the Productivity Incentive Fund are as follows:

- Provide financial incentives to employees to achieve higher than projected savings to the sewer ratepayers.
- Encourage teamwork.
- Encourage employee involvement in and "ownership" of the business.

The parameters of the Productivity Incentive Fund shall be consistent with the annual wastewater service level requirements as set forth in the Productivity Pilot Program, adopted by Motion 11156 (April 27, 2001).

- 21.2 Productivity Incentive Fund for Wastewater. Henceforth, the productivity incentive fund, as defined herein, shall be established each calendar year after the baseline annual operating target savings identified in the aforementioned Productivity Pilot Program are met and verified through an independent review. Fifty percent (50%) of those additional savings shall be retained by King County Wastewater Treatment Division and fifty percent (50%) shall be assigned to a productivity incentive fund. A minimum of twenty-five percent (25%) of the (Operating and Capital) funds assigned to the productivity incentive fund shall be paid out in cash to all employees participating in the productivity initiative with the remaining seventy-five percent (75%) distributed in accordance with this Article. The fund shall be managed as defined in Article 21.4.
- 21.3 Productivity Incentive Plan for Wastewater Capital. The Productivity Pilot Program will develop a plan to identify additional savings associated with portions of the Wastewater Capital Program. The method of assigning savings to the Productivity Incentive Fund shall be specified in the plan. Nothing in this Agreement precludes other represented groups or non-represented employees from participating in the productivity incentive fund for the Wastewater Capital Program.

Certain capital program work of the wastewater program has traditionally been performed by independent contractors procured by the county rather than county employees. If the wastewater program begins to use county employees for all or any portion of such capital program work in connection with implementation of the productivity initiative, subsequent use of independent

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contractors shall not be limited as a result of this temporary pilot project.

21.4 Productivity Incentive Fund Oversight Committee. A Productivity Incentive Fund Oversight Committee shall be responsible for oversight of funds. The Productivity Initiative Fund Oversight Committee shall include three representatives from TEA as well as representatives of other labor organizations representing participating employees, management, plus one non-represented position. Ex-officio membership may include, but shall not be limited to the Office of the Executive and the Finance and Business Operations Division of the Department of Executive Services.

The Productivity Incentive Fund Oversight Committee shall have the authority and responsibility to determine the distribution and use of the fund, subject to approval by the Director of the Wastewater Treatment Division. The Productivity Incentive Fund Oversight Committee shall prepare an annual report on the management of the fund. The fund shall be audited on an annual basis. In addition to employee payouts, as referenced in Article 21.2, the distribution of the funds may include, but not be limited to:

- Increased annual pay-outs to employees,
- Investment in employees through training and other employee development programs,
- Award and recognition program,
- · Reserve fund, and
- Other activities consistent with achieving the goals of the Productivity Pilot Program.

ARTICLE 22: CONTRACTING OUT

The County shall first consider TEA employees to perform all work, regardless of the size of
the contract, and shall not contract out work that is performed and consistent with work covered by
members of the Association if the contracting of such work eliminates represented positions. In the
case of circumstances that are beyond the control of the County that could not reasonably have been
foreseen, and for projects that the County is not reasonably able to provide the necessary tools,
employees, or equipment to perform work in a timely and cost effective manner, the County shall be
allowed to enter into temporary contract arrangements. Prior to a layoff for lack of work, the County
and the Association will enter into a dialogue with the objective of avoiding the layoff. The County
will recall work assigned to consultants in order to avoid the layoff if 1) the work can be done by
TEA employees and 2) the recall can be done in a manner that does not compromise quality, schedule
and the overall business needs of the body of work or the project being recalled.

ARTICLE 23: SAFETY STANDARDS

The County and its employees value a safe working environment and recognize their mutual obligation to maintain safety standards. The County shall adopt and enforce a program in accordance with applicable state and federal laws and regulations. The County may create and enforce safety standards above those required by law, provided that nothing in this Article waives TEA's rights to collectively bargain. The County shall supply and maintain safety-related items and equipment as required by law or Department or Division policy or directive.

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ARTICLE 24: SPECIAL CONDITIONS

24.1 Authorized Leave Due to Inclement Weather or Safety Concerns.

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Department directors should make every reasonable effort to contact the Executive or Deputy Co

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Department directors should make every reasonable effort to contact the Executive or Deputy County

Executive prior to closing a department, facility or office.

B. Where a department director officially closes operations in his/her department

because of adverse weather conditions, or orders employees to leave the premises because of safety

concerns, all non-essential employees who are scheduled to work will be paid for the normally

scheduled workday. This applies to affected overtime exempt as well as hourly employees.

A. Administrative offices and operations of the County will remain open during

- Employees who previously requested and have been approved for time off
 (e.g., vacation or sick leave, compensatory time-off, leaves of absence) will have hours deducted from their accruals as approved.
- 2. Temporary (other than provisional, probationary, and term limited temporary) and part-time employees will be paid for hours actually worked.
- 3. Essential employees who are scheduled to work but do not because of adverse weather conditions may use accrued vacation or comp time (hourly employees), or accrued vacation or executive leave (FLSA exempt employees), or the time will be charged as leave-without-pay for the scheduled work day. A department director or designee shall make the determination as to which employees are essential and, consequently, which employees are required to report for work despite emergency conditions.
- C. Where a department, office or facility remains open but weather conditions prevent an employee from reporting to work, the following will apply:
 - 1. The employee will notify his or her supervisor as soon as possible.
- 2. The employee may request, and the Section Manager/designee may approve, the use of compensatory time, vacation time, or leave without pay to cover absences due to inclement weather. Sick leave may not be used to cover absences due to inclement weather.
 - 24.2 Automobile Reimbursement. No employee shall be required as a condition of

employment to provide a personal automobile for use on County business. Any use of a personal automobile for County business shall be mutually agreed to by the County and the employee and shall be reimbursed at the rate established by the Internal Revenue Service.

- 24.3 Transit Passes. All employees covered under this Agreement shall receive an annual transit pass entitling the holder to ride without payment of fare on public transportation operated under the County's authority. In addition, such employees shall be entitled to use the transit pass to ride without payment of fare on public transportation services operated by or under the authority of Sound Transit, Pierce Transit, Kitsap Transit, and Community Transit, subject to agreements with such agencies as may be entered into by the County Executive.
- 24.4 Defense and Indemnification. Whenever an employee is named as a defendant in a civil action arising out of the performance of the employee's duties and is acting within the scope of employment, the County shall, at the written request of the employee, furnish counsel (or, solely at the County's discretion, reimburse the employee the cost of their private counsel) to represent the employee to a final determination of the action, without cost to the employee, and indemnify the employee from any damages arising from such action or proceeding as consistent with the County Code, chapter 4.13.

ARTICLE 25: RETIREMENT All eligible employees shall be covered by the Public Employee Retirement System.

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors
July 1, 2008 through December 31, 2011

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ARTICLE 26: PROMOTIONS

The County and the Association agree to develop and maintain a promotional system that will allow employees to be promoted to job classifications within employees' own bargaining unit depending on their demonstrated skills, knowledge, and the availability of higher level work and funding. The benefits to the employees and the organization include the following:

- Increases efficiency and effectiveness by retaining trained and qualified employees
- Promotes a productive, high quality work environment
- Provides employees with career growth opportunities within the Wastewater
 Treatment Division
- Enhances employee morale

The County and the Association have the following shared interests for filling vacancies of positions represented by the Association:

- Hiring the most qualified candidate to fill the position
- A quick and fair process
- Promoting from within

Management will determine staffing requirements based on an analysis of the business needs. When new staffing positions are created or vacant positions are to be filled, it will be advertised to the employees represented by the Association. Employees shall complete and submit all requested application materials by the required application deadline.

Vacancies may be advertised simultaneously to the employees represented by the Association and those outside the Association in the interest of efficiency. Application materials will be reviewed to identify those bargaining unit candidates who meet the minimum qualifications of the positions based on the "qualifications" and "special necessary requirements" listed on the job bulletin. The highly qualified candidates are those who meet the "highly desirable" and/or "desirable" qualifications listed on the job bulletin. From this group, management will interview a minimum of three (3) highly qualified candidates before considering outside candidates. If there are fewer than three (3) highly qualified candidates represented by the Association, management may also consider the outside candidates. The most qualified candidate will be selected.

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors

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An employment list which has been created for one or more vacancies may be used multiple times within six months of its initial creation. Otherwise, a previous employment list may not be reused for future vacancies.

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ARTICLE 27: BENEFIT PLAN

Employees shall receive health care benefits under the County's Healthy Incentives™ Program as detailed in the parties' Memorandum of Agreement Regarding Health Benefits (executed December 4, 2006), attached hereto as Appendix C and as further modified by the Memorandum of Agreement Regarding Health Benefits for 2010-2012.

On January 1, 2010 new and additional terms contained in the Memorandum of Agreement regarding Health Benefits for 2010-2012 between King County and Unions representing King County Employees (attached in Appendix C) will go into effect superceding the 2007-2009 MOA, provided that Article 10 "Confidentiality of Information" from the 2007-2009 MOA will remain in effect throughout the duration of this agreement. Article 10 shall extend to any applicable vendor(s) replacing or in addition to Harris Health Trends.

Full-time regular, part-time regular, provisional, probationary and term-limited temporary employees and their spouse or domestic partner, each of their dependent children, and each of the dependent children of their spouse or domestic partner shall be eligible for the medical, dental, life, disability, and vision benefits provided for in this section (to the extent such benefits are available through insurers selected by the county).

Upon ratification of this agreement employees will move to the current health plan as outlined in Appendix C. This change shall be prospective and King County will not recover any back payments, deductibles or premiums.

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ARTICLE 28: DURATION

This Agreement shall become effective upon full and final ratification and approval by all formal requisite means by the Metropolitan King County Council and the King County Executive and shall be in effect July 1, 2008 through December 31, 2011.

King County Executive

For the Association:

Ade Franklin, President

Technical Employees Association

Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors
July 1, 2008 through December 31, 2011

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cba Code: 429

Union Code: W3

APPENDIX A WAGE ADDENDUM

Job Class Code	PeopleSoft Job Code	Classification	Range
2810100	281209	Administrator III	63
7115100	712802	Capital Projects Managing Supervisor	73
7117600	715602	Construction Management VI	73
5401100	540202	Environmental Programs Managing Supervisor	71
7119500	710402	Project Control Engineer Supervisor	71
7116600	714102	Wastewater Engineer VI	73

All salary ranges are on the King County Squared Salary Schedule

WAGE ADDENDUM Effective January 1, 2010

Job Class Code	PeopleSoft Job Code	Classification	Range
2810100	281209	Administrator III	63
7115100	712802	Capital Projects Managing Supervisor	74
7117600	715602	Construction Management VI	74
5401100	540202	Environmental Programs Managing Supervisor	73
7119500	710402	Project Control Engineer Supervisor	73
7116600	714102	Wastewater Engineer VI	74

All salary ranges are on the King County Squared Salary Schedule

1 APPENDIX B 2 Pursuant to Section 1.3 of this Agreement, the parties agree that the following provisions of the King 3 County Personnel Guidelines (2005) are preempted by the terms of this Agreement: 4 Preamble/Disclaimer Section 1.3 5 Chapter 4 6 Chapter 5 Sections 6.5, 6.6, 6.9, and 6.13 - 6.15 7 Chapter 9 Sections 11.1, 11.2, and 11.4 8 Sections 12.3 - 12.5 9 Sections 14.1, 14.2, 14.4-14.6, 14.9 - 14.13, and 14.15. Section 15.3 10 Chapter 16 Chapter 17 11 Chapter 18 12 Section 19.4 Chapter 22 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 Technical Employees Association - Wastewater Treatment Division, Department of Natural Resources and Parks,

Supervisors
July 1, 2008 through December 31, 2011
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Attachment B

MEMORANDUM OF AGREEMENT

Regarding

Health Benefits

For Represented Benefits-Eligible Employees within the
Wastewater Treatment and Transit Divisions
By and Between King County and
Technical Employees Association

Whereas, the parties have bargained in good faith regarding health insurance benefits for 2006, the parties hereby agree as follows:

- 1. The health care plans in effect for 2005 will be offered for 2006. Specifically, there will be three medical plans the KingCare Basic, the KingCare Preferred and the Group Health plans that will be offered to all benefit-eligible employees under the terms set forth in this Agreement.
 - During the bargaining for successor agreements covering all four TEA-represented bargaining units, the County will offer these same or substantially similar plans as well as the opportunity to participate in the wellness assessment and individual action plan portion of the Healthy Incentives Program. As soon as practicable after a ratified contract or an interest arbitration award, employees will be placed on the new benefit plans at the level earned in 2006 as set forth in Paragraph 17. Pending placement into the appropriate level, employees will remain on a substantially similar plan to the health plans currently in effect.
- 2. Effective February 18, 2006, benefit-eligible employees will begin participating in the Healthy Incentives SM Program to determine their out-of-pocket expense levels for 2007 as provided in Paragraph 17 of this Agreement. Effective January 1, 2007, benefit eligible employees will participate in the program to determine their out-of-pocket expense levels for 2008. In 2008, benefit-eligible employees will participate in the program to determine their out-of-pocket expense levels for 2009.
- 3. The Healthy IncentivesSM Program, effective January 1, 2007 through December 31, 2009, will have the following components:
 - There will be two benefit plans: KingCare (a preferred provider option) and Group Health (a health maintenance organization). For each benefit plan, there will be three levels of out-of-pocket expenses: a low (gold level), medium (silver) and higher (bronze).
 - The out-of-pocket expenses associated with each of the three levels for the two plans are set forth in Attachment A to this Agreement.

- Effective January 1, 2007, the copay for emergency room services shall increase to \$100/visit (waived if admitted) on both the KingCare and Group Health plans. This copay increase shall apply to each out-of-pocket expense level in each of the two plans.
- In addition to the out-of-pocket expenses, the plans will have a benefit access fee of \$35 per month. This fee shall apply only to benefit-eligible employees covering a spouse or domestic partner on the County's plans when that spouse/domestic partner has access to medical coverage through his/her employer. This fee shall not apply to employees whose spouse/domestic partner is also a King County employee. The fee will be assessed through regular payroll deduction in two equal parts (\$17.50) in the first two pay periods of each month. To the extent allowable by law, the fee will be deducted pre-tax.

4. Definition of Completion for Earning Gold Out-of-Pocket Expense Level

The following defines what is completion for the purposes of earning the gold out-of-pocket expense level. The timetable for completion for the purposes of earning the gold out-of-pocket expense level for 2007 is set forth in Paragraph 17.

a) Current Employees

Benefit-eligible employees on the payroll as of January 1 of each calendar year are required to complete the wellness assessment and participate in an individual follow-up program.

Benefit-eligible employees who take the wellness assessment by January 31 and complete an individual action plan by June 30 will be eligible for the gold out-of-pocket level. If an employee covers a spouse/domestic partner on the medical plan, the spouse/domestic partner must also take the wellness assessment by January 31 and complete an individual action plan by June 30. For purposes of this Agreement, an employee will be considered to have completed their individual action plan and in turn qualify for the gold out-of-pocket expense level by taking the specific actions associated with their risk profile outlined below.

Based on the data provided in the wellness assessment, Harris HealthTrends, Inc. will assign employees and spouses/domestic partners who take the wellness assessment one of three risk profiles: low, moderate, or high. Completion requirements to attain the gold out-of-pocket expense level are as follows:

- Low Risk Profile Participants: Fill out an online or paper log of activities for eight (8) weeks and return the completed log to Harris HealthTrends. Participation must commence no later than March 15 and the log must be returned to Harris HealthTrends no later than June 30.
- Moderate Risk Profile Participants: Accept at least three (3) coaching telephone calls from a health coach at Harris HealthTrends for a period of at least ninety (90) days. The 90-day time period begins with the first coaching call. The 90-day period

Technical Employees Association – [424] Wastewater Treatment Division, Department of Natural Resources & Parks, Staff and Supervisor Units

Technical Employees Association - [425] Department of Transportation - Staff and Supervisors

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will be extended as needed until the participant participates in three coaching calls. Participation must be commenced no later than March 15 and the three (3) coaching calls over a period of at least 90 days must be completed no later than June 30. Moderate risk profile members will be eligible and encouraged to receive an additional three months of coaching at no expense.

• High Risk Profile Participants: Accept at least three (3) coaching telephone calls from a health coach at Harris HealthTrends for a period of at least ninety (90) days. Participation must be commenced no later than March 15th and the three (3) coaching calls over a period of at least 90 days must be completed no later than June 30th. Highrisk profile members will be eligible and encouraged to receive an additional nine months of coaching at no expense.

No other personalized actions are required of participants other than those identified above.

b) New Hires

Employees hired or who become benefits-eligible after January 1 of each calendar year earn the gold out-of-pocket expense level by completing the wellness assessment within fourteen (14) days of attending New Employee Orientation. Their spouse/domestic partner must also complete the wellness assessment within fourteen (14) days of the employee's attendance at New Employee Orientation for the covered family members to receive the gold out-of-pocket expense level.

c) Effect of Adding/Dropping a Spouse/Domestic Partner after January 1 of each year

- Adding: If an employee adds a spouse/domestic partner after January 1 of any calendar year, the spouse/domestic partner will automatically receive benefits at the employee's earned out-of-pocket expense level for the remainder of that benefit year.
- **Dropping**: If an employee drops a spouse/domestic partner after January 1 of any calendar year, the employee will remain at the out-of-pocket expense level both employee and spouse/domestic partner had earned for the remainder of the benefits year.

d) Return from Unpaid Leave of Absence

An employee who returns to work from an unpaid leave of absence in excess of thirty days and who has exhausted his/her FMLA and KC FMLA leave entitlement will be treated as a new hire (and covered under the rules set forth in paragraph 2(b) above) when the employee returns to active status.

e) Opt Out of Medical Returning to Medical Insurance Coverage

Employees who are returning to County medical plans after having opted out of medical insurance will be placed in the out-of-pocket expense level that they earned for that

Technical Employees Association – [424] Wastewater Treatment Division, Department of Natural Resources & Parks, Staff and Supervisor Units

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benefit year. If they are covering themselves and their spouse/domestic partner, they will be placed at the out-of-pocket expense level that both the employee and the spouse/domestic partner earned for that year.

f) COBRA/Retirees

Any employee who purchases the plan as a retiree or a participant who purchases the plan under COBRA will be eligible for the same out-of-pocket expense level as they had earned while in active employment.

5. Criteria for Earning Silver Out-of-Pocket Expense Level

Employees who take the wellness assessment by June 30 but who do not complete an individual action plan will be eligible for the silver out-of-pocket expense level. If an employee covers a spouse/domestic partner on the medical plan, the spouse/domestic partner must also take the wellness assessment by June 30 for both to be eligible for the silver out-of-pocket expense level.

6. Criteria for Earning Bronze Out-of-Pocket Expense Level

Employees who do not take the wellness assessment by June 30 will be eligible for the bronze out-of-pocket expense level. If an employee covers a spouse/domestic partner on the medical plan and the spouse/domestic partner does not take the wellness assessment by June 30, both the employee and the spouse/domestic partner will be eligible only for the bronze out of pocket expenses level.

7. Appeals

At the end of July, Harris HealthTrends will provide reports determining the completion of the wellness assessments and individual action plans by employees and their spouses/domestic partners. Employees who disagree with the reports may appeal that determination directly with Harris HealthTrends during the month of August. Employees may also request a review and present any supporting documentation to the King County Benefits and Retirement Operations Section (BROS) of the Finance and Business Operations Division of the Department of Executive Services. Either the Union or BROS may bring an issue requiring resolution to a Labor Management Insurance Committee that the County and TEA will form to review and determine if further action, including referral to a different forum, is appropriate.

8. Wellness Assessment & Personalized Follow-up Programs

Completion of the wellness assessment and personalized follow-up program shall be done on employees' own (i.e., non-work) time; non-work time includes employees break and lunch

periods as well as before and after work. Employees may use County-issued equipment such as computers and phones to complete their wellness assessment and follow-up programs.

9. The parties acknowledge that improvements and innovations in the health care delivery system may occur during the term of this Agreement that will improve the plan design and support the program goals, which are to improve the health of employees and their families and to decrease the increased costs of health insurance between 2007-2009 by one-third. The parties agree that new features may be added during the term of this Agreement to improve the plan design and to support the program's goals. The parties further agree that, upon mutual agreement, the plan may be modified to take advantage of improvements and innovations consistent with the program goals. In addition, the County will develop methods of monitoring the program's progress in meeting its overall goals. If the program is not having the desired effects, the parties agree to explore and implement effective plan design changes to improve the program's success.

10. Confidentiality of Information

Employees may be required to complete the release set forth in Attachment B to this Agreement to allow for the development of an employee's individual action plan. All individual health information collected in any of the health care programs outlined herein will be held by a vendor external to the County in accordance with strict adherence to the Health Insurance Portability and Accountability Act (HIPAA) guidelines, regardless of whether the vendor(s) are covered entities under HIPAA. For purposes of this Agreement, the individually identifiable information from the Wellness Assessment and individual action plans will be considered protected under HIPAA. Employees do not have to answer every question on the wellness assessment. However, they are encouraged to answer as many questions as possible so Harris HealthTrends can provide an appropriate individual action plan.

11. Dental & Vision Insurance Benefits

The parties agree to extend the current plan designs without changes in coverage during the term of this Agreement. The County retains the right to change plan administrators, based upon competitive bidding procedures.

12. Retirees

The parties agree that for the duration of this Agreement retirees will continue to have available, under the same conditions that exist presently, the same plans as offered to active employees. The County will explore possible participation by retirees in some or all aspects of the Healthy IncentivesSM Program.

13. VEBA

Effective January 1, 2007, bargaining units may opt into participation in the Voluntary Employee Beneficiary Association (VEBA), which is a tax-exempt trust authorized by Internal Revenue Code Section 501(c)(9). The County's understanding is that if a bargaining unit opts to participate in the VEBA, all employees in positions covered by the bargaining unit must participate. If the Internal Revenue Service code allows for individual choice, such right to choice shall be included as part of the VEBA plan offered.

If a retiring employee is in a bargaining unit that has opted to participate in the VEBA plan, the County will transfer funds equal to that participating employee's cash-out of eligible, compensable sick leave tax-free to a VEBA trust account on that employee's behalf at his/her retirement. These funds will be transferred to the VEBA trust account in lieu of the regular cash-out to the employee, not in addition to the regular cash-out, subsequent to any individual choice set forth above.

The parties agree to reopen this Agreement in the event the Internal Revenue Service alters its VEBA plan rules.

14. Total Agreement

This Memorandum of Agreement comprises the entire Agreement of the parties with respect to the matters covered herein, and no agreement, statement or promise made by any party that is not included within this memorandum shall be binding or valid. This Agreement may be modified or amended only by a written amendment executed by all parties hereto. The parties agree that this is part of overall bargaining on successor agreements. TEA does not agree by this Agreement to be placed on the benefit plans until and unless there is an agreement or an award to that effect. However, TEA does agree to the contours of the plans and the eligibility requirements set forth herein. This Agreement may not be used as evidence at any interest arbitration that TEA has accepted placement on the plan.

15. Severability

The provisions of this Agreement are intended to be severable. If any term or provision of this Agreement is deemed illegal or invalid for any reason, such illegality shall not affect the validity of the remainder of this Agreement.

16. Term of this Agreement

This Agreement shall be in effective from January 1, 2006 through December 31, 2009.

17. Timeline for completion for the purposes of earning the gold level of out-of-pocket expenses for 2007

Effective February 18, 2006, employees may begin completing the wellness assessment. If an employee and covered spouse/domestic partner have completed the wellness assessment by March 6, 2006 on line (or by February 24 if by paper) and complete their individual action plans (plans as described in Paragraph 4 above) by July 31, 2006, the employee will be eligible for the gold level of out-of-pocket expenses.

Employees and their covered spouses/domestic partners have until July 31, 2006 to complete the wellness assessment to be eligible for the silver level of out-of-pocket expenses.

For Technical Employees Association:

President

For King County:

Nancy Buonando Grennan

Manager, Lábor Relations **Human Resources Division**

Department of Executive Services

MEMORANDUM OF AGREEMENT Regarding Health Benefits for 2010 - 2012 For Represented Benefits-Eligible Employees By and Between King County and Unions Representing King County Employees

Whereas, certain designated representatives of the County and the Unions signatory hereto have agreed to participate in discussions as members of the Joint Labor Management Insurance Committee ("JLMIC") for the purposes of reviewing, studying and making recommendations relative to the County's existing medical, dental, vision and life insurance programs; and

Whereas, collective bargaining agreements between King County and the unions representing its employees, with the exception of the King County Police Officers Guild, contain language adopting the recommendations of the JLMIC; and

Whereas, the County and the Unions signatory hereto have agreed to plan modifications for 2010 - 2012 to maintain the strength of the plan while recognizing the County's budget situation.

Therefore, having bargained in good faith, the JLMIC hereby agrees to the following benefit plan design change for 2010 - 2012.

- 1. The terms of the 2007 2009 Memoranda of Agreement will remain in effect through December 31, 2012 with the following modifications. Those agreements are attached hereto.
- 2. In-Network Out of Pocket Expense Levels. The following are the changes to KingCareSM benefits agreed to by the parties

	Gold	Silver	Bronze
Deductible	\$300 / \$900	\$600 / \$1,800	\$800 / \$2400
Annual Out of Pocket Maximum	No Change	No Change	No Change
Coinsurance (in-network/out of network)	85% / 65%	75% / 55%	75% / 55%

3. Prescription Drug Coverage. The parties agree to the following schedule for Rx co-pays

Drug Type	Retail (1 month supply)
Generic	\$7
Dispense as Written:	\$22
Preferred Brand	\$30
Dispensed as Written:	\$45
Non-preferred Brand	\$60

Home delivery will continue to be two times retail for a 90-day supply.

- 4. Rx Out of Pocket Maximum. The annual out of pocket maximum for prescription drugs will be \$1,500 individual/\$3,000 family.
- 5. Progressive Medication Program. The JLMIC agrees to adopt the progressive medication program ("PMP") as described by Express Scripts in their August 5, 2008 presentation, except that the program will include the following therapy classes:

NSAID,
Statin,
PPI,
Hypnotics,
Leukotrienes,
ARB/ACE,
Bisphophonates,
Nasal Steroids,
SSRI and Other Antidepressants,
Antivirals,
Non-sedating Antihistamines, and
Overactive Bladder.

The PMP will include grandfathering of plan members currently taking drugs in one of the drug classes.

The following describes the PMP, according to the August 5, 2008 presentation.

Progressive Medication Program. PMP is a program especially for people who take prescription drugs regularly (maintenance medication) for ongoing conditions like pain and inflammation, high cholesterol, ulcer disease, sleep disorders, asthma, and high blood pressure. It helps members get an effective medication to treat their condition while keeping the costs for members and the plan as low as possible.

Front-Line and Backup Drugs.

- Drugs are grouped according to copayment amounts.
- Front-line drugs the first step are generic drugs proven safe, effective and affordable. These drugs should be tried first because they provide the same health benefit as more expensive drugs, at a lower cost.
- Back-up drugs Step 2 and Step 3 drugs are brand-name drugs such as those you see advertised on TV. There are lower-cost brand drugs (Step 2) and higher-cost brand drugs (Step 3). Back-up drugs cost more than front-line drugs.
- The PMP is developed under the guidance and direction of independent, licensed doctors, pharmacists and other medical experts. Together these experts review the most current research on thousands of drugs tested and approved by the FDA for safety and effectiveness. Then they recommend appropriate prescription drugs for PMP.

Grandfathering.

• Only members who have new, first time prescriptions for a drug that is in one of the designated PMP therapy classes are affected by this program.

• Members who are already taking second or third line back-up drugs continue getting their prescriptions without interruption (they will be "grandfathered" for the back-up drug.)

Pharmacy Process

- When a new prescription is presented to the pharmacist, the pharmacist will look to sec what is covered.
- If the drug is not on the first-line list, the pharmacist will call the physician to discuss if the first-line drug is appropriate for the member.

• Most of the time, the pharmacist will reach the physician, and 1 of 2 things will happen:

- o The physician will write a new prescription for the lower cost front-line drug,
- O The physician will complete the clinical criteria to allow the back-up second or third line drug.
- Occasionally, the pharmacist may not be able to reach the physician while the member is in the pharmacy.
- In all cases the member will be able to leave the pharmacy with either a full month's supply of an appropriate drug, or a small supply (five-day) of the prescribed medication to take while the prescription is being processed.
- 6. Dental. The JLMIC agrees to increase the maximum annual benefit for dental care to \$2,500 per plan member.
 - 7. Benefit Access Fee. The JLMIC agrees to increase the benefit access fee to \$50.
- 8. Healthy Incentives. The JLMIC agrees to the continuation of the Healthy Incentives Program and the supportive environment necessary to make it effective.
- 9. Employee Education. In order to implement and administer this Memorandum of Agreement, the County commits to provide resources to adequately implement and administer the benefits program agreed to herein.
 - 10. Reopener. The parties may reopen by mutual agreement.
- 11. Further Study. The parties agree to continue to study options including the Washington Teamsters Welfare Trust and the Washington State Public Employees Benefits Board Plan.

12. Term, Total Agreement & Severability.

A. Total Agreement. This agreement comprises the entire Agreement of the parties with respect to the matters covered herein, and no other agreement, statement or promise made by any party which is not included herein shall be binding or valid. This Agreement may be modified or amended only by a written agreement.

B. Severability. The provisions of the Agreement are intended to be severable. If any term or provision of this Agreement is deemed illegal or invalid for any reason, such illegality shall not affect the validity of the remainder of this Agreement.

C. Term. This Agreement shall be in effect from January 1, 2010 through December 31, 2012.

On behalf of King County:

King County Executive

MARCH 27,2009

On behalf of the Unions Participating in the Joint Labor Management Insurance Committee:

Whitney Hupf

Union Representative

International Federation of Professional and

Technical Engineers, Local 17

Dustin Fredrick

Business Manager

Public Safety Employees Union

Tracey A. Thompson

Secretary-Treasurer

International Brotherhood of Teamsters

Local 117

Lance F. Norton

President/Business Agent

Amalgamated Transit Union, Local 587

Debbie Foley

Organizer/Representative

Service Employees International Union,

Local 925

Diana Prenguber

Staff Representative

Washington State Council of County and City

Employees ·

Randy Weaver

Vice President

King County Corrections Guild

Bowen Jeffers

Business Representative

Laborers' International Union of North

America, Local 1239

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MEMORANDUM OF AGREEMENT BY AND BETWEEN KING COUNTY AND

TECHNICAL EMPLOYEES ASSOCIATION (WASTEWATER TREATMENT DIVISION, DEPARTMENT OF NATURAL RESOURCES AND PARKS, SUPERVISORS)

Subject: Regarding 2009 Emergency Budget Furlough

King County and the Technical Employees Association, representing supervisors in the Wastewater Treatment Division, Department of Natural Resources and Parks, having bargained in good faith regarding the 2009 Emergency Budget Furlough, hereby agree as follows:

- 1. The Technical Employees Association agrees to the terms of the Memorandum of Agreement by and Between King County and Members of the King County Coalition of Unions Addressing the 2009 Budget Crisis ("Memorandum of Agreement", attached hereto as Attachment 1) with the following modifications: Paragraphs 15 and 21 shall not apply.
- 2. In accordance with Paragraph 18, members of this bargaining unit will receive one half the time in 2011 and one half the time in 2012.
- 3. TEA agrees to waive it rights to remedies potentially available to Wastewater staff members as a result of the pending ULP.

For Fechnical Employees Association:

Adé Franklin
President

Adé President

For King County:

Jim Johnson

Labor Negotiator

Office of Labor Relations

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MEMORANDUM OF AGREEMENT BY AND BETWEEN KING COUNTY AND MEMBERS OF THE KING COUNTY COALITION OF UNIONS ADDRESSING THE 2009 BUDGET CRISIS

WHEREAS the County is experiencing a financial emergency;

WHEREAS, effective January 1, 2009, the County will eliminate hundreds of positions;

WHEREAS a majority of the County's collective bargaining agreements provide for yearly increases based on 90% of the September to September Urban Wage Earners and Clerical Workers Consumer Price index (CPI);

WHEREAS the CPI has historically produced wage increases of between 2% and 3%;

WHEREAS, as the result of the national financial crisis, the County's revenues are significantly restricted while, simultaneously, the CPI will dictate a cost of living adjustment established at 4.88% for 2009;

WHEREAS substantially shutting down all but essential County services for ten days would produce significant savings and preserve employees' COLA, merit and step pay; and

WHEREAS the parties will through this agreement help to preserve essential services and reduce the layoffs necessary during 2009.

NOW THEREFORE, King County and the undersigned unions agree as follows.

1. The County will substantially shut down most of its facilities on the following days during the 2009 calendar year requiring mandated leave by all eligible County employees:

Friday, January 2, 2009
Friday, February 13, 2009
Friday, April 10, 2009
Friday, May 22, 2009
Friday, June 19, 2009
Monday, July 6, 2009
Friday, September 4, 2009
Monday, October 12, 2009
Wednesday, November 25, 2009
Thursday, December 24, 2009

Appendix D - Attachment 1

- 2. Employees that regularly work 80 hours in a two-week pay period will take the above-referenced days off, unpaid, to the extent that they are regularly scheduled to work on those days. To the extent that one or more of the above-referenced days falls on a regularly scheduled day off, the affected employee will schedule alternate furlough day(s) such that the amount of furlough equates to an 80 hour furlough. Employees that regularly work less than 80 hours in a two week pay period will be furloughed on a prorated basis. Regular holiday pay will not be impacted.
- 3. Employees in a position earning \$16.92 per hour or less during the pay period of a mandated leave day may, for that mandated leave day, use their vacation leave or accept donated vacation leave to cover their pay for that day.
- 4. With approval from management, employees may voluntarily donate vacation leave to employees in a position earning \$16.92 per hour or less or below during the pay period of a mandated leave day for the sole purpose of helping these employees preserve their pay during the mandated leave. Unused donated leave will remain with the donee and will not revert back to the donor.
- 5. Employees enrolled in the PERS 1 or PERS 2 program who submit letters of intent to retire to the County during calendar years 2009 or 2010 may, for their mandated leave days, use vacation leave. Should any employee who submits such notice not retire during 2009 or 2010, an additional amount of vacation, equal to the number of vacation days that were used for mandated leave in 2009, will be deducted from their leave banks at the end of the respective calendar year.
- 6. Employees may not perform County work while on a mandated leave day or work additional hours during the workweek to make up for the mandated leave time. Mandatory unpaid leave during the County's shut-down days is non-compensable time under the Washington Minimum Wage Act (WMWA) and the Fair Labor Standards Act (FLSA) and will not count as hours worked towards the overtime threshold; provided that unique issues will be dealt with pursuant to paragraph 11.
- 7. FLSA exempt employees may not work time in excess of a standard schedule during the weeks that contain mandated leave days. FLSA exempt employees must record the days and hours they worked and the days and hours they did not work during pay periods including a mandatory leave day.
- 8. Vacation and sick leave accruals will continue during mandated leave days. Where an employee is denied vacation time as the result of the Department's necessity to schedule mandated leave time, the employee may carry over vacation in excess of the cap.
- 9. Medical, dental, vision and any other insured benefits will be unaffected by the mandated leave except where an employee is on unpaid status for 30 consecutive days or more.

Appendix D - Attachment 1

- 10. Mandatory unpaid leave will not count as a break in service and shall not affect seniority or step advancement. Probationary periods will not be impacted in that mandatory leave days will not add to the length of probation.
- 11. The applicable union and departmental management will meet as necessary to discuss any other issues related to the implementation of the mandatory leave days, with the goal that all eligible employees will serve equitable mandated leaves. Discussions may include how to implement the mandated leave for employees on alternative work schedules or part-time employees.
- 12. With approval from management, employees will be permitted to take additional unpaid leave beyond the established mandatory leave days. FLSA-exempt employees must take voluntary unpaid leave in full workweek increments. Hourly employees may take voluntary unpaid leave in hourly increments. Supervisors and managers are strongly encouraged to approve these requests unless operational needs preclude them from doing so. However, unpaid leave days should not be granted if it will result in the need for another employee to work overtime to perform the duties that would otherwise be completed by the employee taking the unpaid leave day(s) or otherwise result in net loss of County revenue.
- 13. For signatory unions with contracts expired or expiring in 2009, the County will agree to extend the COLA, merit and step wage provisions through 2010.
- 14. To the extent this agreement conflicts with any provisions of collective bargaining agreements or any County procedures or guidelines, this agreement controls.
- 15. By October 30, 2008, the County will produce to the unions a list of furlough-ineligible classifications and work units within the Executive Branch. Furloughs for employees, classifications and work units within the Executive Branch will be for no less time and under circumstances not more favorable than the employees furloughed pursuant to this Agreement. The County may make minor adjustments to the October 30, 2008 list as needed for error, emergent circumstances, or King County Council action.
- 16. The County agrees to provide notice to all impacted County employees about the 2009 mandatory leave as soon as practicable.
- 17. The County acknowledges and recognizes that as a result of the shut-downs, less work will be performed and that certain delays and/or reductions in service may result. Work expectations shall be commensurate with the reduced schedule.
- 18. The parties agree that employees furloughed in 2009 will receive the equivalent of the time on furlough in furlough replacement time. One half of the time will be awarded in 2010 and one half in 2011, unless the County is in an officially declared and Council sanctioned financial emergency. Any unused furlough replacement time will expire at the end of the calendar year in which it was provided.

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- 19. The County commits that, with respect to the undersigned parties, these furloughs will avoid additional 2009 layoffs that would otherwise have been necessary. In 2009, however, the County may need to layoff further employees as a result of changed or unforeseen circumstances or seasonal business needs.
- 20. The parties acknowledge that all parties have fulfilled their obligations to engage in collective bargaining over the subjects contained in this agreement.
- 21. The parties acknowledge that this agreement is subject to approval by the King County Council.
- 22. Any dispute regarding the interpretation and/or application of this Agreement shall be handled pursuant to the terms of the applicable Union's grievance procedure; provided that if more than one Union has the same or similar dispute, the grievances shall be consolidated.

APPROVED this		3 day of MVGmBEK, 2008.
		1
1	Ų.	By:
,		King County Executive



Checklist and Summary of Changes for the attached Collective Bargaining Agreement

Name of Agreement

Technical Employees Association (Wastewater Treatment Division, Department of Natural Resources and Parks, Supervisors) and Memorandum of Agreement

Labor Negotiator

James J. Johnson

Prosecuting Attorney's Review	Yes
Document Tracking System Routing Form; Motion or Ordinance	Yes
Executive Letter	Yes
Fiscal Note	Yes
Six Point Summary	Yes
King County Council Adopted Labor Policies Contract Summary	Yes
Ordinance	Yes
Original Signed Agreement(s)	Yes
Does transmittal include MOU/MOA? X1	Yes

Six Point Summary of changes to the attached agreement:

- 1. The contract provides retroactive cost-of-living adjustments for 2009 and 2010 in amounts equal to 90% of the CPI-W, All Cities Index, September to September with a minimum increase of 2% and a maximum increase of 6%.
- 2. The bargaining unit accepted zero COLA for 2011.
- 3. Effective January 1, 2010, the contract provides that classifications of Capital Projects Managing Supervisor, Construction Management VI and Wastewater Engineer VI move from pay range 73 to 74. The contract provides further that the classifications of Environmental Managing Supervisor and Project Control Engineer Supervisor move from pay range 71 to 73. These range changes create external and internal alignment.
- 4. The parties agreed to updates to the certifications and professional pay designations.
- 5. The contract provides for a Benefit Time leave system, which replaces the vacation, holiday and sick leave provisions.
- 6. The parties agree to a joint economic analysis of the costs and benefits of the union's proposed increase of the Benefit Time cash out.

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CONTRACT SUMMARY

CONTRACT: Technical Employees Association (Wastewater

Treatment Division, Department of Natural Resources,

Supervisors) and memorandum of agreement

TERM OF CONTRACT: July 1, 2008, through December 31, 2011

DESCRIPTION OF WORK PERFORMED BY BARGAINING

UNIT MEMBERS:

The employees in this bargaining unit supervise employees and provide the technical skills related to the planning, design, engineering and construction of King County's

wastewater treatment services.

NEGOTIATOR: James J. Johnson

COUNCIL POLICY	COMMENTS
REDUCTION-IN-FORCE:	The contract provides for seniority-based layoffs, with the ability to retain certain employees involved in critical projects.
► INTEREST-BASED BARGAINING:	The parties used a collaborative approach to these negotiations.
VACATION ACCRUAL & SICK LEAVE CASHOUT:	The bargaining unit will move to a benefit time system that includes cash-out provisions, consistent with a previously established model in the Wastewater Treatment Division.
DIVERSITY IN THE COUNTY'S WORKFORCE:	The contract contains language prohibiting discrimination in the terms and conditions of the bargaining unit's members' employment with King County.
CONTRACTING OUT OF WORK:	The contract prohibits the contracting out of bargaining unit work except in instances of emergency or necessity.
LABOR / MANAGEMENT COMMITTEES:	This contract does not provide for a labor-management committee.
DISCIPLINE & GRIEVANCES:	The contract provides a just cause standard for discipline of career service employees. The contract contains a grievance procedure which encourages resolution at the lowest level and continues through arbitration.
> MEDIATION:	The contract contains a provision whereby grievances may be formally advanced to mediation prior to going to arbitration.
CONTRACT CONSOLIDATION:	The bargaining unit contains supervisory employees in the Project Planning and Delivery Section, Brightwater Section, Resource Recovery Section and Environmental and Community Services Section.

428P0110

KING COUNTY COUNCIL ADOPTED LABOR POLICIES CONTRACT SUMMARY

CONTRACT:

Technical Employees Association (Wastewater Treatment Division, Department of Natural Resources, Supervisors) and memorandum of agreement

COUNCIL POLICY	COMMENTS
BENEFITS TRUST PLAN:	N/A
➤ HEALTH BENEFITS COST SHARING:	This contract adopts the county's Joint Labor Management Insurance Committee health benefits plan.
RELEASE TIME:	Bargaining unit employees were afforded release time to participate in negotiations.
TIMELINESS OF LABOR CONTRACT NEGOTIATIONS:	The parties were diligent to negotiate this contract as expeditiously as possible.
TIMELINESS OF IMPLEMENTATION:	The county has begun preparations to ensure the changes to the contract are implemented as soon as practicable.
➤ USE OF TEMPORARY AND PART-TIME EMPLOYEES:	The contract provides for the employment of temporary and part-time workers consistent with King County policy.
➤ USE OF LEAVE FOR PERSONAL AND FAMILY MEDICAL PURPOSES:	The contract contains the county's family and medical leave provisions as provided for in King County Code chapter 3.12.

MISCELLANEOUS CONTRACT ISSUES:				
➤ BIWEEKLY PAY: Wages under this contract are paid from the county's biweekly payroll system.				
► INTEREST ARBITRATION ELIGIBLE:	This bargaining unit is not eligible for interest arbitration.			
NO STRIKE PROVISION:	The contract contains language prohibiting strikes or other similar concerted activities.			
> ADDITIONAL LEAVE PROVISIONS:	The contract provides for a Benefit Time leave system, which replaces the vacation, holiday and sick leave provisions.			
Hours of Work:	The employees in this bargaining unit work 40-hour work weeks, unless part-time, and have alternative work schedules available to them.			
PERFORMANCE EVALUATIONS:	The employees in this bargaining unit receive performance evaluations on a regular basis.			

	King County FISCAL NOTE				
Ordinance/Motion No.	Collective Bargaining Agreement				
Title:	Technical Employees Association (Wastewater Treatment Di	vision,			
	Department of Natural Resources and Parks, Supervisors)				
Effective Date:	7/1/2008 – 12/31/2011				
Affected Agency and/or Agencies:	Department of Natural Resources and Parks - Wastewater Tre	eatment			
	Division				
Note Prepared by:	Matthew McCoy, Labor Relations Analyst, Office of Labor Phone: 205-800				
Note Frepared by:	Relations				
Department Sign Off.	Tim Aratani, Manager, Finance & Administrative,	Phone: 263-6565			
Department Sign Off:	Wastewater Treatment Division, DNRP				
Note Reviewed by: Supplemental Required? Shelley De Wys, Budget Analyst					
NO YES YES					

EXPENDITURES FROM:							
Fund Title	Fund Code	Department	2009 & 2010 Retro	2011			
WTD	461	DNRP	\$ 326,582	\$ 31,572			
mom. 1.7			# 00 (TOO	*			
TOTAL			\$ 326,582	\$ 31,572			

EXPENDITURE BY CATEGORIES:									
Expense Type	Dept Cod e	Department		2009 Base		9 & 2010 Retro		2011	
Salaries			\$	1,879,679	\$	284,827	\$	27,535	
OT									
PERS & FICA			\$	275,561	\$	41,756	\$	4,037	
TOTAL			\$	2,155,240	\$	326,582	\$	31,572	

		ASSUMPTIONS:
Ass	sumptions used in estimating expenditure	include:
1.	Contract Period (s):	7/1/2008 - 12/31/2011.
2.	Wage Adjustments & Effective Dates:	
	COLA:	4.88% for 2009, 2.00% for 2010, 0% for 2011.
	Other:	3 classes getting 1 range increase (11 employees), 2 classes getting 2 range increase
		(6 employees) – both effective 1/1/2010. Employees converted to Benefit Time
		leave plan with cash-out option if accumulate greater than 480 hours in leave bank.
	Retro/Lump Sum Payment:	
3.	Other Wage-Related Factors:	
	Step Increase Movement:	
	PERS/FICA:	14.66%
	Overtime:	
1	Other Cost Factors:	
4.	Other Cost Factors:	Stoffing level accumed constant
		Staffing level assumed constant. Additional certification pay – de-minimis cost.
		Additional Critineation pay — de-himmin Cost.

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May 23, 2011

The Honorable Larry Gossett Chair, King County Council Room 1200 C O U R T H O U S E

Dear Councilmember Gossett:

The enclosed ordinance, if approved, will ratify the Technical Employees Association (Wastewater Treatment Division, Supervisors) collective bargaining agreement and memorandum of agreement for the period of July 1, 2008, through December 31, 2011. This agreement covers 17 employees in the Department of Natural Resources and Parks.

The employees in this bargaining unit supervise employees and provide the technical skills related to the planning, design, engineering and construction of King County's wastewater treatment services. King County's facilities are the most sophisticated wastewater treatment facilities in the northwest region of the United States and our facilities provide wastewater treatment services to the largest population in the region. The design and construction of these facilities require high levels of design, engineering and project management skills.

The enclosed collective bargaining agreement provides cost-of-living adjustments (COLA) for 2009 and 2010, with agreement for no COLA in 2011. Effective January 1, 2010, bargaining unit employees in the classifications of Capital Projects Managing Supervisor, Construction Management VI and Wastewater Engineer VI move from pay range 73 to 74. The contract provides further that the classifications of Environmental Managing Supervisor and Project Control Engineer Supervisor move from pay range 71 to 73. These new ranges create alignment with comparable County positions and the external market. A Benefit Time leave system, which replaces the vacation, holiday, and sick leave provisions has been negotiated and the parties have agreed to explore whether subsequent cash out provision under this new article would create greater efficiencies through less reliance on contract workers.

The settlement reached is a product of good faith collective bargaining between King County and the Union. The agreement compares favorably with other settlements and is within our capacity to finance. This agreement has been reviewed by the Office of the Prosecuting Attorney, Civil Division.

The Honorable Larry Gossett May 23, 2011 Page 2

If you have questions, please contact Patti Cole-Tindall, Director, Office of Labor Relations, at 206-296-4273.

Sincerely,

Dow Constantine King County Executive

Enclosures

cc: King County Councilmembers

ATTN: Acting Chief of Staff
Anne Noris, Clerk of the Council

Dwight Dively, Director, Office of Performance, Strategy, and Budget

Patti Cole-Tindall, Director, Office of Labor Relations

Carrie S. Cihak, Director of Policy and Strategic Initiatives, King County Executive Office

Annual Accrual of Leave Days: Current vs. Previous Agreement¹

	Curi	Current Agreement	∍nt	Pr	Previous Agreement	eement	
Seniority	Benefit Time	Extended Sick Leave	Total Leave	Holidays, Personal	Vacation	Sick Leave	Total Leave
Less than 5 years	29	7	36	12	12	12	36
5 years but less than 8 years	32	7	39	12	15	12	39
8 years but less than 10 years	33	7	40	12	91	12	40
10 years but less than 16 years	37	7	44	12	20	12	44
16 years but less than 17 years	38	7	45	12	21	12	45
17 years but less than 18 years	39	7	46	12	22	12	46
18 years but less than 19 years	40	7	47	12	23	12	47
19 years but less than 20 years	41	7	48	12	24	12	48
20 years but less than 21 years	42	7	46	12	25	12	49
21 years but less than 22 years	43	7	50	12	26	12	50
22 years but less than 23 years	44	7	51	12	27	12	51
23 years but less than 24 years	45	7	52	12	28	12	52
24 years but less than 25 years	46	7	53	12	29	12	53
More than 25 years of service	47	7	54	12	30	12	54

Note: All numbers refer to 8-hour days, except as otherwise indicated. The table assumes a 2,080-hour year (250 8-hour work days, plus 10 holidays).

¹ Table prepared by Council staff.