



Signature Report

April 14, 2003

Ordinance 14599

Proposed No. 2003-0103.2

Sponsors Phillips and Patterson

1 AN ORDINANCE changing the submittal date of the
 2 wastewater CIP reallocation report to April 15 of each year;
 3 and modifying requirements for the contents of the annual
 4 wastewater CIP reallocation report and amending Ordinance
 5 14122, Section 6, and K.C.C. 4.04.280.

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8 BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

9 SECTION 1. Ordinance 14122, Section 6, and K.C.C. 4.04.280 are each hereby
 10 amended to read as follows:

11 **Wastewater capital improvement budgeting.**

12 A. This section establishes procedures required for flexible response budgeting
 13 provisions applicable to the wastewater CIP beginning in 2002. Except as specifically
 14 provided in this section, budgeting for the wastewater CIP shall be in accordance with
 15 other applicable county law.

16 B. To allow reprogramming flexibility needed to respond in a timely manner to
 17 events beyond the control of the wastewater treatment division that result in temporary

18 postponement or acceleration of wastewater CIP projects allocated in the current budget
19 year, and notwithstanding any other provision of county law, current-year budget
20 authorization for the wastewater CIP shall be appropriated at the total CIP fund level
21 rather than CIP project level. Wastewater CIP project budget allocation substitutions
22 may take place during the budget year among the projects specifically adopted in the
23 current six-year wastewater CIP together with carryover projects from previously adopted
24 plans in accordance with the procedures in this section without the need for the enactment
25 of amendatory appropriations ordinances; provided, however, that allocation substitutions
26 for wastewater asset management projects may be done only between projects within
27 wastewater asset management project categories, and not between projects in different
28 wastewater asset management project categories.

29 C.1. Implementation of the wastewater CIP shall be in accordance with the
30 project funding priorities and project funding levels identified in the annual wastewater
31 six-year CIP as adopted by the county council. Beginning in 2002, on or before
32 ~~((March))~~ April 15 of each year, the wastewater treatment division shall prepare and
33 submit to the council a wastewater CIP reallocation report. The report shall provide a
34 status report on implementation of all wastewater CIP projects contained in the current
35 adopted six-year wastewater CIP together with those projects carried forward from
36 previous adopted six-year wastewater CIPs, and shall include:

- 37 a. an explanation of significant changes to scope, schedule and impact on work
38 plan and budget since last budget approval;
- 39 b. identification of revisions to milestones and budget for the current year;
- 40 c. a proposal for revisions to scope, budget and schedule for next six months;

41 d. a reallocated wastewater six-year CIP including a revised financial plan, all
42 changes to projects, estimated costs, schedules, and scopes of work to be pursued for the
43 current year, and programmed in the remaining years of the six-year program;

44 e. a justification for each project postponement or acceleration and
45 substitution;

46 f. an accounting summary of the current project status and the amount of
47 unexpended project budget balance by project phase and revenue for each project in the
48 current year of the program;

49 g. the original project cost estimate;

50 h. the revised project cost estimate that is being used as the basis for the
51 current year fund appropriation and six-year CIP; and

52 ~~((g-))~~ i. identification of which projects will be ready for implementation in the
53 current budget year within the constraints of the total current year fund appropriation.

54 2. For any current project in the adopted program that is not ready for
55 implementation for reasons beyond the control of the wastewater treatment division or if
56 a project needs to be accelerated, the report shall identify for substitution or
57 postponement one or more projects of comparable budget allocation value from within
58 the current adopted six-year wastewater CIP. If the budget allocation for any project to
59 be postponed or accelerated exceeds the budget allocation of the proposed substituted or
60 postponed project or projects, the difference shall be allocated to or from the wastewater
61 CIP contingency appropriation. Any project that is identified for postponement shall be
62 reallocated to the year in the six-year program from which the project that is identified

63 for substitution was originally programmed. If no suitable CIP project exists to receive
64 the reallocated funds, the funds shall remain allocated to the original CIP project.

65 D. The reallocation report shall be accompanied by a letter of transmittal to the
66 chair of the budget and fiscal management committee or designee, or its successor
67 committee, and the chair of the utilities and technology committee, or its successor
68 committee, and copies of the report and letter shall also be transmitted to each
69 councilmember, and the lead staff for the budget and fiscal management committee and
70 for the utilities and technology committee. Any councilmember who objects to specific
71 project deferrals or advancements proposed in the reallocation report shall notify the
72 chair of the budget and fiscal management committee, or its successor committee, within
73 fourteen days of receipt by the chair of the reallocation report. The councilmember must
74 specify the project deferrals or advancements to which the member objects. Within thirty
75 days of receipt of the reallocation report by the chair of the budget and fiscal
76 management committee, or its successor committee, the chair will consider the objections
77 raised and notify the executive in writing which project reallocations may go forward.
78 The reallocation report takes effect upon receipt by the executive of the chair's written
79 notice. If a written notice is not provided by the chair, the reallocation report takes effect
80 on the thirty-first day following receipt of the reallocation report by the chair.

81 E. Any new project proposed for allocation in the current year that is not included in
82 the current or previously adopted wastewater six-year CIP, or any newly proposed project
83 cost or project scope to be added to a project adopted as part of the wastewater six-year
84 CIP that cannot be accommodated by transfers of contingency funds, may be added to the
85 wastewater CIP after going through the normal appropriation process. Transfers of

86 contingency funds that are required after the wastewater CIP reprogramming report is
87 transmitted to the council shall be reported by the department of natural resources to the
88 chair of the budget and fiscal management committee, or its successor committee, and the
89 chair of the utilities and technology committee, or its successor committee, with copies to
90 lead staff for the budget and fiscal management committee and for the utilities and
91 technology committee. Transfers from contingency funds in excess of fifteen percent of
92 the total project cost shall be contingent upon written approval by the chair of the budget
93 and fiscal management committee, or its successor committee.

94 F. A wastewater CIP contingency project shall be allocated in the current year
95 fund appropriation to provide contingent budget authority to be used according to the
96 requirements established in this chapter. Effective January 1, 2002, the wastewater CIP
97 contingency project amount shall be seven and one-half percent of the current year
98 capital expenditures included in the financial plan of the current year adopted sewer rate,
99 but shall not exceed ten million dollars.

100 G. On or before August 1, 2001, the wastewater treatment division shall prepare
101 and submit to the chair of the budget and fiscal management committee and the chair of
102 the utilities and technology committee a 2001 CIP appropriations report.

103 1. The report shall provide a status report on implementation of all wastewater
104 CIP projects contained in the current adopted six-year wastewater CIP together with
105 those projects carried forward from previous adopted six-year wastewater CIPs, and shall
106 include:

107 a. an explanation of significant changes to scope, schedule and impact on work
108 plan and budget since last budget approval;


- 109 b. identification of revisions to milestones and budget for the current year;
- 110 c. a proposal for revisions to scope, budget and schedule for next six months;
- 111 d. a reallocated wastewater six-year CIP including all changes to projects,
- 112 estimated costs, schedules, and scopes of work to be pursued for the current year, and
- 113 programmed in the remaining years of the six-year program;
- 114 e. a justification for each project postponement or acceleration and
- 115 substitution;
- 116 f. a revised financial plan;
- 117 g. an accounting summary of the current project status and the amount of
- 118 unexpended project budget balance by project phase and revenue for each project in the
- 119 current year of the program; and
- 120 h. identification of which projects will be ready for implementation in the
- 121 current budget year within the constraints of the total current year fund appropriation; and
- 122 2. The report shall also include the department's proposal for defining project

123 categories in the 2002 budget, including the list of categories to be funded under the
124 wastewater asset management appropriations beginning in 2002.
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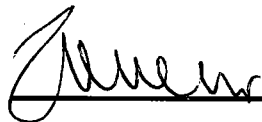
Ordinance 14599 was introduced on 3/10/2003 and passed by the Metropolitan King
County Council on 4/14/2003, by the following vote:

Yes: 11 - Ms. Sullivan, Ms. Edmonds, Mr. von Reichbauer, Mr. Phillips, Mr.
Pelz, Mr. McKenna, Mr. Constantine, Mr. Gossett, Ms. Hague, Mr. Irons and
Ms. Patterson
No: 0
Excused: 2 - Ms. Lambert and Mr. Pullen

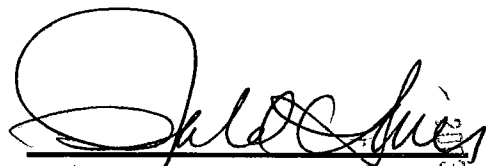
KING COUNTY COUNCIL
KING COUNTY, WASHINGTON


Cynthia Sullivan, Chair

ATTEST:


Anne Noris, Clerk of the Council

APPROVED this 28 day of April, 2003.


Ron Sims, County Executive

Attachments None

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CLERK
METROPOLITAN KING COUNTY COUNCIL