

King County Contract No. D41056D  
Federal Taxpayer ID No. 91-0910677

Department/Division Community and Human Services/Office of the Public Defender  
Agency Associated Counsel for the Accused  
Project Title Public Defense Legal Services  
Contract Amount \$ 9,290,013 Fund Code 000000010  
Contract Period From: July 1, 2011 To June 30, 2012

### KING COUNTY AGENCY SERVICES CONTRACT - 2011-2012

THIS CONTRACT is entered into by KING COUNTY (the "County"), and Associated Counsel for the Accused whose address is 110 Prefontaine Place South, Suite 200, Seattle, WA 98104, (the "Agency").

WHEREAS, the County desires to have legal services, as described in the Contract, performed for indigent persons legally entitled to appointed counsel in King County courts and as authorized by Ordinance No. 16542; and

WHEREAS, the Agency is a nonprofit law firm, incorporated under the Washington Nonprofit Corporation Code, within the meaning of Section 501(c)(3) of the Internal Revenue Code, organized and operated exclusively for the purpose of providing court-appointed legal services to indigent persons; and

WHEREAS, the County and the Agency agree that these legal services are provided by an independent contractor non-profit corporation, possessing all of the rights, duties and obligations that are possessed under Washington State law and applicable Federal laws for an independent contractor service provider; and

WHEREAS, the County and the Agency agree that any and all funds provided pursuant to this Contract are provided for the sole purpose of provision of legal services to indigent persons assigned to the Agency by the County Office of The Public Defender (OPD) or the courts of King County.

NOW THEREFORE, in consideration of payments, covenants, and agreements hereinafter mentioned, to be made and performed by the parties hereto, the parties covenant and do mutually agree as follows:

#### I. SCOPE OF SERVICES

The Agency shall provide services and comply with the requirements set forth hereinafter and in the following attached exhibits, which are incorporated herein by reference:

**This form is available in alternate formats for people with disabilities upon request.**

- Certificate of Insurance/Endorsements Attached hereto as Exhibit I
- Public Defense Legal Services Attached hereto as Exhibit II

## II. DURATION OF CONTRACT

This Contract shall commence on the 1<sup>st</sup> day of July 2011, and shall terminate on the 30<sup>th</sup> day of June 2012 ("Contract Term"), unless terminated earlier, pursuant to the terms and conditions of the Contract. This Contract may be extended by agreement of the parties. An amendment to extend the contract duration may reflect adjustments to payment and casework schedule reflective of planned changes for subsequent year. Funding or obligation under this Contract is conditional upon appropriation and sufficient funding by any and all necessary agencies and funding sources, including, but not limited to, King County Council.

## III. COMPENSATION AND METHOD OF PAYMENT

- A. The County shall pay the Agency for satisfactory performance of the services and requirements specified in this Contract, payable in the following manner:

As defined in Exhibit II, Section IV, for Public Defense Legal Services.

- B. The Agency shall submit all outstanding reports within 20 days of the date this Contract terminates. If any of the Agency's reports which are due to the County are not submitted by the day specified in this subsection, the County may withhold payment to the Agency until such time as reports are submitted and approved.

## IV. OPERATING BUDGET

The funds provided by the County to the Agency pursuant to the terms of this Contract are solely for expenses that are directly and legitimately related to the performance of the provisions of this Contract. In the event the County, determines that funds paid pursuant to this Contract were expended for any purposes other than those set forth in this Contract, such expenditure shall constitute a material breach of this Contract. Income and expenses, including prorated overhead costs, for Sexually Violent Predator cases and any other services funded by the State DSHS shall be reported and traceable in a method consistent with accounting standards noted below. If the Agency receives any revenue from any other source, the costs paid, including prorated overhead, for work done with that revenue shall be reported and traceable according to the accounting standards noted below.

## V. INTERNAL CONTROL AND ACCOUNTING SYSTEM

The Agency shall establish and maintain a system of accounting and internal controls that comply with generally accepted accounting principles and financial reporting standards applicable to publicly supported not-for-profit corporations as prescribed by the Financial Accounting Standards Board.

## VI. MAINTENANCE OF RECORDS

- A. The Agency shall maintain accounts and records, including personnel, property, financial, and programmatic records and other such records as may be deemed necessary by the County to ensure proper accounting for all Contract funds and compliance with this Contract.

necessary by the County to ensure proper accounting for all Contract funds and compliance with this Contract.

- B. These records shall be maintained for a period of six years after termination hereof unless permission to destroy them is granted by the Office of the Archivist in accordance with Revised Code of Washington (RCW) Chapter 40.14.
- C. The Agency shall inform the County in writing of the location, if different from the Agency address listed on page one of this Contract, of the aforesaid books, records, documents and other evidence and shall notify the County in writing of any changes in location within ten working days of any such relocation.

## **VII. AUDITS**

- A. The Agency shall have an independent audit, conducted by a certified public accountant, of its financial statement and condition, which shall comply with generally accepted auditing standards for not-for-profit organizations as prescribed by the American Institute of Certified Public Accountants. The independent auditor's report shall include a management letter listing any reportable conditions or internal control weaknesses, or stating that no reportable conditions or control weaknesses were noted. The auditor's report shall include a copy of the Agency's management representation letter. Any independent auditor hired by the Agency to fulfill the Contract audit requirements must agree to provide access to audit working papers if requested by the County.
- B. The Agency shall provide a copy of the audit report to each County division providing financial assistance to the Agency no later than the last business day of August. The Agency fiscal year shall be the same as a calendar year. The Agency shall provide to the County its response and corrective action plan for all findings and reportable conditions contained in its audit. The Agency shall provide the County with a copy of its IRS Form 990 (Return of Organization Exempt from Tax) when it is filed with the IRS.
- C. The Agency's annual financial statements shall be prepared recognizing all Agency fund accounts at the end of the Contract Term.
- D. Any and all accounts must be invested in a fiscally prudent fashion under the non-profit corporation law of Washington and applicable Federal laws. Prepayments, as noted in Exhibit II, Section IV, J shall be invested in a cash equivalent account, defined as a highly liquid, short term investment with little risk of loss in value.
- E. Additional federal and/or state audit or review requirements may be imposed on the County, and to the extent that such requirements relate to funding that is passed on to the Agency, the Agency shall be required to comply with any such requirements. The County shall notify the Agency when requirements from funders are issued to the County.

## **VIII. EVALUATIONS AND INSPECTIONS**

- A. Upon reasonable notice, the Agency shall provide right of access to its facilities, including those of any subcontractor, to the County, the state, and/or federal agencies or officials at all reasonable times for the purpose of monitoring and evaluating the services provided under this Contract. The County shall give advance notice to the Agency in the case of fiscal audits to be conducted by the County.

- B. The records and documents with respect to all matters covered by this Contract, not including entire individual personnel files but may include specific requested documentation, shall be subject to inspection, review, or audit by the County (and to the extent Contract funds paid by the County are derived from federal and/or state sources, also by federal and/or state officials so authorized by law) during the Contract Term and six years thereafter, unless a longer record retention period is required by law and in such case, the right of inspection shall extend until the end of the applicable retention period.
- C. The Agency agrees to cooperate with the County or its agent in the evaluation of the Agency's performance under this Contract and to make available all information reasonably required by any such evaluation process or ongoing reporting requirements established by the County. The results and records of said evaluations and reports shall be maintained and disclosed in accordance with RCW Chapter 42.56.

#### IX. CORRECTIVE ACTION

The County and Agency agree that when operational or performance issues arise in the course of providing the services of this Contract, a resolution of an issue or concern will be attempted at the lowest administrative level possible, although generally contact with the Agency shall include the managing director.

If the County determines that a performance concern has not been rectified at a less formal level and/or that a breach of contract has occurred, that is, the Agency has failed to comply with any terms or conditions of this Contract or the Agency has failed to provide in any manner the work or services agreed to herein, and if the County deems said breach to warrant written corrective action, the following sequential procedure shall apply:

- A. The County shall notify the Agency in writing of the nature of the breach;
- The Agency shall respond in writing within three working days of its receipt of such notification, which response shall indicate the steps being taken to correct the specified deficiencies. The corrective action plan shall specify the proposed completion date for bringing the Agency into Contract compliance, which date shall not be more than 30 days from the date of the County's approval of the Agency's plan, unless the County, at its sole discretion, specifies in writing an extension in the number of days to complete the corrective actions;
- B. The County shall notify the Agency in writing of the County's determination as to the sufficiency of the Agency's corrective action plan within ten days of receipt, or longer with written notification to the Agency. The determination of sufficiency of the Agency's corrective action plan shall be at the sole discretion of the County, provided that the County shall take into consideration the reasonableness of the proposed corrective action in light of the breach;
- C. In the event that the Agency does not respond within the appropriate time with a corrective action plan, or the Agency's corrective action plan is determined by the County to be insufficient, the County may commence termination of this Contract in whole or in part pursuant to Section XI.A. if the breach is material;
- D. In addition to and not in lieu of any of its other contractual or legal rights or remedies, the County, in its sole discretion, may withhold any payment owed the Agency hereunder or prohibit the Agency from incurring additional financial obligations, except to the extent such obligations are required by court order or deemed by the County as

necessary for the competent and adequate representation of indigent persons assigned to the Agency, until the County is satisfied that corrective action has been taken or completed; and

- E. The County may choose to use corrective action in response to any type of breach by the Agency. The choice of corrective action or termination under Section XI for a material breach is within the County's sole discretion. Nothing herein shall be deemed to affect or waive any rights the parties may have pursuant to Section XI. Nor shall the exercise of any right within this section be deemed to be a breach of this Contract by the County.

## **X. ASSIGNMENT/SUBCONTRACTING**

- A. The Agency shall not assign or subcontract any portion of the Legal Services, as defined in Exhibit II, supervision or training which is provided under this Contract or transfer or assign any claim arising pursuant to this Contract without the written consent of the County. Said consent must be sought in writing by the Agency not less than 15 days prior to the date of any proposed assignment. The definition of training, within this subsection only, does not include CLE's. The Agency may contract for training services up to a cumulative amount of \$10,000.00 in a contract year, without seeking consent of the County. These excluded contracts and CLE costs must be reported to the County, as noted in Paragraph X. B. of this Section.
- B. The Agency shall keep all records of any contracts or purchases of goods or services related to this Contract. The Agency shall be required to report these expenditures to the County and the original records may be subject to audit at the County's request.

## **XI. TERMINATION**

- A. The County may terminate this Contract, in whole or in part, upon seven days advance written notice in the event: (1) the Agency breaches any material duty, obligation, or service required pursuant to this Contract, (2) the Agency or any of its officers, directors, employees, subcontractors, agents or representatives engages in the misappropriation or mismanagement of Contract funds or the fraudulent disbursement of Contract funds, (3) the Agency or any of its officers, directors, agents, representatives or designees makes a material misrepresentation regarding the Agency, its finances and/or scope of activities to its independent accountant, auditor, the County, and/or any other agencies or instrumentalities of the federal, state or local government or commits any act or omission that jeopardizes the Agency's tax-exempt status under Code section 501(c)(3) or (4) the duties, obligations, or services required herein become impossible, illegal, or not feasible. The County's termination notice does not bar the Agency from seeking injunctive relief or any other equitable relief available under current Washington State law.

If the Contract is terminated by the County pursuant to (1), (2), or (3) in the first paragraph of XI. A., the Agency shall be liable for damages, including any additional reasonable costs of procurement of similar services from another source.

In the event the County terminates the Contract due to (1), (2), or (3) in the first paragraph of XI. A., or any act or omission which jeopardizes the Agency's tax-exempt status under IRS Code Section 501(c)(3), then subject to the provisions of this Section, the Agency shall return to the County any funds misappropriated and paid to the Agency by the County under the current Contract and from any available source, including funds from a prior contract. In addition, the Agency shall return such funds

determined by the County to be reasonable and necessary to provide legal services, as defined in Exhibit II, for the balance of the Contract term, or until the County is able to obtain a successor agency to provide such services, whichever period is less. This provision and the obligations contained herein shall survive the termination or expiration of this Contract.

The Agency may terminate this Contract, in whole or in part, prior to the end of the Contract Term, by providing the County seven days advance written notice, in the event the County commits any material breach of this Contract caused by non-payment or partial termination of caseload area or geographic location of services by the County without a negotiated amendment.

- B. In the event of the termination of this Contract the Agency shall remit any unexpended balance of funds paid, including prepaid funds for cases assigned and not completed, less the amount the County and the Agency agree shall be necessary to enable the Agency to deliver services in those cases notwithstanding the termination. Nothing herein shall limit, waive, or extinguish any right or remedy provided by this Contract or law that either party may have in the event that the obligations, terms, and conditions set forth in this Contract are breached by the other party.
- C. If expected or actual funding is withdrawn, reduced, or limited in any way prior to the termination date set forth above in Section II, the County may, upon 15 days written notification to the Agency, terminate this Contract in whole or in part.

If the Contract is terminated as provided in this Subsection: (1) the County shall be liable only for payment in accordance with the terms of this Contract for services rendered prior to the effective date of termination; and (2) the Agency shall be released from any obligation to provide such further services pursuant to the Contract as are affected by the termination.

- D. This Contract may be terminated for convenience by either party, prior to the date specified in Section II, by providing 60 days advance written notice of the termination.

## **XII. DISPUTE RESOLUTION**

Agency disputes pertaining to County decisions regarding Contract compliance issues shall be made in writing to The Public Defender. The written dispute shall include the decision being questioned and the point on which the dispute is made. This is a non-exclusive remedy.

The Public Defender shall make a determination decision and respond in writing to the Agency within 15 days of receipt. If the Agency wishes to appeal The Public Defender decision, an additional letter indicating all points of the dispute resolution process to date shall be sent to the Director of the Department of Community and Human Services. The Department Director shall make a final determination and respond in writing to the Agency within 30 days of the Department Director's Office receipt of the appeal notice from the Agency.

Nothing herein shall impair the procedure of Section IX above nor the ability of either party to terminate this Contract as set forth in Section XI above.

## **XIII. FUTURE SUPPORT**

- A. The County makes no assurance or commitment to the Agency for a subsequent contract or extension of the effective dates of the Contract for the services contracted for herein. Funding or obligation under this Contract beyond the current appropriation

is conditional upon appropriation by all necessary agencies and funding sources of sufficient funds to support the activities described in the contracts. Should such appropriation not be approved or provided, obligations under this Contract shall terminate for those specific services contemplated under this Contract for which sufficient funds are not available.

- B. Should the County or Agency terminate this Contract or not renew this Contract for services in a subsequent period, the County shall work with the Agency to provide appropriate transition planning for assigned Agency clients to ensure their defense is not jeopardized by actions of the Agency or the County.

#### **XIV. HOLD HARMLESS AND INDEMNIFICATION**

- A. In providing services under this Contract, the Agency is an independent contractor, and neither it nor any of its officers, directors, employees, subcontractors, agents, or representatives are employees of the County for any purpose. The Agency shall be responsible for all federal and/or state tax, industrial insurance, and Social Security liability that may result from the performance of and compensation for these services and shall make no claim of career service or civil service rights which may accrue to a County employee under state or local law.

The County assumes no responsibility for the payment of any compensation, wages, benefits, or taxes, by, or on behalf of the Agency, its employees, and/or others by reason of this Contract. The Agency shall protect, indemnify, and save harmless the County, its officers, officials, agents, and employees from and against any and all claims, costs, and/or losses whatsoever occurring or resulting from (1) the Agency's failure to pay any such compensation, wages, benefits, or taxes, and/or (2) the supplying to the Agency of work, services, materials, or supplies by Agency employees or other suppliers in connection with or support of the performance of this Contract.

- B. The Agency further agrees that it is financially responsible for and shall repay the County all amounts determined by a fiscal audit exception which occur due to the negligence, intentional act, and/or failure, for any reason, to comply with the terms of this Contract by the Agency, its officers, directors, employees, subcontractors, agents, and/or representatives.
- C. Should it become necessary to institute legal action in order to recoup any funds due and owing to the County or the Agency pursuant to any of the terms of this Contract, the non-prevailing party agrees it is financially responsible and liable for the prevailing attorneys' fees and costs. Each party's duty to repay shall not be diminished or extinguished by the prior termination of the Contract pursuant to any provision hereof.
- D. The Agency shall protect, defend, indemnify, and save harmless the County, its officers, officials, employees, and agents from any and all costs, claims, judgments, and/or awards of damages, arising out of, or in any way resulting from, the negligent acts or omissions of the Agency, its officers, directors, employees, subcontractors, agents, and/or representatives in performance and/or non-performance of its obligations under this Contract. The Agency agrees that its obligations under this subparagraph extend to any claim, demand, and/or cause of action brought by, or on behalf of, any of its employees or agents. For this purpose, the Agency, by mutual negotiation, hereby waives, as respects the County only, any immunity that would otherwise be available against such claims under the Industrial Insurance provisions of Title 51 RCW. In the event the County incurs any judgment, award, and/or cost arising

therefrom including attorneys' fees to enforce the provisions of this article, all such fees, expenses, and costs shall be recoverable from the Agency.

- E. The County shall protect, defend, indemnify, and hold harmless the Agency, its officers, directors, employees, agents, and representatives from any and all costs, claims, judgments, and/or awards of damages, arising out of, or in any way resulting from, the negligent acts or omissions of the County, its officers, officials, employees, or agents. The County agrees that its obligations under this subparagraph extends to any claim, demand, and/or cause of action brought by, or on behalf of, any of its employees or agents. For this purpose, the County, by mutual negotiation, hereby waives, as respects the Agency only, any immunity that would otherwise be available against such claims under the Industrial Insurance provisions of Title 51 RCW. In the event the Agency incurs any judgment, award, and/or cost arising therefrom including attorneys' fees to enforce the provisions of this article, all such fees, expenses, and costs shall be recoverable from the County.

Claims shall include, but not be limited to, assertions that the use or transfer of software, book, document, report, film, tape, or sound reproduction or material of any kind, delivered hereunder, constitutes an infringement of any copyright, patent, trademark, trade name, and/or otherwise results in unfair trade practice.

- F. To the extent that an Agency subcontractor fails to satisfy its obligation to defend and indemnify the County as detailed in Section XVIII.B. of this Contract, the Agency shall protect, defend, indemnify, and hold harmless the County, its officers, employees and agents from any and all costs, claims, judgments, and/or awards or damages arising out of, or in any way resulting from, the negligent act or omissions of the Agency's subcontractor, its officers, employees, and/or agents in connection with or in support of this Contract.
- G. Nothing contained within this provision shall affect and/or alter the application of any other provision contained within this Contract.

## **XV. INSURANCE REQUIREMENTS**

- A. By the date of execution of this Contract, the Agency shall procure and maintain for the duration of this Contract, insurance against claims for injuries to persons or damages to property which may arise from, or is in connection with, the performance of work hereunder by the Agency, its agents, representatives, employees, and/or subcontractors. The costs of such insurance shall be paid by the Agency or subcontractor. The Agency must furnish separate certificates of insurance and policy endorsements for each subcontractor as evidence of compliance with the insurance requirements of this Contract. The Agency is responsible for ensuring compliance with all of the insurance requirements stated herein. Failure by the Agency, its agents, employees, officers, and or subcontractors, to comply with the insurance requirements stated herein shall constitute a material breach of this Contract.

**For All Coverages:** Each insurance policy shall be written on an "occurrence" form; except that insurance on a "claims made" form may be acceptable with prior County approval.

If coverage is approved and purchased on a "claims made" basis, the Agency warrants continuation of coverage, either through policy renewals or the purchase of an extended discovery period, if such extended coverage is available, for not less than



three years from the date of Contract termination, and/or conversion from a "claims made" form to an "occurrence" coverage form.

By requiring such minimum insurance, the County shall not be deemed or construed to have assessed the risks that may be applicable to the Agency under this Contract. The Agency shall assess its own risks and, if it deems appropriate and/or prudent, maintain greater limits and/or broader coverage.

Nothing contained within these insurance requirements shall be deemed to limit the scope, application and/or limits of the coverage afforded by said policies, which coverage shall apply to each insured to the full extent provided by the terms and conditions of the policy(s). Nothing contained within this provision shall affect and/or alter the application of any other provision contained within this Contract.

B. Minimum Scope of Insurance

Coverage shall be at least as broad as:

1. General Liability:

Insurance Services Office form number (CG 00 01 Ed. 11-88) covering **COMMERCIAL GENERAL LIABILITY**.

2. Professional Liability:

Professional Liability, Errors, and Omissions coverage. In the event that services delivered pursuant to this Contract either directly or indirectly involve or require professional services, Professional Liability, Errors, and Omissions coverage shall be provided. "Professional Services", for the purpose of this Contract section, shall mean any services provided by a licensed professional or those services that require a professional standard of care.

3. Automobile Liability:

In the event that services delivered pursuant to this Contract require the use of a vehicle or involve the transportation of clients by Agency personnel in Agency-owned vehicles or non-owned vehicles, the Agency shall provide evidence of the appropriate automobile coverage.

Insurance Services Office form number (CA 00 01 Ed. 12-90) covering **BUSINESS AUTO COVERAGE**, symbol 1 "any auto"; or the appropriate coverage provided by symbols 2, 7, 8, or 9.

4. Workers' Compensation:

Workers' Compensation coverage, as required by the Industrial Insurance Act of the State of Washington, as well as any similar coverage required for this work by applicable federal or "Other States" state law.

5. Stop Gap/Employers Liability:

Coverage shall be at least as broad as the protection provided by the Workers' Compensation policy Part 2 (Employers Liability) or, in states with monopolistic

state funds, the protection provided by the "Stop Gap" endorsement to the general liability policy.

C. Minimum Limits of Insurance

The Agency shall maintain limits no less than, for:

1. General Liability: \$1,000,000 combined single limit per occurrence by bodily injury, personal injury, and property damage, and for those policies with aggregate limits, a \$2,000,000 aggregate limit.
2. Professional Liability, Errors, and Omissions: \$2,000,000 per claim and in the aggregate.
3. Automobile Liability: \$1,000,000 combined single limit per accident for bodily injury and property damage. Except if the transport of clients by Agency personnel is involved, then Risk Management shall review the appropriate amount of coverage.
4. Workers' Compensation: Statutory requirements of the state of residency.
5. Stop Gap/Employers Liability: \$1,000,000.

D. Deductibles and/or Self-insured Retentions

Any deductibles or self-insured retentions greater than \$10,000 must be declared to, and approved by, the County. The deductible and/or self-insured retention of the policies shall not apply to the Agency's liability to the County and shall be the sole responsibility of the Agency.

E. Other Insurance Provisions

The insurance policies required in this Contract are to contain, or be endorsed to contain, the following provisions:

1. Liability Policies Except Professional and Workers Compensation
  - a. The County, its officers, officials, employees, and agents are to be covered as additional insureds as respects liability arising out of activities performed by or on behalf of the Agency in connection with this Contract.
  - b. The Agency's insurance coverage shall be primary insurance as respects the County, its officers, officials, employees, and agents. Any insurance and/or self-insurance maintained by the County, its offices, officials, employees, or agents shall not contribute with the Agency's insurance or benefit the Agency in any way.
  - c. The Agency's insurance shall apply separately to each insured against whom claim is made and/or lawsuit is brought, except with respect to the limits of the insurer's liability.

2. All Policies

Coverage shall not be suspended, voided, canceled, reduced in coverage or in limits, except by the reduction of the applicable aggregate limit by claims paid, until after 45 days prior written notice has been given to the County.

F. Acceptability of Insurers

Unless otherwise approved by the County,

Insurance is to be placed with insurers with a Bests' rating of no less than A:VIII, or, if not rated with Bests, with minimum surpluses the equivalent of Bests' surplus size VIII.

Professional Liability, Errors, and Omissions insurance may be placed with insurers with a Bests' rating of B+VII. Any exception must be approved by King County.

If, at any time, the foregoing policies shall fail to meet the above minimum requirements the Agency shall, upon notice to that effect from the County, promptly obtain a new policy, and shall submit the same to the County, with appropriate certificates and endorsements, for approval.

G. Verification of Coverage

The Agency shall furnish the County certificates of insurance and endorsements required by this Contract. Such certificates and endorsements, and renewals thereof, shall be attached as exhibits to the Contract. The certificates and endorsements for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates and endorsements for each insurance policy are to be on forms approved by the County prior to the commencement of activities associated with the Contract. The County reserves the right to require complete, certified copies of all required insurance policies at any time.

H. Subcontractors

The Agency shall include all subcontractors as insureds under its policies or shall furnish separate certificates of insurance and policy endorsements from each subcontractor. Insurance coverages provided by subcontractors as evidence of compliance with the insurance requirements of this Contract shall be subject to all of the requirements stated herein.

**XVI. NONDISCRIMINATION AND EQUAL EMPLOYMENT OPPORTUNITY**

A. Equal Benefits to employees with Domestic Partners.

Pursuant to Ordinance 14823 and as amended by Ordinance 16586, King County's "Equal Benefits" (EB) ordinance, and related administrative rules adopted by the County Executive, as a condition of a competitive award of a contract valued at \$25,000 or more, non-public Agencies agree not to discriminate in the provision of employee benefits between employees with spouses, and employees with domestic partners or employees who reside with legally domiciled members of households during the performance of this Contract. Failure to comply with this provision shall be considered a material breach of this Contract, and may subject the Agency to administrative sanctions and remedies for breach.

When a competitively awarded contract is valued at \$25,000 or more, the Agency shall complete a Worksheet and Declaration form for County review and acceptance prior to Contract execution. The EB Compliance forms, Ordinance 14823 [which is codified at King County Code (KCC) Chapter 12.19], and related administrative rules are incorporated herein by reference. They are also available online at: [http://www.kingcounty.gov/operations/procurement/Services/Equal\\_Benefits.aspx](http://www.kingcounty.gov/operations/procurement/Services/Equal_Benefits.aspx).

**B. Nondiscrimination in Employment Provision of Services**

During the performance of this Contract, neither the Agency nor any party subcontracting under the authority of this Contract shall discriminate or tolerate harassment on the basis of sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age except by minimum age and retirement provisions, unless based upon a bona fide occupational qualification in the employment or application for employment or in the administration or delivery of services or any other benefits under this Contract.

**C. Nondiscrimination in Subcontracting Practices**

During the term of this Contract, the Agency shall not create barriers to open and fair opportunities to participate in County contracts or to obtain or compete for contracts and subcontracts as sources of supplies, equipment, construction and services. In considering offers from and doing business with subcontractors and suppliers, the Agency shall not discriminate against any person because of their sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age except by minimum age and retirement provisions, unless based upon a bona fide occupational qualification.

**D. Compliance with Laws and Regulations**

The Agency shall comply fully with all applicable federal, state and local laws, ordinances, executive orders and regulations that prohibit discrimination. These laws include, but are not limited to, RCW Chapter 49.60, Titles VI and VII of the Civil Rights Act of 1964, the American with Disabilities Act, and the Restoration Act of 1987. In addition, King County Code chapters 12.16, 12.17 and 12.18 are incorporated herein by reference and the requirements in these code sections shall specifically apply to this Contract. The Agency shall further comply fully with any equal opportunity requirements set forth in any federal regulations, statutes or rules included or referenced in the contract documents.

**E. Small Contractors and Suppliers and Minority and Women Business Enterprises Opportunities**

The County encourages the Agency to utilize small businesses, including Small Contractors and Suppliers (SCS) as defined below, and minority-owned and women-owned business enterprises certified by the Washington State Office of Minority and Women's Business Enterprises (OMWBE) in County contracts. The County encourages the Agency to use the following voluntary practices to promote open competitive opportunities for small businesses, including SCS firms and minority-owned and women-owned business enterprises.

1. Inquire about King County's Contracting Opportunities Program. King County has established a Contracting Opportunities Program to maximize the participation of SCS firms in the award of County contracts. The Program is open to all SCS firms certified by King County Business Development and

Contract Compliance (BDCC). As determined by BDCC and identified in the solicitation documents issued by the County, the Program will apply to specific contracts. However, for those contracts not subject to the Program or for which the Agency elected not to participate in the Program during the solicitation stage, the Agency is still encouraged to inquire voluntarily about available firms. Program materials, including application forms and a directory of certified SCS firms, are available at the following website: <http://www.kingcounty.gov/bdcc>.

The term SCS means that a business and the person or persons who own and control it are in a financial condition which puts the business at a substantial disadvantage in attempting to compete for public contracts. The relevant financial condition for eligibility under the Program is set at 50 percent of the Federal Small Business Administration small business size standards using the North American Industry Classification System and Owners' Personal Net Worth less than \$750,000;

2. Contact the Washington State Office of Minority and Women's Business Enterprises to obtain a list of certified minority-owned and women-owned business enterprises by visiting their website at <http://www.omwbe.wa.gov/> or by telephone 866-208-1064; and
3. Use the services of available community organizations, local assistance offices, the County and other organizations that provide assistance in the recruitment and placement of small businesses, including SCS firms and minority-owned and women-owned business enterprises.

#### F. Equal Employment Opportunity Efforts

The Agency shall undertake equal employment opportunity efforts to ensure that applicants and employees are treated, without regard to their sex, race, color, marital status, national origin, religious affiliation, disability, sexual orientation, gender identity or expression or age. The Agency's equal employment opportunity efforts shall include but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeships. The Agency agrees to post in conspicuous places available to employees and applicants for employment notices setting forth this nondiscrimination clause. In accordance with KCC 12.16.010.J, "equal employment opportunity efforts" shall mean active efforts to ensure equal opportunity in employment that is free from all forms of discrimination.

#### G. Record-Keeping Requirements and Site Visits

The Agency shall maintain, for at least six years after completion of all work under this Contract, the following:

1. Records of employment, employment advertisements, application forms, and other pertinent data and records related to the Contract for the purpose of monitoring, audit and investigation to determine compliance with any equal opportunity requirements set forth in any federal regulations, statutes or rules included or referenced in the Contract documents; and
2. Records, including written quotes, bids, estimates or proposals submitted to the Agency by all businesses seeking to participate on this Contract, and any

other information necessary to document the actual use of and payments to subcontractors and suppliers in this Contract, including employment records.

The County may visit, at any time, the site of the work and the Agency's office to review the foregoing records. The Agency shall provide every assistance requested by the County during such visits. In all other respects, the Agency shall make the foregoing records available to the County for inspection and copying upon request. If this Contract involves federal funds, the Agency shall comply with all record keeping requirements set forth in any federal rules, regulations or statutes included or referenced in the Contract.

H. Compliance with Section 504 of the Rehabilitation Act of 1973 as amended (Section 504) and the American Disabilities Act of 1990 as amended (ADA)

Pursuant to Title II of the ADA and Section 504 the County must not discriminate against people with disabilities in providing services, programs or activities even if those services, programs or activities are carried out by contractors. The Agency agrees that it shall provide all programs, services and activities to County employees or members of the public under this Contract in the same manner as King County is obligated to under Title II of the ADA, and Section 504 and shall not deny participation or the benefits of such services, programs or activities to people with disabilities on the basis of such disability. Failure to comply with this section shall be a material breach of, and grounds for, the immediate termination of this Contract.

1. The Agency agrees to provide to persons with disabilities access to programs, activities and services provided under the Contract or agreement, as required by the disability access laws as defined by KCC 12.16; and
2. The Agency shall not discriminate against persons with disabilities in providing the work under the Contract. In any subcontracts for the programs, activities and services under their contract or agreement, the Agency shall include the requirement that the subcontractor provide to persons with disabilities access to programs, activities and services provided under the contract or agreement as required by the disability access laws as defined by KCC 12.16, that the subcontractor shall not discriminate against persons with disabilities in providing the work under the Contract and that the subcontractor shall provide that the County is a third party beneficiary to that required provision.

I. Sanctions for Violations

Any violation of the mandatory requirements of the provisions of this Section shall be a material breach of contract for which the Agency may be subject to damages, withholding payment and any other sanctions provided for by the Contract and by applicable law.

**XVII. SUBCONTRACTS AND PURCHASES**

- A. The Agency shall include the above Sections IV, V, VI, VII, VIII, XIII, XIV, XV, and XVI, in every subcontract or purchase agreement for services which relate to the subject matter of this Contract.

- B. The Agency agrees to include the following language verbatim in every subcontractor provider agreement, or purchase agreement for services which are the subject matter of this Contract:

"[Subcontractor] shall protect, defend, indemnify, and hold harmless King County, its officers, employees and agents from any and all costs, claims, judgments, and/or awards of damages arising out of, or in any way resulting from the negligent act or omissions of subcontractor, its officers, employees, and/or agents in connection with or in support of this Contract. Subcontractor expressly agrees and understands that King County is a third party beneficiary to this Contract and shall have the right to bring an action against subcontractor to enforce the provisions of this paragraph."

#### **XVIII. CONFLICT OF INTEREST**

The Agency acknowledges that for one year after leaving County employment, a former County employee may not have a financial or beneficial interest in a contract or grant that was planned, authorized, or funded by a County action in which the former County employee participated during County employment. Agency shall identify, at the time of offer, current or former County employees involved in the preparation of proposals or the anticipated performance of work if awarded the Contract. Failure to identify current or former County employees involved in this transaction may result in the County's denying or terminating this Contract. Before making an employment offer to a person who has less than a year separation from County employment, the Agency shall confirm with the person being tendered the employment offer of their compliance with KCC Chapter 3.04 and this paragraph.

#### **XIX. BOARD OF DIRECTORS**

The Agency shall qualify at all times as a non-profit corporation under U.S.C., Subtitle A., Chapter 1, Subchapter F, Part 1, and Section 501(c)(3).

The Agency shall have an active, legally constituted board of directors in accordance with RCW Chapters 23B or 24 that shall be comprised of neither employees nor relatives of employees, officers or directors of the Agency, except as approved by the County under this Section. The Director may be an ex officio member of the Board of Directors. For the purposes of this Section, a relative is defined as a husband, wife, father, father-in-law, mother, mother-in-law, brother, brother-in-law, sister, sister-in-law, son-in-law, daughter-in-law, niece, nephew, grandparent, grandchild, uncle, aunt, child, child of domestic partner, and domestic partner. In addition, the relatives of a domestic partner shall be considered relatives to the same extent such relatives would be included in this Section, as if the employee and domestic partner were married. The Board of Directors shall meet regularly and fulfill all of the duties under applicable Washington State law and federal law.

The Board of Directors shall maintain a formal conflict of interest policy for Board members that complies with the applicable provisions of the Internal Revenue Code 501(c)(3) status, and addresses issues regarding gifts, financial gain, and improper use of position.

The Contract shall be reviewed and approved for Agency signature by the Agency's Board of Directors.

Each amendment to this Contract must be approved by the Agency's Board of Directors.

A. **Interests of Agency Management and Agency Board:**

The following shall be disallowed and shall be considered a conflict of interest without prior disclosure and approval of the County:

1. The Agency shall not employ an individual who is related to a director or assistant director of the Agency or an Agency Board member, either as an employee, contractor or through a corporation. This provision shall not apply when the total compensation paid to the individual is less than \$500 per annum.
2. The Agency shall not acquire or rent real and/or personal property owned or rented by either:
  - a. an employee or Board member of the Agency;
  - b. a relative of an employee or Board member of the Agency; or
  - c. a corporation in which an employee or Board member of the Agency, or a relative of such a person, has ownership.

B. Failure to comply with any provision of this Section XIX shall constitute a material breach of this Contract.

**XX. MANAGING DIRECTOR OF AGENCY**

The managing director of the Agency shall be an attorney licensed to practice law in the State of Washington. The managing director shall be a salaried employee of the Agency, subject to the authority and control of the Board of Directors of the Agency. The managing director of the Agency shall be responsible for receiving funds into program accounts or issuing financial documents, checks, or other instruments of payment for program costs provided pursuant to this Contract.

**XXI. POLITICAL ACTIVITY PROHIBITED**

No funds, materials, property, or services provided directly or indirectly under this Contract shall be used for any partisan political activity or to further the election or defeat of any candidate for public office.

**XXII. EQUIPMENT PURCHASE, MAINTENANCE, AND OWNERSHIP**

- A. The Agency agrees that any equipment purchased with Contract funds at a cost of \$5,000 per item or more, and identified in an exhibit as reimbursable, is upon its purchase or receipt the property of the County, and/or federal, and/or state government as specified in the exhibit.
- B. The Agency shall be responsible for all such equipment, including the proper care and maintenance.
- C. The Agency shall ensure that all such equipment will be returned to the appropriate government agency, whether federal, state or County, upon written request of the County.



- D. The Agency shall admit County staff to the Agency's premises for the purpose of marking such equipment with appropriate government property tags.
- E. The Agency shall establish and maintain inventory records and transaction documents (purchase requisitions, packing slips, invoices, receipts) of equipment purchased with Contract identified funds.

### **XXIII. NOTICES**

Whenever this Contract provides for notice to be provided by one party to another, such notice shall be:

- A. In writing; and

Directed to the managing director of the Agency, the President of the Board of Directors of the Agency, The Office of the Public Defender, and the director of the Department of Community and Human Services.

- B. Any due date within which a party must take some action shall be computed according to CR 6(a). If notice is provided by US Post, CR 5(b)(2)(A) shall apply to determine the date of service.

### **XXIV. PROPRIETARY RIGHTS**

The parties to this Contract hereby mutually agree that if any patentable or copyrightable material or article is the product of work performed for the County as described herein, all rights accruing from such material or article shall be the sole property of the Agency. The Agency agrees to and does hereby grant to the County, irrevocable, nonexclusive, and royalty-free license to use, according to law, any material or article and use any method that may be developed as part of the work under this Contract.

The foregoing products license shall not apply to existing training materials, consulting aids, checklists, and other materials and documents of the Agency which are used in the performance of this Contract.

### **XXV. CONTRACT AMENDMENTS**

Either party may request changes to this Contract. Proposed changes which are mutually agreed upon shall be incorporated by written amendments to this Contract.

### **XXVI. KING COUNTY RECYCLED PRODUCT PROCUREMENT POLICY**

The Agency shall use recycled paper for the production of all printed and photocopied documents related to the fulfillment of this Contract and shall ensure that, whenever possible, the cover page of each document printed on recycled paper bears an imprint identifying it as recycled paper. All Court document production shall be covered by Court rules.

If the cost of recycled paper is more than 15 percent higher than the cost of non-recycled paper, the Agency may notify the Contract Administrator, who may waive the recycled paper requirement.

The Agency shall use both sides of paper sheets for copying and printing and shall use recycled/recyclable products wherever practical in the fulfillment of this Contract.

**XXVII. SERVICES PROVIDED IN ACCORDANCE WITH LAW AND RULE AND REGULATION**

The Agency and any subcontractor agree to abide by all of the laws of the State of Washington that are applicable to their business and the substance of the legal services that are the subject of this Contract. The Agency and any subcontractors also agree to abide by all Rules of Professional Conduct governing attorney conduct and to abide by the requirements of the attorney-client privilege, as defined by Washington statutory law and common law.

In the event that there is a conflict between any of the language contained in any exhibit or attachment to this Contract, the language in the Contract shall have control over the language contained in the exhibit or the attachment, unless the parties affirmatively agree in writing to the contrary.

**XXIII. CONFIDENTIALITY**

The Agency agrees that information, records, and data collected in connection with this Contract shall be protected from unauthorized disclosure in accordance with applicable common law, state and federal law, including but not limited to attorney-client privilege. The County agrees that the King County Office of The Public Defender shall protect any information received from the Agency from unauthorized disclosure in accordance with applicable common law, state and federal law, including but not limited to attorney-client privilege.

The County, through the Office of The Public Defender, shall abide by any applicable law of privilege in monitoring the Agency's performance under this Contract.

**XXIX. COMPLIANCE WITH THE HEALTH INSURANCE PORTABILITY ACCOUNTABILITY ACT OF 1996**

Terms used in this Section shall have the same meaning as those terms in the Privacy Rule, 45 CFR Parts 160 and 164.

**A. Obligations and Activities of the Agency**

1. The Agency agrees not to acquire, use, or disclose protected health information other than as permitted or required by law.
2. The Agency agrees to implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the protected health information that it creates, receives, maintains, or transmits on behalf of the County as required by CFR Title 45, Section 164, Subpart C.
3. The Agency agrees to mitigate, to the extent practicable, any harmful effect that is known to the Agency of a use or disclosure of protected health information by the Agency in violation of the requirements of this Contract.
4. The Agency agrees to report in writing all unauthorized or otherwise improper disclosures of a client's protected health information or security incident to the County by the Agency within two days of the Agency knowledge of such event.
5. The Agency agrees to ensure that any agent, including a subcontractor, to whom it provides protected health information received from, or created or received by the Agency on behalf of the County, agrees to the same restrictions

and conditions that apply through this Contract to the Agency with respect to such information.

6. The Agency agrees to make available protected health information in accordance with 45 CFR § 164.524.
7. The Agency agrees to make available protected health information for amendment and incorporate any amendments to protected health information in accordance with 45 CFR § 164.526.
8. The Agency agrees to make internal practices, books, and records, including policies and procedures and protected health information, relating to the use and disclosure of protected health information received from, or created or received by the Agency on behalf of King County, available to the Secretary, in a reasonable time and manner for purposes of the Secretary determining King County's compliance with the Privacy Rule.
9. The Agency agrees to make available the information required to provide an accounting of disclosures in accordance with 45 CFR § 164.528.

**B. Permitted Uses and Disclosures by Business Associate**

The Agency may use or disclose protected health information to perform functions, activities, or services for, or on behalf of, King County as specified in this Contract, provided that such use or disclosure would not violate the Privacy Rule if done by King County or the minimum necessary policies and procedures of King County.

**C. Effect of Termination**

1. Except as provided in Paragraph C.2. of this Section, upon termination of this Contract, for any reason, the Agency shall return or destroy all protected health information received from King County, or created or received by the Agency on behalf of King County. This provision shall apply to protected health information that is in the possession of subcontractors or agents of the Agency. The Agency shall retain no copies of the protected health information.
2. In the event the Agency determines that returning or destroying the protected health information is infeasible, the Agency shall provide to King County notification of the conditions that make return or destruction infeasible. Upon notification that return or destruction of protected health information is infeasible, the Agency shall extend the protections of the Contract to such protected health information and limit further uses and disclosure of such protected health information to those purposes that make the return or destruction infeasible, for so long as the Agency maintains such protected health information.

**XXX. NO THIRD PARTY BENEFICIARIES**

Except for the Parties to whom this Contract is assigned in compliance with the terms of this Contract, there are no third party beneficiaries to this Contract, and this Contract shall not impart any rights enforceable by any person or entity that is not a Party hereto.

**XXXI. ENTIRE CONTRACT/WAIVER OF DEFAULT**

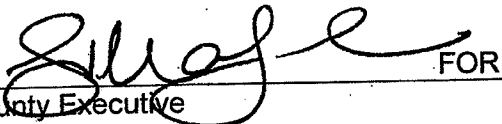
The parties agree that this Contract is the complete understanding of the terms hereto and any oral or written representations or understandings not incorporated herein are excluded. Both parties recognize that time is of the essence in the performance of the provisions of this Contract. Waiver of any default shall not be deemed to be a waiver of any other or subsequent breach and shall not be construed to be a modification of the terms of the Contract unless stated to be such through written approval by the County, a copy of which shall be attached to the original copy of this Contract.

**XXXII. GOVERNING LAW/SEVERABILITY/CONSTRUCTION**

This Contract shall be governed by Washington law. If any one or more provisions of this Contract shall be held by any court to be invalid or unenforceable, such invalidity or unenforceability shall not adversely affect the validity or enforceability of any other part of this Contract. This Contract shall not be construed for nor against any party, regardless of its drafter.

KING COUNTY

AGENCY

 FOR



King County Executive

Signature

2/14/11

Donald M. Jensen

Date

NAME (Please type or print)

2/7/11

Date

Approved as to Form:

OFFICE OF THE KING COUNTY  
SPECIAL DEPUTY PROSECUTOR  
November 12, 2010

EXHIBIT I



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

1/5/2011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Degginger McIntosh and Associates 3977 Harbour Pointe Blvd. SW Mukilteo WA 98275	CONTACT NAME: Ginger Carlson
	PHONE (A/C No. Ext): (425) 740-5200 FAX (A/C No.): (425) 740-5201 E-MAIL ADDRESS: ginger@DMAinsurance.com PRODUCER CUSTOMER ID #: 00000007
INSURED Associated Counsel For the Accused 110 Prefontaine Pl. S, Suite 200 Seattle WA 98104-2674	INSURER(S) AFFORDING COVERAGE
	INSURER A: Mutual Of Enumclaw
	INSURER B: Columbia Casualty Company
	INSURER C:
	INSURER D:
	INSURER E:

COVERAGES CERTIFICATE NUMBER: 11/12 GL, BA, UMB, SG, PF REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDITIONAL SUBROGATION	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC	X	B048475	01/01/2011	01/01/2012	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ EXCLUDED GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COM/POP AGG \$ 1,000,000
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		B048475	01/01/2011	01/01/2012	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DEDUCTIBLE <input checked="" type="checkbox"/> RETENTION \$ 10,000		NC53644	01/01/2011	01/01/2012	EACH OCCURRENCE \$ 1,000,000 AGGREGATE \$ 1,000,000 \$ \$
A	<del>EMPLOYERS LIABILITY</del> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	B048475 WA STOP GAP	01/01/2011	01/01/2012	WC STATUTORY LIMITS <input checked="" type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B	PROFESSIONAL LIABILITY		V10399110201	01/01/2011	01/01/2012	EACH CLAIM \$ 2,000,000 AGGREGATE \$ 2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)  
King County, it's officers, officials, employees and agents are included as Additional Insured per form BP0448(0197) with respect to any and all operations of the Named Insured. All endorsements apply per required Written Contract.

CERTIFICATE HOLDER

(206) 296-0587  
King County, Office of the Public Defense  
Waltheu Building  
123 Third Ave. S, 4th Floor  
Seattle, WA 98104-2696

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS:

AUTHORIZED REPRESENTATIVE

Ken McIntosh/VOS

ACORD 25 (2009/09)  
INS025 (200909)

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**EXHIBIT II  
TABLE OF CONTENTS**

I.	WORK STATEMENT .....	1
II.	PROGRAM DESCRIPTION .....	1
A.	Goal .....	1
B.	Eligible Population .....	1
C.	Definitions .....	1
1.	Case Categories .....	1
a.	Becca: CHINS/ARY/Truancy .....	1
i.	CHINS/ARY Petition .....	1
ii.	Truancy .....	1
b.	Calendars .....	1
i.	Dependency Calendar .....	2
ii.	General Attorney of the Day (AOD) Services .....	2
iii.	Felony Arraignment Calendar .....	2
iv.	Felony Sentence Modification Calendar .....	2
v.	Juvenile AOD .....	2
vi.	Juvenile First Appearance Calendar .....	2
vii.	King County Court Misdemeanor calendars .....	3
viii.	Expedited Felony calendars .....	3
ix.	Civil Contempt of Court .....	3
c.	Civil Contempt of Court practice area .....	3
d.	Dependency .....	3
i.	Dependency Case .....	3
1)	Initial predispositional assignment through dispositional hearing .....	3
2)	Filing of a termination or guardianship petition .....	4
3)	Appointment of counsel pursuant to RCW 26.33.110 .....	4
ii.	Post-Dispositional Hearing .....	4
iii.	Dependency Trial/Termination or Guardianship Trial .....	4
1)	Dependency trial .....	4
a)	Contested fact-finding .....	4
b)	Entry of an agreed order .....	4
c)	Contested trials .....	4
2)	Termination trial .....	5
a)	Contested fact-finding .....	5
b)	Entry of an agreed order .....	5
c)	Contested trials .....	5
iv.	More than one petition pending involving one client .....	5
v.	Reinstatement of Parental Rights Matters .....	5
e.	Felony .....	5
i.	Felony Case .....	5
ii.	Felony/Probation Review .....	5
iii.	Persistent Offender .....	6
iv.	Complex Litigation .....	6

	1)	Complex litigation cases "pending" .....	6
	2)	Aggravated homicide cases, in which the death penalty is not sought .....	6
	3)	Aggravated homicide cases, in which the death penalty is sought .....	6
	4)	Portion of attorney(s) and professional support staff assigned to each complex litigation case .....	7
f.		Involuntary Commitment .....	7
	i.	Initial Petition .....	7
	ii.	180-Day Petition .....	7
	iii.	Involuntary Medication Hearing .....	7
	iv.	Alcohol involuntary commitment proceeding .....	7
	v.	Drug-related involuntary commitment filed pursuant to RCW 70.96A.....	7
g.		Services for a Court Order for Public Health Isolation or Quarantine .....	7
h.		Juvenile Offender .....	7
	i.	Juvenile offender case .....	7
	ii.	Juvenile offender modification/review .....	8
	iii.	Juvenile offender restitution review hearing .....	8
i.		Misdemeanor .....	8
	i.	Misdemeanor case .....	8
	ii.	Misdemeanor appeal .....	8
	iii.	Misdemeanor writ .....	8
j.		Sexual Predator .....	8
k.		Specialty Court.....	9
	i.	Drug Diversion Court .....	9
	ii.	District Court Mental Health Court .....	9
	iii.	Juvenile (Dependency) Court .....	9
2.		Case Credit.....	9
3.		Criminal Case .....	9
	a.	Related series of charges.....	9
	b.	Completed case .....	9
	c.	Case remanded to the trial court for proceedings following appeal.....	9
	d.	Juvenile Offender and all Misdemeanor cases .....	10
	i.	New count filed on a separate incident .....	10
	ii.	Criminal charged alleged to have occurred on indeterminate dates.....	10
	e.	Felony case credits .....	10
	f.	Attorney Time Billing Requirements .....	10
4.		Criminal Case Disposition.....	10
5.		Indigent.....	11
6.		Legal Services .....	11
7.		Professional Support Staff .....	11
8.		Supervision.....	11
III.		PROGRAM REQUIREMENTS.....	11
A.		Services .....	11

1.	Case Credit Allocation and Case Assignment.....	11
a.	Agency Case Credit Allocation.....	11
b.	Case assignments within contracted subject areas.....	11
c.	Complex Litigation cases.....	12
d.	Defendant/respondent absconds.....	12
e.	Defendant fails to appear.....	12
f.	Additional charges filed under the same cause number.....	12
g.	Standby counsel in pro se cases.....	12
h.	Closed Case Report.....	12
2.	Funds for payment for expert services.....	13
3.	Providing services in the event of a natural disaster.....	13
B.	Practice Standards and Records.....	13
1.	Maintain contemporaneous records.....	13
2.	Closing a case.....	13
3.	Maintain professional practice standards.....	13
a.	Attorney practice standards.....	13
i.	Lawyer-client relationship.....	13
ii.	Attorney use of professional support staff services and expert services.....	13
b.	Professional support staff service practice standards.....	14
c.	Practice standards for supervision of attorneys and professional support staff services.....	14
4.	Continuing the initial attorney assigned to a client.....	14
5.	Visit assigned in-custody clients.....	14
6.	Attorney shall make contact with all assigned persons.....	14
7.	Preliminary written response to any written complaints.....	14
8.	Obtain initial discovery.....	14
9.	Resources funded by this Contract shall be for work which is the subject of this Contract.....	14
10.	Attorney/Professional Support Staff.....	15
11.	Minimum attorney qualifications.....	15
a.	Requisite qualifications.....	15
b.	Work under the supervision of a supervising attorney.....	15
c.	Rule 9 Interns or Rule 8 attorneys.....	15
12.	Attorney/Professional Support Staff Evaluation.....	15
13.	Attorney/Professional Support Staff Training.....	15
14.	Case credit Workload Guidelines.....	16
15.	OPD withdrawal requirement.....	17
16.	Extraordinary Occurrences.....	17
17.	Complaints.....	17
18.	Attorney Supervision Policy and Procedures.....	17
19.	Information System.....	17
a.	Trained primary and backup on-side IS support person.....	17
b.	Notify OPD of staffing changes.....	17
c.	Virus attacks Agency computer.....	17



IV.	COMPENSATION AND METHOD OF PAYMENT .....	17
A.	Paid monthly as provided in Attachment C .....	17
B.	Subject to performance requirements being met .....	18
C.	Credit requests submitted to OPD weekly .....	18
D.	OPD Case Credit Certification Report .....	18
E.	Extraordinary expenses.....	18
F.	Workload demand exceeds the projected levels.....	18
G.	Variance.....	18
H.	Quarterly reconciliation.....	18
	1. Quarterly Certified Statistics compared to the actual variance range .....	18
	2. High and low values of the variance.....	18
	3. Outside of either the high or low values of the variance .....	19
	4. Quarterly reconciliation results in payment due to Agency .....	19
	5. Quarterly reconciliation results in payment due to County.....	19
	6. Reconciliation will be based on case credits .....	19
I.	Contract end reconciliation .....	19
	1. Extra credit requests.....	19
	2. Variance percentages.....	19
	3. Actual caseload compared to variance .....	19
	4. Actual caseload falls within the variance.....	19
	5. Actual caseload falls outside the variance.....	19
J.	Becca Cases.....	19
	1. Truancy .....	19
	2. ARY/CHINS .....	19
	3. Review hearings .....	20
	4. Truancy credit load limit.....	20
	5. ARY/CHINS credit load limit.....	20
	6. Variance .....	20
K.	Sexual Predator cases .....	20
L.	Attorney Monthly Case Service Form .....	20
M.	Prepayments.....	21
	1. Sufficient funds to complete prepaid cases.....	21
	2. The County shall estimate the sufficiency of funds .....	21
V.	REPORTING REQUIREMENTS .....	21

**APPENDICES**

- ATTACHMENT A – Case Credit Application Schedule
- ATTACHMENT B – Agency Case Credit Allocation and Cases Assigned Estimate / Calendar Attorney and Staff List
- ATTACHMENT C – Payment Schedule
- ATTACHMENT D – Closed Case Report
- ATTACHMENT E – Notification of Withdrawal
- ATTACHMENT F – Persistent Offender (593) Monthly Case Report
- ATTACHMENT G – Attorney Monthly Billing
- ATTACHMENT H – King County Superior Court Drug Diversion Court (ACA)
- ATTACHMENT H – King County Superior Court Juvenile Drug Court (SCRAP)
- ATTACHMENT I – District Court Mental Health Court (ACA)

## EXHIBIT II

### PUBLIC DEFENSE LEGAL SERVICES

#### I. WORK STATEMENT

The Agency shall provide the services of attorneys and staff members in compliance with all of the applicable laws and administrative regulations of the State of Washington, the United States, King County Code (KCC), Washington State Rules for Professional Conduct (RPC).

#### II. PROGRAM DESCRIPTION

##### A. Goal

To provide legal services through effective assistance of counsel to indigent persons, that is statutorily and constitutionally based, within the framework of an efficient and fiscally responsible independent non-profit Agency.

##### B. Eligible Population

The population served shall be indigent persons legally entitled to appointed legal services in King County courts as assigned by the Office of The Public Defender (OPD) or as appointed from the bench.

##### C. Definitions

###### 1. Case Categories:

###### a. Becca: CHINS/ARY/Truancy practice area:

i. CHINS/ARY Petition: A Child in Need of Services (CHINS) petition and At Risk Youth (ARY) petition involves the representation of one child or one parent from the filing of the petition through fact finding and disposition and the first two subsequent review hearings. All additional review hearings, including new contempts filed in the same petition number, shall be 0.33 credits. In the event that a court consolidates a truancy matter with proceedings under a Youth at Risk or Child in Need of Services Petition, the Agency shall receive credit for the truancy representation.

ii. Truancy: A petition filed under Revised Code of Washington (RCW) 28A.225.030. A case assignment will be made when the Court notifies OPD of a signed judicial order for appointment of counsel upon the filing of the initial petition or setting a show cause hearing for Contempt of Court and requiring appointment of counsel. Appointments for the initial petition filing are only available as required by applicable Washington law (e.g. Bellevue School District v E.S.).

b. Calendars: The Agency shall provide coverage for calendars if indicated in Attachment B, Calendar Attorney and Staff List. The calendars are defined as follows:

i. **Dependency Calendar:** All initial 72-hour shelter care calendars in Seattle or Regional Justice Center (RJC) shall include the presence of an attorney and follow the OPD assignment procedure.

ii. **General Attorney of the Day (AOD) Services:** The Agency will in good faith attempt to provide legal advice in all case areas included in this Contract 24 hours a day seven days a week via beeper/pager/phone access and respond promptly to any accused adult or juvenile who is in the investigation phase or recently charged with a crime or detained on a civil matter within the jurisdiction of OPD. AOD for legal advice may occur both in RJC and Seattle. AOD includes a request from a defendant for substantial legal advice or a police agency to respond for line-up presentations. A call to the 24 hour beeper is calendar work not a case assignment.

Agency shall provide its beeper/pager/phone contact number to the King County adult and juvenile detention facilities, the Superior and District courts, other public defense agencies, and OPD. Agency primary responsibility is included in Attachment B. Agencies shall establish backup protocols both within the Agency and with other OPD public defense agencies. Where substantial advice services are provided as a result of initial General AOD contact via beeper, credits can be requested as per Attachment A. "Substantial advice" includes legal services beyond providing of simple direct, one-time legal advice by phone or in person at a detention facility, and includes ongoing legal services preliminary to a formal appointment as counsel for prospective client. Substantial advice credit is available for face-to-face contact.

Individual substantial advice assignments may also be appointed by the court or assigned by OPD, where the scope of work exceeds that described in the General AOD paragraph above.

iii. **Felony Arraignment Calendar.**

iv. **Felony Sentence Modification Calendar:** The Superior Court Probation Modification Calendar.

v. **Juvenile AOD:** The Agency shall provide Juvenile Special Services which include making "morning rounds" in juvenile detention; lineups, detention reviews and arraignments; diversion counseling per RCW 13.40.080; and representing offenders as counsel until an attorney is formally appointed.

vi. **Juvenile First Appearance Calendar:** Each Agency representing juveniles shall provide representation on the Juvenile First Appearance Calendar. Representation of juveniles on this daily Juvenile Court Calendar shall include prior clients and/or unrepresented juveniles. Time spent on this calendar shall

include preparatory work done concerning the juveniles listed on the calendar who do not appear because of expedited filing by the Prosecuting Attorney, release from detention prior to hearing, or other reasons for non-appearance.

- vii. King County Court Misdemeanor calendars include: District Court Felony Investigation Calendar, Bench Warrant Return Calendar, and initial detention hearings for new misdemeanor charges.
- viii. Expedited Felony calendars in King County District Court (KCDC) shall include the presence of Agency attorneys as assigned in Attachment B. Only Expedited Felony cases shall be counted toward calendar case workload, cases on this calendar that are not Expedited felonies shall be assigned case credit. At such time that KCDC establishes a consistent higher or lower number of weekly Expedited calendars, OPD shall evaluate the impact on Agency workload and provide a commensurate adjustment by amendment to this Contract.
- ix. Civil Contempt of Court practice area: A civil contempt case shall include legal representation in hearings, related solely to a contempt citation and shall be limited to cases where the factual likelihood of loss of liberty arises from at the initiation of King County or the State of Washington, or a judicial act in child support enforcement contempt matters. Non-legal support staff will be funded at a ratio of 2.0 FTE support staff to 1.0 FTE attorney; in addition to 0.20 clerical staff and 0.10 attorney supervisor per 1.0 FTE attorney.
- c. Civil Contempt of Court practice area: A civil contempt case shall include legal representation up to a maximum of three hearings, related solely to a contempt citation and shall be limited to cases where the factual likelihood of loss of liberty arises from at the initiation of King County or the State of Washington or a judicial act, in cases not involving child support enforcement matters.
- d. Dependency practice area: Coverage for all dependency, termination, guardianship, dependency guardianship, contested private adoption termination, and Reinstatement of Parental Rights cases.
  - i. Dependency Case: A dependency case credit is given for the legal representation of a client from:
    - 1) The initial predispositional assignment through the dispositional hearing. Should an agreed order of dependency be entered on or before the date of the entry of the Pre-Trial Order from the Pre-Trial Hearing, it shall be considered part of the dependency case. If the case is assigned to the Agency after the establishment of dependency and entry of the dispositional order, an initial case credit shall be given at the time of assignment.

- 2) The filing of a termination or guardianship petition entered on or before the date of the entry of the Pre-Trial Order from the Pre-Trial Hearing through the disposition of the termination or guardianship. Should an agreed order regarding termination or guardianship be entered on or before the entry of the pre-trial order, it shall be considered part of the termination or guardianship case.
- 3) Appointment of counsel pursuant to RCW 26.33.110 for contested private adoption termination shall be considered a termination of parental rights appointment for credit purposes. The Agency shall not be required to have more than two open RCW 26.33.110 cases at a given time.

ii. **Post-Dispositional Hearing:** A dependency review shall include legal representation at any post-dispositional hearing or hearings related to the original dependency filing, excluding the initial 72 hour shelter care review, the 30-day shelter care review, fact finding hearing, and the dispositional hearing. Reviews included within this category shall include, but not be limited to: the initial progress review, six month review hearings, permanency planning hearings, new shelter hearings occurring post disposition, or other reviews or hearings set by the parties or by the court. If a review hearing is continued, additional credit shall not be allocated for the review unless the substantive issues within the review hearing change or substantial work is done. In the event that a court consolidates a family court matter with proceedings under a dependency case, pursuant to Local Juvenile Court Rules (LJuCR) 3.13, the Agency shall receive additional dependency review credit under this section for representation involving hearings in the consolidated matter.

iii. **Dependency Trial/Termination or Guardianship Trial**

1) A dependency trial shall include:

- a) A contested fact-finding for a dependency and the preparation for said fact-finding or the entry of an agreed order of dependency and agreed dispositional order at the time of the scheduled dependency fact-finding; or
- b) Entry of an agreed order of dependency after the entry of the Pre-Trial Order from the Pre-Trial Hearing, and any contested hearing or hearings related to the dispositional order pursuant to the agreed order, as described in RCW 13.34.110 (1) and (2). This does not include post-dispositional review hearings; or
- c) Contested trials that are actually litigated in an evidentiary hearing.

- 2) A termination trial shall include:
  - a) A contested fact-finding on a termination petition or guardianship petition and the preparation for said fact finding; or
  - b) Entry of an agreed order regarding termination or guardianship petition at the time of the scheduled contested fact-finding. Agreed orders only qualify for additional credit if the fact finding hearing has been scheduled for a contested evidentiary hearing and after the entry of the Pre-trial Order from the Pre-trial Hearing; or
  - c) Contested trials that are actually litigated in an evidentiary hearing.
  
- iv. If more than one petition is pending involving one client, only one case shall be allowed credit. If matters involving one client with multiple petitions are severed for separate proceedings on different petitions, credit shall be given for such hearings. In the event that a new petition is filed as to a parent involving a child not previously involved in prior proceedings and that petition is filed post-disposition of previously filed petitions, then a new case credit will be allowed on the newly filed petition.
  
- v. Reinstatement of Parental Rights Matters: Representation of a client from the time of appointment of counsel through completion of the matter to point of either completed reinstatement of parental rights, or dismissal of the Petition for Reinstatement of Parental Rights. To the extent that any hearings held in this matter are also concurrently a permanency plan hearing or review hearing in the related dependency matter, no additional review credits are given for those hearings for purpose of the related dependency matter. Review hearings that are Post-Hearing on the Merits reviews are eligible for credit.
  
- e. Felony practice area:
  - i. Felony Case: All legal representation related to a case filed in the Criminal Division of King County Superior Court by the King County Prosecutor's Office, alleging a violation of criminal law and having a maximum sentence of more than one year, through sentencing and any restitution hearing and as listed in Attachment A. If the Agency wishes to bill a second attorney's time as co-counsel on a felony case, it must secure a court order for co-counsel appointment and provide a copy of said order to OPD.
  - ii. Felony/Probation Review: A felony/probation review shall include legal representation at any hearing or hearings arising from a single notice of hearing subsequent to disposition of the case.

Areas within this category shall include, but not be limited to: probation reviews, revocations, early release, conditional release, safe-to-be-at-large, temporary release, medical release, and material witness hearings. A restitution hearing will be a review only if it is assigned to an agency other than the agency which represented the client at sentencing.

- iii. Persistent Offender (I 593 C 1 L 94; RCW 9.94A.030(33); RCW 9.94A.570): Persistent offender is a defendant as defined by RCW 9.94A.030(33) and subject to sentencing pursuant to RCW 9.94A.570. The Agency shall provide documentation to OPD to verify a persistent offender case status.
- iv. Complex Litigation: All death penalty homicide cases and all aggravated homicide cases. Aggravated homicide cases are considered death penalty cases until such time as decision is made by the Prosecuting Attorney not to file a notice of intention to seek death.
  - 1) Complex litigation cases are "pending" until completion of the guilt phase and penalty phase/sentencing.
  - 2) Aggravated homicide cases, in which the death penalty is not sought, shall occupy the portion of a full time attorney up to a maximum of one Full Time Employee (FTE) qualified at Senior Public Defense I level or above. Professional support and clerical staff, and attorney supervision will be assigned as necessary, consistent with the King County Public Defense Payment Model (KCC Motion 12160) and KCC Motions 13003 and 13004.
  - 3) Aggravated homicide cases, in which the death penalty is sought, shall be assigned up to two FTE attorneys, consistent with Superior Court Special Proceedings Rules – Criminal (SPRC 2). The Agency shall assign at least one attorney qualified at Senior Public Defender I, or above to a case.

Attorneys assigned to a death penalty case cannot have an additional active trial level death penalty case pending on their assigned caseload, but are not prohibited from representing other non-death penalty clients in other pending matters, provided those duties do not interfere with the attorneys' ability to provide effective assistance of counsel on the assigned death penalty matter. Agency shall not be awarded more than 12.5 credits per assigned attorney FTE in any given month. Professional support and clerical staff, and attorney supervision will be assigned as necessary, consistent with the King County Public Defense Payment Model (KCC Motion 12160) and KCC Motions 13003 and 13004.

- 4) The Agency and the County understand that the portion of attorney(s) and professional support staff assigned to each complex litigation case may change from time to time as the case moves through the adjudication process. In the event that a case concludes sooner than scheduled in Attachment B, case credit allocation will be adjusted accordingly.

f. Involuntary Commitment practice area:

- i. Initial Petition: An involuntary commitment case shall include any hearing on a petition for involuntary commitment under one cause number including a hearing for probable cause, or any petition for additional commitment under the same cause number pursuant to RCW 71.05.280, except such hearings defined in (ii) and (iii) below.
- ii. 180-Day Petition: Any involuntary commitment case that went to a 180-day hearing following a petition filed pursuant to RCW 71.05.320 shall be considered a separate case and shall be billed by client name and shown separately. When a new docket number is assigned and the first court appearance is a 180-day hearing, the Agency will receive case credit and payment for either the assignment of the new docket number or the 180-day hearing, but not both.
- iii. Involuntary Medication Hearing: A hearing held pursuant to a petition for involuntary administration of medications or electroconvulsive therapy shall be considered a separate case.
- iv. An alcohol involuntary commitment proceeding filed pursuant to RCW 70.96A including all hearings under the same cause number shall be considered one case.
- v. A drug-related involuntary commitment filed pursuant to RCW 70.96A including all hearings under the same cause number shall be considered one case.

g. The Agency shall fully participate as requested by the County in Superior Court and OPD planning and responsibilities for providing services in the event of a Court Order for Public Health Isolation or Quarantine of Individuals and appointment of counsel pursuant to WAC 246-100-04D and WAC 246-100-060 as to Court proceedings.

h. Juvenile Offender practice area: Coverage for all juvenile cases filed in juvenile court, including Diversion Calls.

- i. Juvenile Offender Case: A juvenile offender case includes any criminal charge filed by the King County Prosecutor's Office in King County Juvenile Court. All hearings from initial assignment through dispositional hearing after plea, fact-finding or entry of diversion and rush filing hearing associated with initial dispositional order and restitution hearing are included within



initial case credit, except that substantial preparation for a decline hearing is eligible for additional case credit.

- ii. **Juvenile Offender Modification/Review:** A juvenile offender modification/review shall include all post-dispositional or post-diversionary hearings related to the allegations contained in the notice of the modification/review. In the event that the juvenile respondent has several notices of modification/review because of different cause numbers and all such modifications/reviews are heard at a single hearing, then such hearing shall constitute one completed juvenile offender modification/review.
- iii. **Juvenile Offender Restitution Review Hearing:** The first restitution hearing after the disposition hearing is considered part of the initial case credit. Any subsequent juvenile offender restitution review hearing will receive review credit. In the event that the juvenile has several pending restitution hearings and such hearings are all heard at the same hearing, then such completed hearing shall constitute one completed restitution review hearing.

i. **Misdemeanor practice area:**

- i. **Misdemeanor Case:** Any criminal case, including traffic misdemeanors, filed by the King County Prosecutor in the District Courts.
- ii. **Misdemeanor Appeal:** A misdemeanor appeal involves filing the notice of appeal, if necessary, perfecting the record following the filing of the notice of appeal, preparation of the transcript pursuant to Rule 6.3a Rules for Appeal of Decisions of Courts of Limited Jurisdiction (RALJ), preparing such briefs and memoranda as are required, arguing the case in Superior Court, and handling such paperwork as the Superior Court's decision and orders direct.
- iii. **Misdemeanor Writ:** A writ involves filing notice, perfecting the record, preparing such briefs and memoranda as required, arguing the case in Superior Court and handling such paperwork as the Superior Court's decision and orders direct.

j. **Sexual Predator practice area:** Legal representation for indigent persons assigned by OPD for cases filed under RCW 71.09, civil commitment petitions filed by the King County Prosecuting Attorney or the Attorney General's Office, subject to such conditions stated in the current Program Agreement, and General Terms Agreement between the County and the State of Washington Department of Social and Health Services (DSHS) from the effective date of these agreements, and directly invoice to and be reimbursed by DSHS at a rate determined by DSHS or as ordered by the Court.

k. Specialty Court practice area:

- i. King County Superior Court Drug Diversion Court (DDC) and Juvenile Drug Court (JDC): Any criminal case filed into King County Superior Court Drug Diversion Court or Juvenile Drug Court. Staffing is determined in DDC and JDC by a separate agreement, which is incorporated as applicable by attachment to this Contract.
- ii. King County District Court Mental Health Court includes: Any case filed into King County District Court Mental Health Court. Staffing is determined by a separate agreement, which is incorporated as applicable by attachment to this Contract.
- iii. King County Juvenile (Dependency) Court: Dependency Family Treatment Court cases shall be handled by the agency assigned to the case as part of the routine representation in the dependency matter. Case credits will be according to the dependency credit section of Attachment A.

2. Case Credit: Case credit is a unit of work. The total number of units that an attorney is expected to perform is listed in the case credit workloads of Section III. Program Requirements, of this Exhibit. Attachment A of this Exhibit defines the Case Credit Application and parameters for additional credit in extraordinary cases.

Extraordinary Case Credit: Extraordinary case types including Juvenile Felony Offender, Contempt of Court, Dependency, Termination of Parental rights, RCW 26.33.110 contested adoption termination, Reinstatement of Parental Rights, Murder, Persistent Offender, and all Adult Felony cases shall be given extra credits if the nature of the case requires such extra credits, based upon a written application from the Agency. Other case types may be approved for extraordinary credit at the discretion of OPD. Factors entering into the awarding of extra credits shall be as according to Attachment A.

3. Criminal Case: A case is any one charge or series of related charges filed against one defendant/respondent in a single charging document or in the case of misdemeanors a series of charges under several charging documents, set for one court hearing that will ultimately lead to one disposition.

- a. If a related series of charges which is defined herein as a single case is subsequently set for separate disposition hearings or trials, the Agency may request additional credit for each case, which is severed from the consolidated case.
- b. A completed case involves all necessary legal action from arraignment through disposition. This includes the filing of a notice of appeal upon the client's request, application to proceed in *forma pauperis* on appeal, and a motion for appointment of appellate counsel.
- c. A case remanded to the trial court for proceedings following appeal is a new case credit. The filing of an appeal (interlocutory appeal which is

accepted for review by the appellate court or post-dispositional) closes the case for credit purposes.

d. For Juvenile Offender and all Misdemeanor cases:

i. If a new count is filed on a separate incident after the case has been assigned, but not a new court case number, the Agency may request additional credit for each count similarly filed and as per paragraph III.A.1.f. An incident is defined as a criminal charge alleged to have occurred on or about a specific calendar day. Multiple offenses charged as occurring on or about the same date are considered a single incident. Regardless of the total number of incidents alleged (separate count and separate date) either in the original or amended complaint, up to a maximum of three credits shall be allowed. Agency can apply for Extraordinary Case Credit for appropriate cases beyond the three case credits.

ii. Criminal charges alleged to have occurred on indeterminate dates or during a specified range of dates (e.g. on or between specific dates) are considered one incident. The Agency may present documentation to OPD to demonstrate that separate and discreet dates of incident were intended. Documentation should include such portions of pre-trial discovery to justify claim for separate incidents. In the event that such separate incidents are determined, a maximum of three credits shall be allowed, if requested by the Agency, per charging document.

e. Felony case credits shall be allocated as provided in Attachment A. This allocation is in accordance with King Council Motions 12160, 13003 and 13004, and is an interim directive until such time the Council determines a different method to case weight felony cases. Felony case assignments assume assignment of one attorney to a case. If the Agency wishes to assign and bill a second attorney's time on a felony case, it must secure a court order for appointment of a second attorney on that case and provide a copy of said order to OPD.

f. All cases assigned on and after July 1, 2009 shall be subject to attorney time billing requirements if additional case credits are requested, pursuant to Section IV and Attachment G of this Exhibit.

4. Criminal Case Disposition: Case disposition shall mean the dismissal of charges, the entering of an order of deferred prosecution, an order or result requiring a new trial, imposition of sentence or deferral of same, or dispositional continuance and any other hearing on that cause number that occurs within 45 days of sentence, entry of an order for deferral of sentence, or dispositional continuance by District Court. Sentencing includes completed hearing on establishing restitution order. A motion to modify restitution shall be considered for additional credit if the motion occurs after 180 days.

A restitution hearing ordered at the time of original disposition shall be included as part of the case credit as defined by this disposition description. It shall include the filing of a notice of appeal, if applicable. It shall not include a felony

or misdemeanor probation review unless such review occurs within 45 days of disposition. Additionally, it shall not include any hearing ordered at the conclusion of a deferred sentence unless such hearing occurs within 45 days of sentencing.

5. **Indigent:** An indigent defendant is a person determined indigent by OPD or the Court as being eligible for a court-appointed attorney, pursuant to RCW 10.101, 13.34.090, and OPD policy. Defendants shall be considered provisionally assigned until a screening for indigency is completed, pursuant to RCW 10.101.020(4). The Agency is required to cooperate with OPD in the effort to have assigned defendants screened; which shall include, reminding clients to be screened upon release from custody. Out of custody defendants may be required to be re-screened if their financial situation changes or at each 180 days of Agency representation. If a defendant is found to be not indigent, OPD will inform the Agency and court. In the event the court removes the Agency from assignment or orders the Agency to withdraw as appointed counsel, credit for such representation by the Agency is determined by the terms of this Contract, including the relevant sections of Attachment A.
6. **Legal Services:** Legal service is legal representation provided by an individual licensed attorney, and associated professional support staff to an individual client, pursuant to a case assignment or court appointment. The attorney will be required by the Agency to satisfy the Code of Professional Responsibility, the law of the State of Washington and the United States in the full discharge of the duties to each individual client under this Contract.
7. **Professional support staff:** Investigators, social workers and paralegals.
8. **Supervision:** An attorney with significant experience who is capable of actively monitoring an attorney's work product, caseload management, and relationship with clients and is capable of providing legal advice and guidance in other areas relevant to assuring high quality staff attorney performance. The Agency will provide active supervision for each staff attorney, in the ratio of one supervisor per ten staff attorneys.

### **III. PROGRAM REQUIREMENTS**

#### **A. Services**

1. **Case Credit Allocation and Case Assignment:**
  - a. OPD shall make reasonable efforts to maintain the Agency at the total case credit allocation and case assignment as shown on Attachment B of this Exhibit, for each quarter of the year. The quarterly case credit amounts in Attachment B are provided for planning purposes. OPD shall make reasonable efforts to distribute cases beyond variance consistent with the case credit allocation in Attachment B of this Exhibit and paragraph b. below. The variance in case distribution does not apply to calendars, specialty court agreements, complex felony cases, or Sexually Violent Predator cases.
  - b. The Agency shall accept case assignments within contracted subject areas, including case assignments above the projected variance levels.

Agency refusal to accept an individual case assignment within contractual subject areas shall not in and of itself be considered a material breach of this Contract, however the County may determine such refusal requires Agency corrective action. The County shall pay the Agency for work in excess of the variance levels according to Section IV of this Exhibit.

- c. The Agency shall accept all complex litigation cases as determined by OPD, after consultation with the Agency concerning capacity.
- d. If the Agency has begun delivery of legal services and the defendant/respondent absconds for a period of 180 days or more, upon re-apprehension, the allocation of a new case credit shall be allocated by OPD upon reassignment to Agency.
- e. If the Agency is assigned to a case prior to arraignment, has not provided legal services, and the defendant fails to appear for arraignment, the case shall be returned to OPD within 30 days or as agreed with OPD, and the Agency shall be debited.
- f. For Felony, Juvenile Offender and Misdemeanor cases, if either within the original charging instrument or after initial assignment, additional charges are filed under the same cause number against the same defendant/respondent, and said charges arise out of a different allegation or series of allegations unrelated by transaction to the first charges, then additional case credit shall be provided according to paragraphs II.C.2, 3, and 4 above.
- g. The Agency may be appointed by the court as standby counsel in pro se cases. If such appointment is made, the Agency shall receive one case credit, less previous credit assigned for case. The Agency is not necessarily expected to utilize its professional support staff for pro se case work. If professional support staff work is requested by the pro se defendant, the Agency may opt to reduce its credit allocation by 0.1 per case credit allocated by not utilizing Agency professional support staff. In the event that the Agency elects to not provide professional support staff services, then it is the responsibility of the pro se defendant to comply with OPD Expert Services protocols regarding requests for any such services as may be necessary. Standby counsel shall provide such assistance and advice as may be ordered by the court and otherwise as consistent by case law and other legal requirements, and applicable standards of attorney representation and attorney conduct, including pro se requests for expert services.
- h. The Agency shall electronically file a Closed Case Report (Attachment D) each month with OPD which shall include all information requested in the report for the month indicated and be submitted to OPD no later than 20 days following the last day of the previous month. A case is considered closed for further credit for attorney time expended after 30 days past sentencing, or a dispositional order is filed, or upon filing of a Notice of Appeal, unless an additional court order appoints counsel.

2. Funds for payment for expert services are not included in this Exhibit. Requests for appointment for expert services shall be made consistent with the existing OPD Expert Services policies and procedures, and dependent upon available appropriation of funds. The Agency must assure that its staff follows the OPD expert procedures, as agreed with OPD.
3. The Agency shall fully participate as requested by the County in Superior Court and OPD planning and responsibilities for providing services in the event of a natural disaster, a major disruption to court processes, or a pandemic. The Agency shall maintain disaster plan protocols which shall include a provision for daily contact with OPD and the Courts to verify court calendars requiring counsel presence. The parties acknowledge that all such plans presume the continued ability to communicate between the Agency and OPD. OPD shall assist the Agency in coordination with the courts and general implementation of the disaster plan.

The Agency's disaster plan shall include procedures and protocols to respond to and recover from a natural disaster or major disruption to agency operations such as a work stoppage; and continue operations during a prolonged event such as a pandemic. The plans shall be consistent with written County disaster plans for purposes of continuation of court business in the event of a disaster.

#### B. Practice Standards and Records

1. The Agency shall require that all attorneys, professional support staff and supervisors maintain contemporaneous records of all legal services provided on a specific case. The records shall provide a factual description of the work done and shall be sufficiently detailed to allow monitoring of legal service activity by OPD.
2. Upon closing a case, all attorney, professional support staff and supervisor files associated with the case shall be cross referenced and accessible as a whole for OPD monitoring.
3. The Agency shall maintain professional practice standards in providing a level of legal service that does not fall below the minimum professional standards and RPCs of the Washington State Supreme Court, the Washington State Bar, the National Legal Aid & Defender Association, and any applicable case law and court rules that define the duties of counsel to their clients. The Agency shall formally adopt the internal Agency practice standards as submitted to OPD February 1, 2006, and shall include a procedure for monitoring compliance with the standard. Case credit workload standards shall be according to paragraph III.B.14. below.
  - a. Attorney practice standards, include but are not limited to:
    - i. Lawyer-client relationship, initial case actions, investigation and preparation, preliminary hearings; disposition without trial; trial; post conviction or fact finding and any other areas of attorney practice deemed appropriate by Agency management or Board.
    - ii. Attorney use of professional support staff services and expert services.

- b. Professional support staff service practice standards.
  - c. Practice standards for supervision of attorneys and professional support staff services.
4. The Agency agrees that, within available resources, reasonable efforts will be made by the Agency to continue the initial attorney assigned to a client throughout any case in which representation is undertaken. The Agency is not prohibited from rotating attorneys through various Agency divisions or from assigning a single attorney to handle various aspects of legal proceedings for all indigent persons where such method of assignment is the most reasonable method of obtaining effective legal representation for indigent persons.
  5. The Agency agrees that a member of the Agency staff shall visit any assigned in-custody criminal defendant, in-custody civil commitment, or dependency clients, and obtain basic contact and other fundamental intake information for a bond hearing within one business day from notification to the Agency of the assignment of the case and the in-custody status of the client, and/or within one business day upon notification of transport to a local detention facility. This provision applies to clients in custody at any facility within the King County jail. If a client is in custody in a non-County jail, the Agency shall make contact within three business days of receiving the assignment.
  6. The Agency agrees that an attorney shall make contact with all assigned persons within five working days from a case assignment, or in rare occurrences where this is not achieved the Agency shall document reasonable efforts to make said contact.
  7. The Agency agrees that a preliminary written response to any written complaints from OPD concerning services provided by the employees of the Agency or the Agency itself shall be submitted to OPD within three working days of the date the complaint is received by the Agency Director or the Director's designee. Written complaints include email communications from OPD; email response date will be provided.
  8. Upon assignment of any client charged with a criminal or civil case to an Agency, the Agency shall make diligent and reasonable efforts to obtain initial discovery within five business days of the assignment, whether initial or subsequent, on any case. In all cases, discovery shall be reviewed as soon as possible and in no event later than five business days after receipt for purposes of determining any conflicts of interest. The Agency shall notify OPD immediately and no later than 14 calendar days from assignment without good cause shown, of any conflict of interest as defined by the Washington State Rules of Professional Conduct. The Agency shall state the basis when possible, as court determines, for the conflict in its written notification.
  9. The Agency shall establish and enforce policies and procedures to ensure that attorney time and other Agency resources funded by this Contract shall be for work which is the subject of this Contract and as according to Section IV and Attachment A of this Exhibit.

The Agency shall establish policies and procedures for pro-bono work provided by staff of the Agency. These policies and procedures shall assure that any such pro bono work is not provided to the exclusion or detriment of legal services that are the subject of this Contract.

10. Attorney Professional Support Staff

The Agency shall provide sufficient professional support staff, including investigators, social workers and paralegals to provide for effective assistance of counsel.

11. Minimum Attorney Qualifications

- a. Attorneys shall have the requisite qualifications for the cases to which they are assigned by the Agency, consistent with Washington State Bar Association (WSBA) Standards for Public Defense Services, Standard Fourteen, Qualifications for Attorneys, as adopted in 2007 and as may be amended by WSBA during the Contract period.
- b. Each staff attorney representing any client assigned by OPD shall work under the supervision of a supervising attorney employed by the Agency. Such supervision shall provide the staff attorney with sufficient resources so the attorney will be able to handle cases on his/her own. The employees of the Agency are not employees of the County and the County will not provide supervision to any of the Agency's employees.
- c. The Agency may choose to use Rule 9 Interns or Rule 8 attorneys, as allowed by Washington State Bar Association regulations.

12. Attorney/Professional Support Staff Evaluation

The Agency director, or his/her designee, shall evaluate the professional performance of each individual Agency attorney and professional support staff member annually. Attorney evaluations shall include: quality of case preparation, compliance with the requirements of this Contract, monitoring of time and caseload records, review of case files, and in-court observation. Professional support staff evaluations shall be sufficiently comprehensive to assess the quality of the actual work performed.

The Agency shall keep a written record of all required performance evaluations.

13. Attorney/Professional Support Staff Training

The Agency shall provide access to sufficient training to support attorney and professional support staff performance of duties under this Contract. The Agency shall maintain all necessary records to assess the adequacy of the training. A Continuing Legal Education (CLE) approved by the Washington State Bar Association is presumptively adequate.

At a minimum during each calendar year of the Contract period, the Agency shall require each attorney to attend at least seven WSBA approved credits of CLE in a practice area under this Contract. Each Agency attorney must attend training approved by WA State OPD, pursuant to RCW 10.101.050 and as



posted on WA State OPD website, at least once per calendar year. Each Agency attorney also shall satisfy the minimum requirements for practicing law in the State of Washington as determined by the Washington Supreme Court.

14. Case Credit Workload Guidelines

The County shall review Agency case credit workloads, case assignments, standards, and support staff/attorney ratios for each area of cases to assess compliance with the requirements of this Contract.

The total amount of reimbursement included in this Contract resulted from the application of the Public Defense Payment Model approved by the King County Council by Motions 12160, 13003, and 13004, as supplemented by external funding sources and as otherwise approved by the King County Council. Pursuant to the policies stated in Motion 12160, the allocation for each case area was calculated to provide funding for public defenders at parity with similarly situated attorneys in the Office of the Prosecuting Attorney. The Agency's staffing plan may be reviewed by OPD to verify that an attorney's experience level meets or exceeds required experience for case practice area, and placement within the salary schedule is reasonable. The Agency agrees to comply with the following average annual case credit workloads per attorney per case type:

Supervisors	0
Felony	150
Complex	150
593	150
King County Misdemeanor	450
Expedited Felony Calendar cases	450 (assignments)
Juvenile*	330
* KCC Motion 12160, 13003, 13004, supplemented by WA State funds (see Att C of this Exhibit) to allow an effective caseload of 250.	
Dependency	180
Becca ARY/CHINS	250
Becca Truancy	375
Contempt of Court (Child Support Enforcement) – Calendar Funding	
Contempt of Court (Non-Child Support Enforcement)	225
Involuntary Treatment Act (ITA)	410

A supervisor, including a managing director or assistant director, may provide minimal representation to clients under this Exhibit solely for the purpose of addressing management issues, emergencies or caseload overflow beyond the projections. OPD shall be notified of primary representation by supervisory staff constituting a caseload that exceeds available one to ten ratio of supervisory availability.

15. The Agency agrees to abide by the OPD Withdrawal requirement (Attachment E) when declining a case or withdrawing from a case because of a conflict of interest. Agency may withdraw only pursuant to applicable court rules and RPCs. Agency attorney shall promptly notify the court of any conflict of interest or any other reason requiring withdrawal from a case assigned under this Exhibit. The Agency shall file a Notice of Withdrawal the same day of discovery of such conflict and is permitted to withdraw under applicable court rules so that substitute counsel can be assigned by OPD.

The Agency shall immediately submit to OPD the OPD Withdrawal form and a copy of the court order, if any, approving withdrawal and the case will be re-assigned by OPD within one business day. Credits shall be applied according to Attachment A of this Exhibit. OPD shall notify withdrawing agency of next counsel assigned to the case.

16. The Agency shall report all extraordinary occurrences to OPD in accordance with the Extraordinary Occurrences Policy and Procedure, and cooperate with any OPD extraordinary occurrence review. The Agency shall adopt an Agency specific extraordinary occurrence policy. The requirement to report to OPD does not replace reporting requirements by other entities.
17. The Agency shall have a process for the filing and review of complaints that are brought to the Agency's attention by clients, OPD, or other criminal justice system staff. The Agency shall establish an internal complaint procedure that is accessible to all clients, in accordance with RCW 34.05, 49.60, RPCs, and the OPD Client Complaint Services Policy and Procedure. The Agency shall maintain a file system for reliable report backup of each complaint.
18. The Agency agrees to abide by the terms of the OPD Attorney Supervision Policy and Procedures.
19. The Agency shall have an Information System (IS) that can report accurate data to OPD, and shall comply with the OPD IS Policy and Procedure. The Agency shall have functional personal computers using a Windows Operating System version XP or above and shall be connected to the Internet with the following supports:
  - a. The Agency shall have at least one trained primary and one trained backup on-site IS support person who has training on Basic Administration of Windows XP, and other basic software training.
  - b. The Agency shall notify OPD, in writing, of staffing changes (i.e. new hires, transfers, and terminations) for those staff directly responsible for data reporting and IS maintenance.
  - c. If a virus attacks an Agency computer, Agency response must be immediate (i.e. within 15 minutes) to take the computer off line and other appropriate action.

#### **IV. COMPENSATION AND METHOD OF PAYMENT**

- A. The Agency shall be paid monthly as provided in Attachment C of this Exhibit except as paragraph IV B. applies. The Payment Schedule shall pertain to all cases assigned

to the Agency from July 1, 2011 through June 30, 2012. Payment for the period of this Contract or any portion thereof is conditional upon appropriation by all necessary agencies and funding sources of sufficient funds to support the activities described in the Contract. That portion of funds for Agency payment derived from either WA State or the Mental Illness and Drug Dependency (MIDD) program are conditional upon re-appropriation at any time. If any other fund amounts are changed or prove insufficient to fund specific services described in this Contract, OPD may amend this Contract to reflect such change.

- B. Payment shall be made monthly, subject to performance requirements being met and upon completion of scheduled corrective action requirements noted in the OPD 2009 site visit review and the Agency plan for corrective action. For each corrective action due date missed by the established due date, one percent of the subsequent month's payment will be withheld until action is completed or report is received and accepted by the County.
- C. For all cases, other than those assigned to the Agency by OPD, in all case areas and for all review credits and other credits, the Agency shall make a reasonable effort to ensure that credit requests are submitted to OPD weekly.
- D. The Agency shall respond to the OPD Case Credit Certification Report within ten business days of receipt by the Agency.
- E. Payments may be made for extraordinary expenses that are in addition to those payments shown in Attachment C, Payment Schedule. Extraordinary expenses shall be approved in writing in advance of the expenditure by OPD.
- F. In the event that workload demand exceeds the projected levels, the Agency shall accept case assignments and case credits above the variance levels on a monthly basis. OPD will monitor the distribution on a cumulative monthly basis to ensure that a reasonable distribution of cases, consistent with Attachment B allocation, has been maintained. OPD recognizes that a variance above projected levels may be inconsistent with the case credit workload guidelines and up to the variance percentage for a specific case area will not be a cause cited for Agency corrective action.
- G. For quarterly and annual payment purposes, the variance shall be no more than five percent, for all case areas except felony cases, which shall have a variance of no more than two-and-one-half percent. These variance percentages will be applied to the projected caseload to establish the variance range. Variance does not apply to calendars, specialty court agreements, Sexually Violent Predator cases, or Complex Litigation cases.
- H. Quarterly reconciliation: At the end of each quarter, OPD will evaluate the case credit accumulation for each Agency for each case type. Action taken will be as follows:
  - 1. The actual quarterly caseload for each type of case area, as shown in the quarterly Certified Statistics will be compared to the actual variance range, for each practice area.
  - 2. If the Agency's actual quarterly caseload falls within the high and low values of the variance, an adjustment to the reimbursement will not be made.

3. If the Agency's actual quarterly caseload falls outside of either the high or low values of the projected variance range, an adjustment to the payment may be made.
4. If a quarterly reconciliation results in payment due to the Agency from the County, the Agency may, at the Agency's option, defer such payment to a later month in the Contract period.
5. If the quarterly reconciliation results in payment due to the County from the Agency, the County may, at the County option, defer such payment to a later month in the Contract period.
6. Reconciliation will be based on case credits per case type or per charge type.

I. Contract end reconciliation: The final payment will be the result of a reconciliation of actual performance to Contract specifications. The reconciliation will be conducted as follows:

1. All extra credit requests from the Agency shall be submitted by the 15<sup>th</sup> day of the month subsequent to the end date of the Contract. OPD will issue a Case Credit Reconciliation Report to the Agency within 5 business days from receipt of Agency request. Agency response to the OPD Case Credit Reconciliation Report shall be submitted to OPD no later than 30 days of this Report from OPD. Credit requests submitted from the Agency that are received by OPD after this day shall not be considered for reimbursement unless the Agency sends OPD a written request for an extension of the due date by the 15<sup>th</sup> day of the month, citing specific extraordinary circumstances.
2. The variance percentages outlined in Section III. Program Requirements will be applied to the projected caseload to establish the variance range.
3. The actual caseload for each type of case will be compared to the variance range for each type of case, except for complex felony cases, calendars, specialty court agreements, or Sexually Violent Predator cases.
4. If the Agency's actual caseload falls within the high and low values of the variance, an adjustment to December reimbursement will not be made.
5. If the Agency's actual caseload falls outside of either the high or low values of the projected variance range, an adjustment to the final payment will be made.

J. Becca Cases: The Agency shall be paid by OPD for CHINS, ARY, and Truancy legal services from funds provided by the State of Washington through King County Superior Court, Juvenile Court Services, and such additional funds as may be made available by King County.

1. Truancy. Truancy cases will be counted as one credit for appointments on the initial petition as well as one credit for the filing of a truancy contempt of court where the right to counsel attaches. No additional credits will be given for review hearings.
2. ARY/CHINS. ARY and CHINS cases will be counted as one credit for new assignments on a petition for ARY or CHINS. The initial case credit will include

two review hearings. Review hearings after the first two on that petition will earn one third (.33) credit per review.

3. Review hearings. All hearings subsequent to the hearing in which the ARY or CHINS fact finding is completed and in which disposition of the petition is ordered, if ordered in a separate hearing, will be considered a review. Any contempt hearing ordered on the original petition post disposition will be considered a review credit, if the matter is handled within the proceedings relating to the ARY or CHINS petition. Hearings relating to the contempt in the ARY or CHINS proceeding which occur post disposition of the contempt matter will be considered a review hearing.
4. Truancy credit load limit. For purposes of this contract, Truancy cases will have a case credit load limit of 375 credits per FTE attorney per year.
5. ARY / CHINS credit load limit. For purposes of this contract, ARY and CHINS cases will have a case credit load limit of 250 credits per FTE attorney per year.
6. A variance of five percent applies to Becca cases in total, as counted in aggregate in this Contract. Site specific estimates of cases assigned included in Attachment B are for Agency management purposes only.

K. Sexual Predator cases: The Agency shall be paid by the State of Washington for cases filed under RCW 71.09 and assigned to the Agency by OPD at a rate determined by DSHS or as ordered by the Court. Agency reimbursement shall be based on submission of expenditure reports and an invoice to DSHS in a format approved by DSHS. OPD shall apply its policies and procedures, as amended and posted on its website, to review and approve or deny requests from Agency counsel for use of Expert Services in 71.09 cases. Such authorization for Expert Services shall be made at the sole discretion of OPD. Upon approval or denial of counsel's request for Expert Services, OPD shall notify counsel. Per OPD policy, defense counsel may appeal an expert service denial to the court. Expert service reimbursement shall be invoiced to and provided directly by DSHS.

L. Attorney Monthly Case Service Form: All attorney hours shall be entered in Agency case files. The Agency agrees that maintaining accurate and contemporaneous records of attorney time is an essential responsibility of Agency attorneys. The Agency shall be responsible for submitting monthly attorney hourly records for all cases assigned on and after July 1, 2009 for which the Agency requests additional credits. The completed form shall be submitted in an electronic format provided by OPD, as included by Attachment to this Contract. All cases for which the Agency requests additional credits shall be based solely on the cumulative number of attorney hours worked on that case by the primary attorney (unless a second attorney is ordered by the court), as supported by the monthly attorney hourly record.

The Agency shall participate on the OPD and Contractors Data Interface Workgroup, which will identify the business operations necessary at the Agency and OPD to put into effect this reporting requirement for all cases assigned to the Agency. The data transmissions shall be tested prior to this data report submission requirement taking effect and a start date shall be recommended by the workgroup to OPD. Cases assigned prior to July 1, 2009 for which the Agency requests additional credits shall not be subject to this Attachment requirement, but shall be available for extraordinary case credit according to Attachment A.

**M. Prepayments**

1. The Agency shall ensure that it has sufficient funds to complete prepaid cases assigned but not completed at the end of the Contract period. The Agency must report its calculated prepayment retention amount and cost estimate, include the method of calculation, and provide a conclusion about whether the funds available would cover all costs associated with completing the cases assigned and prepaid. Not having an adequate reserve shall not be cause for a material breach of contract, but may require Agency corrective action.
  
2. In the absence of a precise calculation of prepayments by the Agency, the County shall estimate the sufficiency of funds using the following formula: For all felony, misdemeanor, initial dependency assignments, and juvenile offender cases assigned during the three months before the end of the contract that remain open at contract-end, it is assumed that the first month cases are 75 percent completed, the second month cases are 50 percent completed, and final month cases are 25 percent completed. For dependency cases it is assumed first month cases are 15 percent completed, second month cases are ten percent completed, and final month cases are five percent completed. The estimation shall be the result of calculating the number of open cases for each month by the corresponding percentage of uncompleted work, and then determining the sum of the uncompleted case count by the per case revenue amount to determine the sufficiency of funds.

**V. REPORTING REQUIREMENTS**

Compliance with the terms of this Exhibit requires the following reports, in a format approved by the County, to be submitted at the times indicated in the chart below.

<b>Report Title</b>	<b>2011-2012 Due Date</b>
Closed Case Reports Weekly Credit Request Report Persistent Offender (593) Monthly Case Report Additional Credit forms for cases assigned prior to July 1, 2009 Complex Litigation time sheets Extraordinary Case Credit Report for cases assigned prior to July 1, 2009 Attorney hourly record for cases assigned from July 1, 2009 through 2012, if additional case weighting credits are requested	Monthly, or more frequently as necessary
Contract-end Case Credit Reconciliation Report	July 20, 2012
Annual Financial Statements, IRS Form 990, Audit Report including management letters.	August. No more than one financial audit shall be required in any given calendar year.
Additional summaries, reports or documents, corrective actions directly related to Contract performance, as requested by OPD with reasonable notice.	Varies

## ATTACHMENT A

### Case Credit Application Schedule

Type of Case	
<b>Felony</b>	
One completed case	One case credit
Substantial advice	0.10 case credit
Substantial advice in the King County Detention Facility	0.20 case credit
Probation, other reviews, or sentencing only	0.33 case credit
Assignment for Motion to Withdraw Guilty Plea or a Motion for New Trial to an agency other than the agency handling the case	One case credit
Homicide cases assigned on and after July 1, 2009	Ten case credits upon assignment for the following case types: <ol style="list-style-type: none"> <li>1. Murder 1 – RCW 9A.32.040</li> <li>2. Murder 2 – RCW 9A.32.050</li> <li>3. Homicide by Abuse – RCW 9A.32.055</li> <li>4. Manslaughter 1 – RCW 9A.32.060</li> <li>5. Vehicular Homicide</li> </ol>
Adult Sex Offenses subject to Indeterminate Life Sentences on cases assigned on and after July 1, 2009	Five case credit upon assignment for the following case types: <u>Indeterminate Sex Cases – Life Sentence</u> <ol style="list-style-type: none"> <li>1. Rape 1 – RCW 9A.44.040</li> <li>2. Rape 2 – RCW 9A.44.050</li> <li>3. Rape Child 1 – RCW 9A.44.073</li> <li>4. Rape Child 2 – RCW 9A.44.076</li> <li>5. Indecent Liberties with Forcible Compulsion – RCW 9A.44.100(2)(b)</li> <li>6. Child Molestation 1 – RCW 9A.44.083</li> <li>7. Kidnapping 1 with Sexual Motivation – RCW 9A.40.020</li> <li>8. Kidnapping 2 with Sexual Motivation – RCW 9A.40.030</li> <li>9. Assault 1 with Sexual Motivation – RCW 9A.36.011 and RCW 9A.36.030(43)</li> <li>10. Assault 2 with Sexual Motivation – RCW 9A.36.021(2)(b)</li> <li>11. Assault of a Child 1 with Sexual Motivation – RCW 9A.36.120</li> <li>12. Burglary 1 with Sexual Motivation – RCW 9A.52.020</li> </ol>

<p>Additional Credits for Felony cases assigned on and after July 1, 2009</p>	<p>If Homicide cases listed above or Sex Offenses subject to Indeterminate Life Sentences cases listed above exceed 220 hours of attorney time, three additional credits for every 50 attorney hours over 200 attorney hours, upon OPD receipt of required Attorney Billing document.</p> <p>Any other felony case: three credits for every 50 hours over the initial presumed 12.1, upon OPD receipt of required Attorney Billing document.</p>
<p>Case from Court Order for Public Health Isolation or Quarantine of Individuals, WAC 246-100-04D and WAC 246-100-060.</p>	<p>One felony case credit</p>
<p>Persistent Offender (593)</p>	<p>For cases assigned prior to July 1, 2009, one case credit upon assignment, less previously assigned felony credit. The Agency is eligible for additional case credit for each additional 12.1 hours or portion thereof of attorney time on the case according to the Extraordinary Cases section of this Attachment A.</p> <p>For 593 cases assigned on or after July 1, 2009, this reimbursement method shall be in place until such time as the King County Council approves, by motion, a change in reimbursement methodology, and such time when the Council approved change is amended to this Contract.</p> <p>The Agency shall report monthly to OPD the total attorney time in each persistent offender case in a format consistent with Attachment F categorizing attorney hours. It is understood that the Agency director or the director's designee will review the status of all pending persistent offender cases in the Agency at least monthly and will discuss the cases with the attorneys representing the clients. Such review will include the status of investigation, preparation and presentation of mitigation packages, legal and factual issues in the case, the client's physical and mental status, and any plea bargaining offers.</p> <p>Case credits upon assignment or upon documentation of persistent offender status and additional credits for cases assigned prior to July 1, 2009 shall be as per Extraordinary Cases application and submission of Persistent Offender Monthly Case Report. Cases assigned on and after July 1, 2009 shall be tracked by the Persistent Offender Monthly Case Report until such time as the Attorney Monthly Billing Report is activated.</p>



Felony Bench Warrant during case	One case credit if more than 180 days has passed since the date of the bench warrant and if the bench warrant was on a new case assignment; or 0.33 case credit if warrant was a probation review or other hearing valued at 0.33 credit.
Case transferred to another agency, assigned counsel, or retained counsel	Substantial advice credit if case is not completed prior to transfer and if Agency provides to OPD satisfactory justification for credit. This does not include withdrawals based on discovery of conflict upon initial conflict check by Agency, generally completed within 14 days of receipt of OPD assignment, including upon initial receipt of pretrial discovery. In the event that award of substantial advice credit alone results in a substantial financial hardship to the Agency, the Agency may request additional credit. Factors to be considered for additional credit include stage of proceedings (e.g. set for plea, set for or approaching trial date, amount and nature of work expended) and explanation made by the Agency to resolve any breakdown in communication between the defendant and the assigned attorney.
Case transferred to Drug Diversion Court	One case credit retained by transferring agency.
Each court event on a case assigned as a conflict from Drug Diversion Court, if case returned to originating/transferring agency.	0.33 case credit
Case assigned as a conflict from Drug Diversion Court	One case credit
<b>Complex Litigation</b>	
Capital cases	Two attorneys; up to 25 complex litigation case credits per month as reported by the Agency and consistent with SPRC 2; support staff and supervision to be assigned consistent with the King County Public Defender Payment Model.
Aggravated Homicide (non-death penalty)	One attorney; up to 12.5 complex litigation case credits per month maximum.
<b>King County Misdemeanor</b>	
One completed case, King County District Court	One case credit
District Court review, revocation, re-sentencing or other hearing	One case credit

Expedited Felony	Calendar funding
Misdemeanor Appeal	Four case credits
Misdemeanor Writ	Three case credits
Substantial advice; excludes misdemeanor beeper calendar matters listed in Attachment B and other calendar representation	0.10 case credit
Substantial advice for In-custody clients; excludes misdemeanor beeper calendar matters listed in Attachment B and other calendar representation	0.20 case credit
<b>Juvenile Offender</b>	
One completed case	One case credit
Decline hearing or Out of County Mods	One case credit
Reviews	0.33 case credit
Substantial advice	0.10 case credit
Juvenile Drug Court	Calendar Funding
Case transferred to Juvenile Drug Court	One case credit retained by transferring agency.
Each court event on a case assigned as a conflict from Juvenile Drug Court, if case returned to originating/transferring agency.	0.33 case credit
Case assigned as a conflict from Juvenile Drug Court	One case credit
<b>Juvenile Dependency</b>	
A dependency case	One case credit
Post Disposition Review Hearing	0.40 case credit
Dependency trial or contested disposition	0.50 case credit
Termination Petition	One case credit
Termination trial	One case credit

Atty/Day for substantial advice	0.10 case credit
Reinstatement of Parental Rights	One case credit for child representation. One case credit for parent representation only if the court ordered appointment on the matter for the parent.
Post-Hearing on the Merits review hearing (Reinstatement of Parental Rights)	0.40 case credit
Contested Private Adoption	One case credit
<b>Civil Contempt</b>	
All hearings involving child support enforcement proceedings	Calendar funding
For Civil Contempt cases not involving child support proceedings, from show cause initial assignment hearing up to and including two contempt reviews	One case credit
Subsequent reviews, for Civil Contempt cases not involving child support proceedings	0.33 case credit
<b>Becca, Child in Need of Services, At-Risk Youth Truancy</b>	
ARY/CHINS case	One case credit for one completed case – petition through disposition; includes first two review hearings.
ARY/CHINS Reviews	0.33 case credits for the third and successive review hearings, including any new contempts on the same petition.
Truancy	One case credit for initial petition filing One case credit for contempt filing
<b>TA</b>	One case credit
<b>Drug Diversion Court</b>	Calendar funding
<b>District Mental Health Court</b>	Calendar funding

<b>Other Circumstances</b>	
New statutory mandates	Case types not contemplated by this Contract arising from legislation with effective dates after July 1, 2011 shall be assigned by OPD and accepted by the Agency subject to agreement on the credit to be given.
Extraordinary Cases	<p>Extraordinary Juvenile Felony Offender, Dependency, Termination of Parental Rights, RCW 26.33.110 contested adoption termination, Reinstatement of Parental Rights, Contempt of Court, Murder, Persistent Offender, all Adult Felony cases, and in other case categories shall be given extra credits if the nature of the case requires such extra credits, based upon a written application from the Agency for additional credits and negotiation between OPD and the Agency. Factors entering into the awarding of extra credits include, but are not limited to: seriousness of the charges; amount and complexity of evidence; number of witnesses; unusual legal issues; number of defendants; whether there is a plea, bench trial or jury trial; number of pre-trial motions or hearings needed; severity of the consequences; actual length of trial, and the extent to which workload is accounted for by existing case weighting methodologies.</p> <p>The Agency application must be specific about the work to be done or completed to date as shown on the Attorney Billing form, the estimated length of time to perform the work, and the personnel that will be assigned to perform the work. Initial OPD response to the Agency, including requests for additional information, shall be made within five business days from OPD receipt of Agency application.</p>
Credit for cases where the Agency withdraws due to a conflict of interest	When the Agency has reviewed discovery and reported to OPD its withdrawal for reason of conflict and requested credit for time expended, OPD shall review the Agency's request for credit, including the reason given for withdrawal, and may grant appropriate credit. Should such credit be denied, OPD shall inform the Agency of the basis for denial. Credit shall be determined as per rule involving case transferred to another Agency, Attachment A above.
Pro Se/Standby Counsel	Case credited in same manner as other felony credits in this Attachment A, if professional support staff work is performed by the Agency and requests for appointment of professional support staff at public expense outside of the Agency are not submitted to OPD. If professional support staff work is requested by the pro se defendant, the Agency must inform OPD if it is opting to reduce its credit allocation by 0.1 per each attorney credit assigned in the case by not utilizing its professional support staff.

**ATTACHMENT B**

**Agency Case Credit Allocation and Cases Assigned Estimate for July 2011 to June 2012**

Case Category	Total Agency Case Credit Allocation	Percentage Share	3rd Quarter 2011	4th Quarter 2011	1st Quarter 2012	2nd Quarter 2012
<i>Felony &amp; 593s</i>	2,100.0	29%	525.0	525.0	525.0	525.0
<i>Complex</i>	600.0	42%	150.0	150.0	150.0	150.0
<b>Total Felony</b>	<b>2,700.0</b>	<b>31%</b>	<b>675.0</b>	<b>675.0</b>	<b>675.0</b>	<b>675.0</b>
KC Misdemeanor	2,088.0	34%	522.0	522.0	522.0	522.0
Juvenile Offender	1,320.0	33%	330.0	330.0	330.0	330.0
Dependency	268.0	8%	67.0	67.0	67.0	67.0
Contempt of Court (Non Child Support Enforcement)	6.0	0%	1.5	1.5	1.5	1.5
Becca	478.0	52%	119.0	120.0	119.0	120.0
<b>TOTAL</b>	<b>6,860.0</b>		<b>1,714.5</b>	<b>1,715.5</b>	<b>1,714.5</b>	<b>1,715.5</b>

### 2011-2012 Calendar Attorney and Staff List

Case Area	KCCH	MRJC	YSC	Calendar	Agency	Day	Time	Atty	Staff	Sup
Felony		X		Felony arraignment calendar	ACA	Mon-Thurs	AM	0.40	0.25	0.04
Expedited Felony	X			Expedited Felony calendar	ACA		AM/PM	0.80	0.56	0.08
KC Misdemeanor	X			Change of venue & 1st appearance	ACA	Mon-Fri	AM	0.50	0.25	0.05
		X		Change of plea calendar (in-custody) & 1st appearance	ACA	MWF	PM	0.50		0.05
		X		Domestic Violence Court calendar	ACA	Mon-Fri	PM	0.50	0.25	0.05
	X			Southwest District Court	ACA	Thurs or Fri	AM/PM	0.50		0.05
Juvenile			X	1st appearance & diversion calls	ACA	Mon-Fri	PM	0.50	0.25	0.05
Dependency	X			Attorney of the Day (AOD) 72-hour Shelter Care	ACA	Mon-Fri	AM	0.25		0.03
		X		Attorney of the Day (AOD) 72-hour Shelter Care	ACA	Mon-Fri	AM	0.25		0.03
Contempt of Court (Child Support Enforcement)	X			Contempt of Court	ACA	Tues	AM/PM	1.00	2.20	0.10
Mental Health Court (Base)	X			MHC Court calendar	ACA	Mon-Fri	AM/PM	1.50	1.00	0.15
Mental Health Court (Expansion)	X			MHC Court calendar	ACA	Mon-Fri	AM/PM	1.00	1.50	0.10
Drug Diversion Court	X			Drug Diversion Court calendar	ACA	Mon-Fri	AM/PM	4.40	0.90	0.44
<b>TOTAL ACA</b>								<b>12.10</b>	<b>7.16</b>	<b>1.21</b>

Beeper/Pager Duty - South District Court DUI<sup>1</sup>:

\$7,731.00  
\$7,731.00

Jul-Dec 2011  
Jan-Jun 2012

<sup>1</sup> Payments for Beeper/Pager Duty are included in the Calendar Staffing total of Attachment C.

## ATTACHMENT C

### Payment Schedule

This Payment Schedule shall pertain to all cases assigned to the Agency from July 1, 2011 to June 30, 2012. The Agency shall be paid the following monthly amounts for cases and special services:

<b>2011</b>	July Payment	Aug Payment	Sept Payment	Oct Payment	Nov Payment	Dec Payment
Felony (regular & 593s)	213,355	213,355	213,355	213,355	213,355	213,350
Felony (complex) <sup>a,b</sup>	60,958	60,958	60,958	60,958	60,958	60,959
Juvenile	60,958	60,958	60,958	60,958	60,958	60,959
King County Misdemeanor	70,713	70,713	70,713	70,713	70,713	70,705
Dependency	22,690	22,690	22,690	22,690	22,690	22,690
Contempt of Court (Non Child Support Enforcement)	406	406	406	406	406	407
Contempt of Court (Child Support Enforcement Calendar)	23,827	23,827	23,827	23,827	23,827	23,830
Calendar Staffing	58,052	58,052	58,052	58,052	58,052	58,050
<u>Agency Admin &amp; Overhead:</u>						
<i>Administration</i>	38,746	38,746	38,746	38,746	38,746	38,745
<i>Indirect Cost</i>	22,888	22,888	22,888	22,888	22,888	22,888
<i>Rent</i>	51,605	51,605	51,605	51,605	51,605	51,602
<b>Total Agency Administration and Overhead</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,235</b>
<b>Sub-Total General Fund</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,185</b>
Drug Diversion Court	66,370	66,370	66,370	66,370	66,370	66,370
Mental Health Court MIDD - KCCH <sup>c</sup>	27,689	27,689	27,689	27,689	27,689	27,681
Mental Health Court MRJC Expansion <sup>d</sup>	24,322	24,322	24,322	24,322	24,322	24,322
Becca	29,478	29,478	29,478	29,478	29,478	29,478
Juvenile 10.101 <sup>e</sup>						
<b>ACA TOTAL</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,036</b>

<b>2012<sup>f</sup></b>	<b>Jan Payment</b>	<b>Feb Payment</b>	<b>Mar Payment</b>	<b>Apr Payment</b>	<b>May Payment</b>	<b>Jun Payment</b>	<b>GRAND TOTAL</b>
Felony (regular & 593s)	214,545	214,545	214,545	214,545	214,545	214,539	2,567,389
Felony (complex) <sup>a,b</sup>	61,298	61,298	61,298	61,298	61,298	61,299	733,538
Juvenile	61,298	61,298	61,298	61,298	61,298	61,299	733,538
King County Misdemeanor	71,107	71,107	71,107	71,107	71,107	71,101	850,906
Dependency	22,816	22,816	22,816	22,816	22,816	22,820	273,040
Contempt of Court (Non Child Support Enforcement)	408	408	408	408	408	411	4,888
Contempt of Court (Child Support Enforcement Calendar)	23,911	23,911	23,911	23,911	23,911	23,910	286,430
Calendar Staffing	58,402	58,402	58,402	58,402	58,402	58,399	698,719
<b>Agency Admin &amp; Overhead:</b>							
<i>Administration</i>	38,961	38,961	38,961	38,961	38,961	38,962	466,242
<i>Indirect Cost</i>	23,015	23,015	23,015	23,015	23,015	23,014	275,417
<i>Rent</i>	52,443	52,443	52,443	52,443	52,443	52,444	624,286
<b>Total Agency Administration and Overhead</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,420</b>	<b>1,365,945</b>
<b>Sub-Total General Fund</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,198</b>	<b>7,514,393</b>
Drug Diversion Court	67,096	67,096	67,096	67,096	67,096	67,104	800,804
Mental Health Court MIDD - KCCH <sup>c</sup>	27,909	27,909	27,909	27,909	27,909	27,912	333,583
Mental Health Court MRJC Expansion <sup>d</sup>	24,539	24,539	24,539	24,539	24,539	24,539	293,166
Becca	28,533	28,533	28,533	28,533	28,533	28,534	348,067
Juvenile 10.101 <sup>e</sup>							
<b>ACA TOTAL</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,287</b>	<b>9,290,013</b>

- <sup>a</sup> Payment is made on a per credit basis for cases open during the month.
- <sup>b</sup> This total amount is estimated in consideration of cases open at the beginning of the contract. The actual annual amount may be greater or lesser depending upon new case assignments and the timing of case closing for existing cases.
- <sup>c</sup> Contingent upon MIDD funding level.
- <sup>d</sup> Contingent upon District Court Expanded Mental Health Court commencing its operations and pro-rated based on level of operations. Includes direct, indirect and rent amounts.
- <sup>e</sup> Public Defense Improvement Fund from the Washington State Office of Public Defense.
- <sup>f</sup> Second six month payments (January through June) are contingent upon King County Council appropriation.



**ATTACHMENT D**

**Closed Case Report**

**Period Covered:**

Case Number	Client		Primary Attorney		Days from assignment to first contact	Dispo-sition by OPD dispo-sition codes	File Close Date	Charge/Case Type			Hours								
	Last Name	First Name	Last Name	First Name				At Filing	At Disposition	At	Total Attorney	Invest.	Social Worker	Paralegal	Other Staff				

\*Completed cases shall be closed within 60 days of being completed as defined in this Contract.

## Closed Case Report Case Disposition Codes

Disposition Code	OPD Description
1	Appeal withdrawn
2	ARY/CHINS continued placement with further review
3	ARY/CHINS petition dismissed
4	ARY/CHINS returned home, continued for review
5	Assigned in error
6	Bail forfeiture
7	Bench warrant
8	Client deceased
9	COC dismissed
10	COC found, continued for review
11	COC not found, continued for review
12	Compromise of misdemeanor
13	Conditional discharge
14	Conflict of interest
15	Conflict with client
16	Continued without findings
17	Deferred disposition (juvenile)
18	Deferred prosecution
19	Dependency attorney withdrawn – lack of contact
20	Dependency found, dismissed
21	Dependency not found, dismissed
22	Discretionary decline granted
23	Dismissed with prejudice
24	Dismissed without prejudice
25	Diversion
26	Drug/Mental Health failed/revoked
27	Drug/Mental Health graduated/dismissed
28	Extradition case completed
29	Final discharge
30	Found incompetent, dismissed
31	Guardianship established
32	Guilty as charged – Bench
33	Guilty as charged – Jury
34	Guilty, reduced charge – Bench
35	Guilty, reduced charge – Jury
36	ITA agreed order, hospital
37	ITA agreed order, less restrictive conditions
38	ITA client entered treatment voluntarily
39	ITA client released, no petition filed
40	ITA court dismissal
41	ITA court ordered hospital

42	ITA court ordered less restrictive condition
43	ITA revocation less restrictive
44	ITA voluntary dismissal
45	Lost jurisdiction, juvenile turned 18
46	Material witness representation ended
47	Mistrial, hung jury
48	Mistrial, not hung jury
49	No violation found
50	Not guilty – Bench
51	Not guilty – Jury
52	Not guilty, insanity – Bench
53	Not guilty, insanity – Jury
54	Parental rights not reinstated
55	Parental rights reinstated
56	Plead guilty as charged
57	Plead guilty as charged, other charge(s) dismissed
58	Plead guilty as charged, other charge(s) reduced
59	Plead guilty to reduced charge, other charge(s) dismissed
60	Plead guilty to reduced charge, other charge(s) reduced
61	Plead guilty to reduced charges
62	Pro-se, attorney withdrawal
63	RALJ affirmed
64	RALJ reversed (any count)
65	RALJ, Government appeal affirmed
66	RALJ, Government appeal reversed
67	Retained attorney
68	Services rendered, e.g. sentencing, AOD, substantial advice
69	Stand-by counsel
70	Stipulated order of continuance (SOC)
71	Termination established
72	Termination not established
73	Transfer to Drug Court
74	Transfer to Mental Health Court
75	Truancy contempt dismissed, child relocated out of school district
76	Truancy contempt dismissed, contempt purged
77	Truancy contempt dismissed, youth aged out
78	Truancy contempt found, continued for review
79	Truancy contempt not found, continued
80	Truancy contempt not found, dismissed
81	Venue changed, withdraw
82	Violation found
83	Writ denied
84	Writ granted

**ATTACHMENT E  
NOTIFICATION OF WITHDRAWAL**

Date: \_\_\_\_\_  
 Client Name: \_\_\_\_\_ AKA: \_\_\_\_\_  
 Address: \_\_\_\_\_ Phone: \_\_\_\_\_  
 DOB: \_\_\_\_\_ JCN: \_\_\_\_\_ CCN: \_\_\_\_\_  
 Cause Number: \_\_\_\_\_ Charge: \_\_\_\_\_  
 Case Type:    Felony    Juvenile    Dependency    Civil Contempt  
                    KC Misdemeanor    ITA    Other \_\_\_\_\_  
 Date Discovery Received: \_\_\_\_\_ Date Conflict Identified: \_\_\_\_\_

**REASON FOR WITHDRAWAL:**

Conflict of Interest    Other \_\_\_\_\_  
 Defendant Retained Private Counsel: (Name of Attorney) \_\_\_\_\_

**CONFLICT INFORMATION:**

This Agency's conflict is with: Name \_\_\_\_\_ DOB: \_\_\_\_\_  
 Cause Number: \_\_\_\_\_ Co-Defendant in Current Case?  Yes    No  
 Victim/Client    Suspect/Client    Witness/Client    Other  
 Irreconcilable breakdown in client communication

**OTHER NAMES IN DISCOVERY:**

NAMES	DOB	STATUS IN CASE	REPRESENTATION HISTORY

SEE ATTACHED SHEET FOR ADDITIONAL LISTINGS

Client is  In-Custody  Out-of-Custody   Received Conflict From: \_\_\_\_\_

**HEARING SCHEDULE:**

Trial Date: \_\_\_\_\_ Next Hearing: \_\_\_\_\_ Type of Hearing: \_\_\_\_\_  
 Withdrawal Hearing Date: \_\_\_\_\_ (Must be at least two full working days from this  
 Notification of Withdrawal if trial date has been set. Agency must notify PA and co-defendant counsel,  
 if any.)

**LOCATION OF DISCOVERY:**

PA's Office    Agency will forward to new counsel    Other \_\_\_\_\_

**REQUEST FOR CREDIT/DEBIT:**

Withdrawal within 14 days of assignment    Substantial advice  
 One credit (explanation must be attached)    Other \_\_\_\_\_ (explanation must be  
 attached)

This form filled out by: \_\_\_\_\_ Date: \_\_\_\_\_ APPROVED BY: \_\_\_\_\_ Date: \_\_\_\_\_

**OPD USE ONLY**

OPD REASSIGNED TO: \_\_\_\_\_ INITIALS: \_\_\_\_\_ DATE: \_\_\_\_\_  
 FAX    Interoffice Mail

**ATTACHMENT F**

**ACA Persistent Offender (593) Monthly Case Report for cases assigned prior to July 1, 2009**

Client Name: \_\_\_\_\_  
 Cause No.: \_\_\_\_\_  
 Month/Year: \_\_\_\_\_  
 Attorney(s): \_\_\_\_\_

Week (Dates)	Attorney (1)	Attorney (2) (if assigned)	Total Atty.	Invest	Social Wkr.	Paralegal	Total Support	Total Week	Total to Date
/ to /									
/ to /									
/ to /									
/ to /									
<b>TOTAL</b>									

**NOTE: 12.1 hours = 1.00 credit**

**Abbreviations must be used to categorize attorney hours:**

- CC = Contact with Client**
- R = Legal Research**
- Ptr = Pretrial Hearing**
- Tr = Trial**
- S = Sentencing/Disposition**
- CP = Case Prep**
- C = Confer with attorney/investigator/social worker/paralegal**
- N/A = No second attorney assigned**

## ATTACHMENT G

### Attorney Monthly Billing

Description	Code
Arraignment / First Appearance	IC1
Case Setting / Status	IC2
Omnibus	IC3
Pretrial	IC4
Restitution Hearing	IC5
Motion Hearing	IC6
Trial (Court)	IC7
Trial (Jury)	IC8
Ex Parte	IC9
Competency Hearing	IC10
Probation Review / Modification	IC11
Waiting at Court	IC12
Bond / Bail / Release Hearing (include Quash Warrant)	IC13
Sentencing	IC14
72-Hour Shelter Hearing	IC15
30-Hour Shelter Hearing	IC16
Preliminary Hearing	IC17
Pretrial Calendar	IC18
Fact Finding	IC19
Disposition	IC20
Review Hearing	IC21
Permanency Plan Hearing	IC22
ITA 72-Hour Hearing	IC23
ITA 14-Day Hearing	IC24
ITA Trial	IC25
ITA Review	IC26
ITA 45-Day Hearing	IC27
Extradition Hearing	IC28
Post Trial Hearing: New Trial / Withdraw Plea	IC29
Diversion / Deferred Prosecution	IC30
RALJ Appeal Hearing	IC31

Description	Code
Conference - Client	OC1
Conference - Investigator	OC2
Conference - Other Staff	OC3
Conference - Probation Officer	OC4
Conference - Expert	OC5
Negotiation - Prosecutor / Attorney General	OC6
Telephone Conference - Client	OC7
Telephone Conference - Investigator	OC8
Telephone Conference - Other Staff	OC9
Telephone Conference - Expert	OC10
Telephone Conference - Court	OC11
Telephone Conference - Prosecutor / Attorney General	OC12
Telephone Conference - Other	OC13
Telephone Conference - Probation Officer	OC14
Documents / Pleading Preparation / Drafting	OC15
Letter - Client	OC16
Letter - Investigator	OC17
Letter - Court	OC18
Letter - Prosecutor / Attorney General	OC19
Letter - Expert	OC20
Letter - Other	OC21
Research	OC22
Hearing Preparation	OC23
Trial Preparation	OC24
Travel to Court	OC25
Witness Interview	OC26
Discovery Review	OC27
Travel to Jail	OC28
Defense Investigation Review	OC29
Pleadings Review	OC30
Mitigation Preparation	OC31
Conference - DSHS Caseworker	OC32
Conference - Court Staff	OC33
Conference - Other	OC34





Case / Client Name:	
Case Number:	
593:	Yes / No
Month of Service:	
Attorney Primary:	
Attorney Co-counsel:	

Date of Service	Code	Service Description	Atty Hrs - Pr	Atty Hrs - Co	Comment	Total Atty Hrs
	IC1	Arraignment / First Appearance				0.0
	IC7	Trial (Court)				0.0
	IC3	Omnibus				0.0
	#N/A					0.0
	#N/A					0.0
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<b>TOTAL</b>			<b>0.0</b>	<b>0.0</b>		<b>0.0</b>

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## ATTACHMENT H

### King County Superior Court Drug Diversion Court

The King County Office of the Public Defender (OPD) has responsibility for ensuring dedicated defense services for the King County Superior Court Drug Diversion Court (DDC). Through a proposal process, the Associated Counsel for the Accused (ACA) defense agency is appointed to provide legal services to DDC defendants and, as such, has agreed to the following scope of work.

#### I. MISSION & GOALS

The DDC mission is to combine the resources of the criminal justice system, drug and alcohol treatment and other community service providers to compel the substance-abusing offender to address his or her substance abuse problem by providing an opportunity for treatment and holding the offender strictly accountable.

Goals of DDC program:

- A. Reduce substance use and related criminal activity.
- B. Enhance community safety.
- C. Reduce reliance on incarceration for non-violent drug dependant offenders.
- D. Hold drug dependent offenders accountable for their actions and decisions.
- E. Integrate substance abuse treatment with criminal justice case processing.
- F. Provide resources and support to assist the drug dependent offender in the acquisition of skills necessary for the maintenance of sobriety.
- G. Reduce the impact of drug related cases on criminal justice resources.
- H. Reward positive life changes while maintaining accountability for negative conduct.

The goal for ACA is to provide specialized legal defense services to work within the DDC to divert court eligible defendants. ACA shall be committed to advocating a treatment plan and support network that promotes the client's success and graduation in DDC.

#### II. STAFFING REQUIREMENTS

ACA will staff the DDC with 4.20 FTE attorneys each month of the Contract period, and as according to Attachment B, Calendar Attorney and Staff List, of this Contract.

- A. ACA shall assign a lead attorney who is experienced in working with eligible defendants to the DDC for a period of two years to assure consistency of experienced staff. Attorneys shall prepare cases for each calendar, including interviewing defendants in jail prior to their court appearance.
- B. ACA commits to assigning attorneys for a minimum of six months, structuring rotation in a timely manner that has the least negative impact to the DDC. This will ensure that the DDC has trained attorneys ready to practice in the DDC.

- C. In the event the assigned attorneys are not able to continue the assignment to the DDC (e.g. due to illness, resignation, personal issues), ACA will provide timely notification to the DDC and assign DDC trained attorneys to replace them.
- D. In the event that a dispute arises and the lead or back up attorneys do not fully discharge their duties in a professional manner, the DDC judge and coordinator/manager will speak first to the attorney(s) and their supervisor to resolve any disagreement and if that does not yield resolution, the ACA Managing Director will be notified and asked to intervene. If the matter is not resolved between the DDC judge and ACA, OPD will be notified to assist in dispute resolution.
- E. ACA commits to a minimum 30-day transition period when the lead attorney is leaving DDC practice.
- F. ACA shall provide 0.42 FTE Supervisor and 0.80 FTE Professional Support Staff each month of the Contract period for the DDC attorneys.

### III. PROTOCOLS

- A. The role of ACA defense attorneys in DDC is to ensure the defendant's legal rights are protected while encouraging the client's full participation in the program. ACA defense attorneys shall:
  1. Participate fully as a DDC team member.
  2. Meet with potential DDC clients to evaluate their interest and amenability to the program, adhering to the eligibility criteria.
  3. Advise a client of their legal rights, legal options, and potential sentencing outcomes.
  4. Actively monitor client progress in the DDC and discusses the long-term benefits of a drug-free lifestyle.
  5. Participate in a cooperative manner at drug court hearings and meetings and promotes a unified DDC team approach.
  6. Adhere to DDC policies, rules and procedures, including reminding participants of their agreements, the DDC rules and mandates and the need to accept consequences for deviation from DDC mandates.
- A. ACA attorneys shall comply with the King County Drug Diversion Court Policy and Procedures Manual, March 16, 2004 edition or its successor, and will abide by the Rule of Professional Conduct of the Washington State Bar Association. The ACA attorneys (and those assigned to DDC) shall assure expeditious integration of referral and assessment to the DDC.
  1. ACA commits to the standards of Professional Responsibility for new assignments when a case with an existing attorney is transferred to DDC.
  2. ACA commits to maintaining a referral process to assure expeditious referral from arraignment calendars.

3. Clients who transfer into DDC with a private attorney or an attorney from another defender agency may continue to be represented by their attorney or agency. These clients may be transferred to ACA through proper protocol of withdrawal and substitution of counsel procedures.
  4. ACA commits to seeking funding or services to support DDC client/defendant needs. This includes but is not limited to access to housing, treatment services, and/or transportation assistance.
- B. ACA and its attorneys shall continue the assignment on cases of DDC defendants through the length of jurisdiction (up to two years) and appear for all hearings.
  - C. Conflicts in the client/attorney assignment to ACA will be identified and addressed in the following manner: If the attorney identifies the conflict and the defendant wishes to proceed with ACA, the ACA attorney will obtain a waiver from the client. When necessary, the ACA attorney will examine it with the defendant and provide timely notification to the DDC, to OPD, and to the defendant.

#### **IV. METHOD OF COMPENSATION**

ACA shall be reimbursed monthly according to Attachment C, Payment Schedule, Calendar Staffing, of Exhibit V of this Contract.

#### **V. REPORTING**

- A. ACA and its staff shall participate in future and ongoing evaluation efforts of OPD and the DDC and by affiliation with researchers. Required data may include output data (e.g., number of hearings, referrals, diversions, etc.) as well as outcomes data (e.g. recidivism), affirming that the data will not allow for identification of the defendants.
- B. ACA shall complete a monthly client tracking document in the format below, and submit it to OPD by the tenth working day of the subsequent month.

### King County Superior Court Drug Diversion Court Report

#### Associated Counsel for the Accused

**Month:** \_\_\_\_\_

Case Number	Client		Primary Attorney*		Date of last hearing/transfer	Disposition *OPD disposition codes only	File Close date	Charge/Case Type				Hours							
																	At Filing		At Disposition
								Last Name	First Name	Last Name	First Name	Attorney	Social Worker	Paralegal	Other Staff				

\*Primary Attorney indicates the agency-assigned attorney who performed primary case management.

## ATTACHMENT I

### District Court Mental Health Court

The King County Office of Public Defense (OPD) has responsibility for ensuring dedicated defense services for the King County District Court Mental Health Court (MHC). The Associated Counsel for the Accused (ACA) defense agency is appointed to provide legal services to MHC defendants and, as such, has agreed to the following scope of work.

#### I. GOAL

To provide specialized legal defense services to work within the MHC to divert defendants who are mentally ill or developmentally disabled and defendants who have a co-occurring disorder (mental illness and chemical abuse) out of jail and into treatment.

ACA attorneys and staff assigned to the MHC shall subscribe to a "defendant based" approach and endorse the MHC goals, philosophy, and principles, including working collaboratively with the MHC team, KCDC Probation, and the ongoing development of the court.

To successfully expand the scope of MHC in the directive and with the funds of the Mental Illness and Drug Dependency (MIDD) program. Funding and staffing will be consistent with the graduated implementation of this expansion.

#### II. STAFFING REQUIREMENTS

A. ACA will staff the MHC with 2.50 FTE attorneys for the period of the Contract, and as according to Attachment B Calendar Attorney and Staff List.

1. ACA shall assign a lead attorney who is experienced in working with mentally ill misdemeanants to the MHC for a period of two years to assure consistency of experienced staff. The lead attorney will spend the morning preparing for the afternoon MHC calendar, including interviewing defendants in jail prior to their court appearance on the afternoon calendar. One FTE attorney shall be primarily assigned to the Agency's responsibilities in the MIDD MHC expansion project.
2. ACA commits to assigning the "back up" attorney for a minimum of six months, structuring rotation in a timely manner that has the least negative impact to the MHC. This will ensure that the MHC has trained attorneys ready to practice in the MHC. The back up attorney will be responsible for the Monday and Friday morning jail calendars. Both the back up and the lead attorneys should be able to do contested competency hearings.
3. In the event the assigned attorneys are not able to continue the assignment to the MHC (e.g. due to illness, resignation, personal issues), ACA will provide timely notification to the MHC as well as assigning trained attorneys to the MHC.
4. In the event that a dispute arises and the lead or back up attorneys do not fully discharge their duties in a professional manner, the MHC judge and coordinator/manager will speak first to the attorney(s) to resolve any disagreement and if that does not work, the ACA director will be notified and asked to intervene.
5. ACA commits to a minimum 30-day transition period when the lead attorney is leaving MHC practice.

6. ACA shall provide 0.25 FTE Supervisor each month for the period of the Contract for the MHC attorneys.
- B. ACA will also staff the MHC each month with 2.00 FTE social worker.
1. The social worker will be actively involved in newly identified referrals to MHC, providing substantial knowledge and perspective on the case as well as more informed decisions earlier in the process. This supports the MHC goal of early and active intervention.
  2. One FTE social worker shall be primarily assigned to the Agency's responsibilities in the MIDD MHC expansion project.
  3. ACA commits to a minimum 30-day transition period when the social worker is leaving MHC practice.
- C. July, August and September staffing will be adjusted consistent with funding level for MIDD expansion as listed in Attachment C.

### III. PROTOCOLS

- A. ACA and its attorneys shall address all hearings as defined in RCW 10.77 for MHC defendants, and will abide by the Rule of Professional Conduct of the Washington State Bar Association. The ACA attorneys (and those assigned to MHC) shall assure expeditious integration of referral and assessment to the MHC.
1. ACA commits to the standards of Professional Responsibility for new assignments when a case with an existing attorney is transferred to MHC.
  2. ACA commits to maintaining a referral process to assure expeditious referral from arraignment calendars.
  3. Clients who transfer into MHC with a private attorney or an attorney from another defender agency may continue to be represented by their attorney or agency. These clients may be transferred to ACA through proper protocol of withdrawal and substitution of counsel procedures.
  4. ACA commits to seeking funding or services to support MHC client/defendant needs. This includes but is not limited to access to housing, treatment services, and/or transportation assistance.
- B. ACA and its attorneys shall continue the assignment on cases of MHC defendants through the length of jurisdiction (up to two years) and appear for all hearings.
- C. Conflicts in the client/attorney assignment to ACA will be identified and addressed in the following manner: If the attorney identifies the conflict and the defendant wishes to proceed with ACA, the ACA attorney will obtain a waiver from the client. When necessary, the ACA attorney will examine it with the defendant and provide timely notification to the MHC, to OPD, and to the defendant.



**IV. REPORTING**

- A. ACA and its staff shall participate in future and ongoing evaluation efforts of OPD and the MHC. Required data may include output data (e.g., number of hearings, referrals, diversions, etc.) as well as outcomes data (e.g. recidivism), affirming that the data will not allow for identification of the defendants.
- B. ACA shall complete a monthly client tracking document in the format below, and submit it to OPD by the tenth working day of the subsequent month.

# King County District Court Mental Health Court Report Associated Counsel for the Accused

Month: \_\_\_\_\_

Case Number	Client		Primary Attorney		Date of last hearing/transfer	Disposition OPD Disposition codes	Charge/Case Type			Hours				
	Last Name	First Name	Last Name	First Name			At Filing	Disposition	At	Total Attorney	Invest.	Social Worker	Paralegal	Other Staff

\*Primary Attorney indicates the agency-assigned attorney who performed primary case management.

**EXHIBIT II  
TABLE OF CONTENTS**

I.	WORK STATEMENT.....	1
II.	PROGRAM DESCRIPTION.....	1
A.	Goal.....	1
B.	Eligible Population .....	1
C.	Definitions .....	1
1.	Case Categories.....	1
a.	Becca: CHINS/ARY/Truancy.....	1
i.	CHINS/ARY Petition.....	1
ii.	Truancy .....	1
b.	Calendars .....	1
i.	Dependency Calendar.....	2
ii.	General Attorney of the Day (AOD) Services.....	2
iii.	Felony Arraignment Calendar.....	2
iv.	Felony Sentence Modification Calendar .....	2
v.	Juvenile AOD .....	2
vi.	Juvenile First Appearance Calendar.....	2
vii.	King County Court Misdemeanor calendars .....	3
viii.	Expedited Felony calendars .....	3
ix.	Civil Contempt of Court .....	3
c.	Civil Contempt of Court practice area.....	3
d.	Dependency.....	3
i.	Dependency Case.....	3
1)	Initial predispositional assignment through dispositional hearing .....	3
2)	Filing of a termination or guardianship petition.....	4
3)	Appointment of counsel pursuant to RCW 26.33.110.....	4
ii.	Post-Dispositional Hearing .....	4
iii.	Dependency Trial/Termination or Guardianship Trial.....	4
1)	Dependency trial.....	4
a)	Contested fact-finding .....	4
b)	Entry of an agreed order.....	4
c)	Contested trials .....	4
2)	Termination trial.....	5
a)	Contested fact-finding .....	5
b)	Entry of an agreed order.....	5
c)	Contested trials .....	5
iv.	More than one petition pending involving one client .....	5
v.	Reinstatement of Parental Rights Matters .....	5
e.	Felony.....	5
i.	Felony Case.....	5
ii.	Felony/Probation Review.....	5
iii.	Persistent Offender .....	6
iv.	Complex Litigation.....	6

	1)	Complex litigation cases "pending" .....	6
	2)	Aggravated homicide cases, in which the death penalty is not sought.....	6
	3)	Aggravated homicide cases, in which the death penalty is sought.....	6
	4)	Portion of attorney(s) and professional support staff assigned to each complex litigation case .....	7
f.		Involuntary Commitment .....	7
	i.	Initial Petition.....	7
	ii.	180-Day Petition.....	7
	iii.	Involuntary Medication Hearing .....	7
	iv.	Alcohol involuntary commitment proceeding.....	7
	v.	Drug-related involuntary commitment filed pursuant to RCW 70.96A .....	7
g.		Services for a Court Order for Public Health Isolation or Quarantine.....	7
h.		Juvenile Offender.....	7
	i.	Juvenile offender case.....	7
	ii.	Juvenile offender modification/review .....	8
	iii.	Juvenile offender restitution review hearing .....	8
i.		Misdemeanor .....	8
	i.	Misdemeanor case .....	8
	ii.	Misdemeanor appeal.....	8
	iii.	Misdemeanor writ.....	8
j.		Sexual Predator .....	8
k.		Specialty Court .....	9
	i.	Drug Diversion Court.....	9
	ii.	District Court Mental Health Court .....	9
	iii.	Juvenile (Dependency) Court .....	9
2.		Case Credit .....	9
3.		Criminal Case.....	9
	a.	Related series of charges .....	9
	b.	Completed case.....	9
	c.	Case remanded to the trial court for proceedings following appeal .....	9
	d.	Juvenile Offender and all Misdemeanor cases.....	10
		i. New count filed on a separate incident .....	10
		ii. Criminal charged alleged to have occurred on indeterminate dates .....	10
	e.	Felony case credits.....	10
	f.	Attorney Time Billing Requirements .....	10
4.		Criminal Case Disposition.....	10
5.		Indigent .....	11
6.		Legal Services.....	11
7.		Professional Support Staff.....	11
8.		Supervision .....	11
III.		PROGRAM REQUIREMENTS .....	11
	A.	Services.....	11

1.	Case Credit Allocation and Case Assignment.....	11
a.	Agency Case Credit Allocation.....	11
b.	Case assignments within contracted subject areas .....	11
c.	Complex Litigation cases .....	12
d.	Defendant/respondent absconds .....	12
e.	Defendant fails to appear .....	12
f.	Additional charges filed under the same cause number .....	12
g.	Standby counsel in pro se cases.....	12
h.	Closed Case Report.....	12
2.	Funds for payment for expert services.....	13
3.	Providing services in the event of a natural disaster .....	13
B.	Practice Standards and Records.....	13
1.	Maintain contemporaneous records.....	13
2.	Closing a case.....	13
3.	Maintain professional practice standards.....	13
a.	Attorney practice standards .....	13
i.	Lawyer-client relationship .....	13
ii.	Attorney use of professional support staff services and expert services .....	13
b.	Professional support staff service practice standards.....	14
c.	Practice standards for supervision of attorneys and professional support staff services .....	14
4.	Continuing the initial attorney assigned to a client .....	14
5.	Visit assigned in-custody clients .....	14
6.	Attorney shall make contact with all assigned persons .....	14
7.	Preliminary written response to any written complaints.....	14
8.	Obtain initial discovery.....	14
9.	Resources funded by this Contract shall be for work which is the subject of this Contract .....	14
10.	Attorney/Professional Support Staff.....	15
11.	Minimum attorney qualifications.....	15
a.	Requisite qualifications .....	15
b.	Work under the supervision of a supervising attorney .....	15
c.	Rule 9 Interns or Rule 8 attorneys.....	15
12.	Attorney/Professional Support Staff Evaluation .....	15
13.	Attorney/Professional Support Staff Training .....	15
14.	Case credit Workload Guidelines.....	16
15.	OPD withdrawal requirement.....	17
16.	Extraordinary Occurrences .....	17
17.	Complaints .....	17
18.	Attorney Supervision Policy and Procedures .....	17
19.	Information System.....	17
a.	Trained primary and backup on-side IS support person .....	17
b.	Notify OPD of staffing changes .....	17
c.	Virus attacks Agency computer.....	17

IV.	COMPENSATION AND METHOD OF PAYMENT .....	17
A.	Paid monthly as provided in Attachment C .....	17
B.	Subject to performance requirements being met .....	18
C.	Credit requests submitted to OPD weekly .....	18
D.	OPD Case Credit Certification Report .....	18
E.	Extraordinary expenses .....	18
F.	Workload demand exceeds the projected levels .....	18
G.	Variance .....	18
H.	Quarterly reconciliation .....	18
	1. Quarterly Certified Statistics compared to the actual variance range .....	18
	2. High and low values of the variance .....	18
	3. Outside of either the high or low values of the variance .....	19
	4. Quarterly reconciliation results in payment due to Agency .....	19
	5. Quarterly reconciliation results in payment due to County .....	19
	6. Reconciliation will be based on case credits .....	19
I.	Contract end reconciliation .....	19
	1. Extra credit requests .....	19
	2. Variance percentages .....	19
	3. Actual caseload compared to variance .....	19
	4. Actual caseload falls within the variance .....	19
	5. Actual caseload falls outside the variance .....	19
J.	Becca Cases .....	19
	1. Truancy .....	19
	2. ARY/CHINS .....	19
	3. Review hearings .....	20
	4. Truancy credit load limit .....	20
	5. ARY/CHINS credit load limit .....	20
	6. Variance .....	20
K.	Sexual Predator cases .....	20
L.	Attorney Monthly Case Service Form .....	20
M.	Prepayments .....	21
	1. Sufficient funds to complete prepaid cases .....	21
	2. The County shall estimate the sufficiency of funds .....	21
V.	REPORTING REQUIREMENTS .....	21

**APPENDICES**

- ATTACHMENT A – Case Credit Application Schedule
- ATTACHMENT B – Agency Case Credit Allocation and Cases Assigned Estimate / Calendar Attorney and Staff List
- ATTACHMENT C – Payment Schedule
- ATTACHMENT D – Closed Case Report
- ATTACHMENT E – Notification of Withdrawal
- ATTACHMENT F – Persistent Offender (593) Monthly Case Report
- ATTACHMENT G – Attorney Monthly Billing
- ATTACHMENT H – King County Superior Court Juvenile Drug Court (SCRAP)
- ATTACHMENT H – King County Superior Court Drug Diversion Court (ACA)
- ATTACHMENT I – District Court Mental Health Court (ACA)

## EXHIBIT II

### PUBLIC DEFENSE LEGAL SERVICES

#### I. WORK STATEMENT

The Agency shall provide the services of attorneys and staff members in compliance with all of the applicable laws and administrative regulations of the State of Washington, the United States, King County Code (KCC), Washington State Rules for Professional Conduct (RPC).

#### II. PROGRAM DESCRIPTION

##### A. Goal

To provide legal services through effective assistance of counsel to indigent persons, that is statutorily and constitutionally based, within the framework of an efficient and fiscally responsible independent non-profit Agency.

##### B. Eligible Population

The population served shall be indigent persons legally entitled to appointed legal services in King County courts as assigned by the Office of The Public Defender (OPD) or as appointed from the bench.

##### C. Definitions

###### 1. Case Categories:

###### a. Becca: CHINS/ARY/Truancy practice area:

i. CHINS/ARY Petition: A Child in Need of Services (CHINS) petition and At Risk Youth (ARY) petition involves the representation of one child or one parent from the filing of the petition through fact finding and disposition and the first two subsequent review hearings. All additional review hearings, including new contempts filed in the same petition number, shall be 0.33 credits. In the event that a court consolidates a truancy matter with proceedings under a Youth at Risk or Child in Need of Services Petition, the Agency shall receive credit for the truancy representation.

ii. Truancy: A petition filed under Revised Code of Washington (RCW) 28A.225.030. A case assignment will be made when the Court notifies OPD of a signed judicial order for appointment of counsel upon the filing of the initial petition or setting a show cause hearing for Contempt of Court and requiring appointment of counsel. Appointments for the initial petition filing are only available as required by applicable Washington law (e.g. *Bellevue School District v E.S.*).

b. Calendars: The Agency shall provide coverage for calendars if indicated in Attachment B, Calendar Attorney and Staff List. The calendars are defined as follows:

- i. Dependency Calendar: All initial 72-hour shelter care calendars in Seattle or Regional Justice Center (RJC) shall include the presence of an attorney and follow the OPD assignment procedure.
- ii. General Attorney of the Day (AOD) Services: The Agency will in good faith attempt to provide legal advice in all case areas included in this Contract 24 hours a day seven days a week via beeper/pager/phone access and respond promptly to any accused adult or juvenile who is in the investigation phase or recently charged with a crime or detained on a civil matter within the jurisdiction of OPD. AOD for legal advice may occur both in RJC and Seattle. AOD includes a request from a defendant for substantial legal advice or a police agency to respond for line-up presentations. A call to the 24 hour beeper is calendar work not a case assignment.

Agency shall provide its beeper/pager/phone contact number to the King County adult and juvenile detention facilities, the Superior and District courts, other public defense agencies, and OPD. Agency primary responsibility is included in Attachment B. Agencies shall establish backup protocols both within the Agency and with other OPD public defense agencies. Where substantial advice services are provided as a result of initial General AOD contact via beeper, credits can be requested as per Attachment A. "Substantial advice" includes legal services beyond providing of simple direct, one-time legal advice by phone or in person at a detention facility, and includes ongoing legal services preliminary to a formal appointment as counsel for prospective client. Substantial advice credit is available for face-to-face contact.

Individual substantial advice assignments may also be appointed by the court or assigned by OPD, where the scope of work exceeds that described in the General AOD paragraph above.

- iii. Felony Arraignment Calendar.
- iv. Felony Sentence Modification Calendar: The Superior Court Probation Modification Calendar.
- v. Juvenile AOD: The Agency shall provide Juvenile Special Services which include making "morning rounds" in juvenile detention; lineups, detention reviews and arraignments; diversion counseling per RCW 13.40.080; and representing offenders as counsel until an attorney is formally appointed.
- vi. Juvenile First Appearance Calendar: Each Agency representing juveniles shall provide representation on the Juvenile First Appearance Calendar. Representation of juveniles on this daily Juvenile Court Calendar shall include prior clients and/or unrepresented juveniles. Time spent on this calendar shall



include preparatory work done concerning the juveniles listed on the calendar who do not appear because of expedited filing by the Prosecuting Attorney, release from detention prior to hearing, or other reasons for non-appearance.

- vii. King County Court Misdemeanor calendars include: District Court Felony Investigation Calendar, Bench Warrant Return Calendar, and initial detention hearings for new misdemeanor charges.
- viii. Expedited Felony calendars in King County District Court (KCDC) shall include the presence of Agency attorneys as assigned in Attachment B. Only Expedited Felony cases shall be counted toward calendar case workload, cases on this calendar that are not Expedited felonies shall be assigned case credit. At such time that KCDC establishes a consistent higher or lower number of weekly Expedited calendars, OPD shall evaluate the impact on Agency workload and provide a commensurate adjustment by amendment to this Contract.
- ix. Civil Contempt of Court practice area: A civil contempt case shall include legal representation in hearings, related solely to a contempt citation and shall be limited to cases where the factual likelihood of loss of liberty arises from at the initiation of King County or the State of Washington, or a judicial act in child support enforcement contempt matters. Non-legal support staff will be funded at a ratio of 2.0 FTE support staff to 1.0 FTE attorney; in addition to 0.20 clerical staff and 0.10 attorney supervisor per 1.0 FTE attorney.
- c. Civil Contempt of Court practice area: A civil contempt case shall include legal representation up to a maximum of three hearings, related solely to a contempt citation and shall be limited to cases where the factual likelihood of loss of liberty arises from at the initiation of King County or the State of Washington or a judicial act, in cases not involving child support enforcement matters.
- d. Dependency practice area: Coverage for all dependency, termination, guardianship, dependency guardianship, contested private adoption termination, and Reinstatement of Parental Rights cases.
  - i. Dependency Case: A dependency case credit is given for the legal representation of a client from:
    - 1) The initial predispositional assignment through the dispositional hearing. Should an agreed order of dependency be entered on or before the date of the entry of the Pre-Trial Order from the Pre-Trial Hearing, it shall be considered part of the dependency case. If the case is assigned to the Agency after the establishment of dependency and entry of the dispositional order, an initial case credit shall be given at the time of assignment.

- 2) The filing of a termination or guardianship petition entered on or before the date of the entry of the Pre-Trial Order from the Pre-Trial Hearing through the disposition of the termination or guardianship. Should an agreed order regarding termination or guardianship be entered on or before the entry of the pre-trial order, it shall be considered part of the termination or guardianship case.
  - 3) Appointment of counsel pursuant to RCW 26.33.110 for contested private adoption termination shall be considered a termination of parental rights appointment for credit purposes. The Agency shall not be required to have more than two open RCW 26.33.110 cases at a given time.
- ii. Post-Dispositional Hearing: A dependency review shall include legal representation at any post-dispositional hearing or hearings related to the original dependency filing, excluding the initial 72 hour shelter care review, the 30-day shelter care review, fact finding hearing, and the dispositional hearing. Reviews included within this category shall include, but not be limited to: the initial progress review, six month review hearings, permanency planning hearings, new shelter hearings occurring post disposition, or other reviews or hearings set by the parties or by the court. If a review hearing is continued, additional credit shall not be allocated for the review unless the substantive issues within the review hearing change or substantial work is done. In the event that a court consolidates a family court matter with proceedings under a dependency case, pursuant to Local Juvenile Court Rules (LJuCR) 3.13, the Agency shall receive additional dependency review credit under this section for representation involving hearings in the consolidated matter.
- iii. Dependency Trial/Termination or Guardianship Trial
- 1) A dependency trial shall include:
    - a) A contested fact-finding for a dependency and the preparation for said fact-finding or the entry of an agreed order of dependency and agreed dispositional order at the time of the scheduled dependency fact-finding; or
    - b) Entry of an agreed order of dependency after the entry of the Pre-Trial Order from the Pre-Trial Hearing, and any contested hearing or hearings related to the dispositional order pursuant to the agreed order, as described in RCW 13.34.110 (1) and (2). This does not include post-dispositional review hearings; or
    - c) Contested trials that are actually litigated in an evidentiary hearing.

- 2) A termination trial shall include:
  - a) A contested fact-finding on a termination petition or guardianship petition and the preparation for said fact finding; or
  - b) Entry of an agreed order regarding termination or guardianship petition at the time of the scheduled contested fact-finding. Agreed orders only qualify for additional credit if the fact finding hearing has been scheduled for a contested evidentiary hearing and after the entry of the Pre-trial Order from the Pre-trial Hearing; or
  - c) Contested trials that are actually litigated in an evidentiary hearing.
- iv. If more than one petition is pending involving one client, only one case shall be allowed credit. If matters involving one client with multiple petitions are severed for separate proceedings on different petitions, credit shall be given for such hearings. In the event that a new petition is filed as to a parent involving a child not previously involved in prior proceedings and that petition is filed post-disposition of previously filed petitions, then a new case credit will be allowed on the newly filed petition.
- v. Reinstatement of Parental Rights Matters: Representation of a client from the time of appointment of counsel through completion of the matter to point of either completed reinstatement of parental rights, or dismissal of the Petition for Reinstatement of Parental Rights. To the extent that any hearings held in this matter are also concurrently a permanency plan hearing or review hearing in the related dependency matter, no additional review credits are given for those hearings for purpose of the related dependency matter. Review hearings that are Post-Hearing on the Merits reviews are eligible for credit.
- e. Felony practice area:
  - i. Felony Case: All legal representation related to a case filed in the Criminal Division of King County Superior Court by the King County Prosecutor's Office, alleging a violation of criminal law and having a maximum sentence of more than one year, through sentencing and any restitution hearing and as listed in Attachment A. If the Agency wishes to bill a second attorney's time as co-counsel on a felony case, it must secure a court order for co-counsel appointment and provide a copy of said order to OPD.
  - ii. Felony/Probation Review: A felony/probation review shall include legal representation at any hearing or hearings arising from a single notice of hearing subsequent to disposition of the case.

Areas within this category shall include, but not be limited to: probation reviews, revocations, early release, conditional release, safe-to-be-at-large, temporary release, medical release, and material witness hearings. A restitution hearing will be a review only if it is assigned to an agency other than the agency which represented the client at sentencing.

iii. Persistent Offender (I 593 C 1 L 94; RCW 9.94A.030(33); RCW 9.94A.570): Persistent offender is a defendant as defined by RCW 9.94A.030(33) and subject to sentencing pursuant to RCW 9.94A.570. The Agency shall provide documentation to OPD to verify a persistent offender case status.

iv. Complex Litigation: All death penalty homicide cases and all aggravated homicide cases. Aggravated homicide cases are considered death penalty cases until such time as decision is made by the Prosecuting Attorney not to file a notice of intention to seek death.

1) Complex litigation cases are "pending" until completion of the guilt phase and penalty phase/sentencing.

2) Aggravated homicide cases, in which the death penalty is not sought, shall occupy the portion of a full time attorney up to a maximum of one Full Time Employee (FTE) qualified at Senior Public Defense I level or above. Professional support and clerical staff, and attorney supervision will be assigned as necessary, consistent with the King County Public Defense Payment Model (KCC Motion 12160) and KCC Motions 13003 and 13004.

3) Aggravated homicide cases, in which the death penalty is sought, shall be assigned up to two FTE attorneys, consistent with Superior Court Special Proceedings Rules – Criminal (SPRC 2). The Agency shall assign at least one attorney qualified at Senior Public Defender I, or above to a case.

Attorneys assigned to a death penalty case cannot have an additional active trial level death penalty case pending on their assigned caseload, but are not prohibited from representing other non-death penalty clients in other pending matters, provided those duties do not interfere with the attorneys' ability to provide effective assistance of counsel on the assigned death penalty matter. Agency shall not be awarded more than 12.5 credits per assigned attorney FTE in any given month. Professional support and clerical staff, and attorney supervision will be assigned as necessary, consistent with the King County Public Defense Payment Model (KCC Motion 12160) and KCC Motions 13003 and 13004.

- 4) The Agency and the County understand that the portion of attorney(s) and professional support staff assigned to each complex litigation case may change from time to time as the case moves through the adjudication process. In the event that a case concludes sooner than scheduled in Attachment B, case credit allocation will be adjusted accordingly.
- f. Involuntary Commitment practice area:
    - i. Initial Petition: An involuntary commitment case shall include any hearing on a petition for involuntary commitment under one cause number including a hearing for probable cause, or any petition for additional commitment under the same cause number pursuant to RCW 71.05.280, except such hearings defined in (ii) and (iii) below.
    - ii. 180-Day Petition: Any involuntary commitment case that went to a 180-day hearing following a petition filed pursuant to RCW 71.05.320 shall be considered a separate case and shall be billed by client name and shown separately. When a new docket number is assigned and the first court appearance is a 180-day hearing, the Agency will receive case credit and payment for either the assignment of the new docket number or the 180-day hearing, but not both.
    - iii. Involuntary Medication Hearing: A hearing held pursuant to a petition for involuntary administration of medications or electroconvulsive therapy shall be considered a separate case.
    - iv. An alcohol involuntary commitment proceeding filed pursuant to RCW 70.96A including all hearings under the same cause number shall be considered one case.
    - v. A drug-related involuntary commitment filed pursuant to RCW 70.96A including all hearings under the same cause number shall be considered one case.
  - g. The Agency shall fully participate as requested by the County in Superior Court and OPD planning and responsibilities for providing services in the event of a Court Order for Public Health Isolation or Quarantine of Individuals and appointment of counsel pursuant to WAC 246-100-04D and WAC 246-100-060 as to Court proceedings.
  - h. Juvenile Offender practice area: Coverage for all juvenile cases filed in juvenile court, including Diversion Calls.
    - i. Juvenile Offender Case: A juvenile offender case includes any criminal charge filed by the King County Prosecutor's Office in King County Juvenile Court. All hearings from initial assignment through dispositional hearing after plea, fact-finding or entry of diversion and rush filing hearing associated with initial dispositional order and restitution hearing are included within

initial case credit, except that substantial preparation for a decline hearing is eligible for additional case credit.

- ii. Juvenile Offender Modification/Review: A juvenile offender modification/review shall include all post-dispositional or post-diversionary hearings related to the allegations contained in the notice of the modification/review. In the event that the juvenile respondent has several notices of modification/review because of different cause numbers and all such modifications/reviews are heard at a single hearing, then such hearing shall constitute one completed juvenile offender modification/review.
- iii. Juvenile Offender Restitution Review Hearing: The first restitution hearing after the disposition hearing is considered part of the initial case credit. Any subsequent juvenile offender restitution review hearing will receive review credit. In the event that the juvenile has several pending restitution hearings and such hearings are all heard at the same hearing, then such completed hearing shall constitute one completed restitution review hearing.

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i. Misdemeanor practice area:

- i. Misdemeanor Case: Any criminal case, including traffic misdemeanors, filed by the King County Prosecutor in the District Courts.
  - ii. Misdemeanor Appeal: A misdemeanor appeal involves filing the notice of appeal, if necessary, perfecting the record following the filing of the notice of appeal, preparation of the transcript pursuant to Rule 6.3a Rules for Appeal of Decisions of Courts of Limited Jurisdiction (RALJ), preparing such briefs and memoranda as are required, arguing the case in Superior Court, and handling such paperwork as the Superior Court's decision and orders direct.
  - iii. Misdemeanor Writ: A writ involves filing notice, perfecting the record, preparing such briefs and memoranda as required, arguing the case in Superior Court and handling such paperwork as the Superior Court's decision and orders direct.
- j. Sexual Predator practice area: Legal representation for indigent persons assigned by OPD for cases filed under RCW 71.09, civil commitment petitions filed by the King County Prosecuting Attorney or the Attorney General's Office, subject to such conditions stated in the current Program Agreement, and General Terms Agreement between the County and the State of Washington Department of Social and Health Services (DSHS) from the effective date of these agreements, and directly invoice to and be reimbursed by DSHS at a rate determined by DSHS or as ordered by the Court.

- k. Specialty Court practice area:
  - i. King County Superior Court Drug Diversion Court (DDC) and Juvenile Drug Court (JDC): Any criminal case filed into King County Superior Court Drug Diversion Court or Juvenile Drug Court. Staffing is determined in DDC and JDC by a separate agreement, which is incorporated as applicable by attachment to this Contract.
  - ii. King County District Court Mental Health Court includes: Any case filed into King County District Court Mental Health Court. Staffing is determined by a separate agreement, which is incorporated as applicable by attachment to this Contract.
  - iii. King County Juvenile (Dependency) Court: Dependency Family Treatment Court cases shall be handled by the agency assigned to the case as part of the routine representation in the dependency matter. Case credits will be according to the dependency credit section of Attachment A.

- 2. Case Credit: Case credit is a unit of work. The total number of units that an attorney is expected to perform is listed in the case credit workloads of Section III. Program Requirements, of this Exhibit. Attachment A of this Exhibit defines the Case Credit Application and parameters for additional credit in extraordinary cases.

Extraordinary Case Credit: Extraordinary case types including Juvenile Felony Offender, Contempt of Court, Dependency, Termination of Parental rights, RCW 26.33.110 contested adoption termination, Reinstatement of Parental Rights, Murder, Persistent Offender, and all Adult Felony cases shall be given extra credits if the nature of the case requires such extra credits, based upon a written application from the Agency. Other case types may be approved for extraordinary credit at the discretion of OPD. Factors entering into the awarding of extra credits shall be as according to Attachment A.

- 3. Criminal Case: A case is any one charge or series of related charges filed against one defendant/respondent in a single charging document or in the case of misdemeanors a series of charges under several charging documents, set for one court hearing that will ultimately lead to one disposition.
  - a. If a related series of charges which is defined herein as a single case is subsequently set for separate disposition hearings or trials, the Agency may request additional credit for each case, which is severed from the consolidated case.
  - b. A completed case involves all necessary legal action from arraignment through disposition. This includes the filing of a notice of appeal upon the client's request, application to proceed in *forma pauperis* on appeal, and a motion for appointment of appellate counsel.
  - c. A case remanded to the trial court for proceedings following appeal is a new case credit. The filing of an appeal (interlocutory appeal which is

accepted for review by the appellate court or post-dispositional) closes the case for credit purposes.

- d. For Juvenile Offender and all Misdemeanor cases:
    - i. If a new count is filed on a separate incident after the case has been assigned, but not a new court case number, the Agency may request additional credit for each count similarly filed and as per paragraph III.A.1.f. An incident is defined as a criminal charge alleged to have occurred on or about a specific calendar day. Multiple offenses charged as occurring on or about the same date are considered a single incident. Regardless of the total number of incidents alleged (separate count and separate date) either in the original or amended complaint, up to a maximum of three credits shall be allowed. Agency can apply for Extraordinary Case Credit for appropriate cases beyond the three case credits.
    - ii. Criminal charges alleged to have occurred on indeterminate dates or during a specified range of dates (e.g. on or between specific dates) are considered one incident. The Agency may present documentation to OPD to demonstrate that separate and discreet dates of incident were intended. Documentation should include such portions of pre-trial discovery to justify claim for separate incidents. In the event that such separate incidents are determined, a maximum of three credits shall be allowed, if requested by the Agency, per charging document.
  - e. Felony case credits shall be allocated as provided in Attachment A. This allocation is in accordance with King Council Motions 12160, 13003 and 13004, and is an interim directive until such time the Council determines a different method to case weight felony cases. Felony case assignments assume assignment of one attorney to a case. If the Agency wishes to assign and bill a second attorney's time on a felony case, it must secure a court order for appointment of a second attorney on that case and provide a copy of said order to OPD.
  - f. All cases assigned on and after July 1, 2009 shall be subject to attorney time billing requirements if additional case credits are requested, pursuant to Section IV and Attachment G of this Exhibit.
4. Criminal Case Disposition: Case disposition shall mean the dismissal of charges, the entering of an order of deferred prosecution, an order or result requiring a new trial, imposition of sentence or deferral of same, or dispositional continuance and any other hearing on that cause number that occurs within 45 days of sentence, entry of an order for deferral of sentence, or dispositional continuance by District Court. Sentencing includes completed hearing on establishing restitution order. A motion to modify restitution shall be considered for additional credit if the motion occurs after 180 days.

A restitution hearing ordered at the time of original disposition shall be included as part of the case credit as defined by this disposition description. It shall include the filing of a notice of appeal, if applicable. It shall not include a felony



or misdemeanor probation review unless such review occurs within 45 days of disposition. Additionally, it shall not include any hearing ordered at the conclusion of a deferred sentence unless such hearing occurs within 45 days of sentencing.

5. **Indigent:** An indigent defendant is a person determined indigent by OPD or the Court as being eligible for a court-appointed attorney, pursuant to RCW 10.101, 13.34.090, and OPD policy. Defendants shall be considered provisionally assigned until a screening for indigency is completed, pursuant to RCW 10.101.020(4). The Agency is required to cooperate with OPD in the effort to have assigned defendants screened; which shall include, reminding clients to be screened upon release from custody. Out of custody defendants may be required to be re-screened if their financial situation changes or at each 180 days of Agency representation. If a defendant is found to be not indigent, OPD will inform the Agency and court. In the event the court removes the Agency from assignment or orders the Agency to withdraw as appointed counsel, credit for such representation by the Agency is determined by the terms of this Contract, including the relevant sections of Attachment A.
6. **Legal Services:** Legal service is legal representation provided by an individual licensed attorney, and associated professional support staff to an individual client, pursuant to a case assignment or court appointment. The attorney will be required by the Agency to satisfy the Code of Professional Responsibility, the law of the State of Washington and the United States in the full discharge of the duties to each individual client under this Contract.
7. **Professional support staff:** Investigators, social workers and paralegals.
8. **Supervision:** An attorney with significant experience who is capable of actively monitoring an attorney's work product, caseload management, and relationship with clients and is capable of providing legal advice and guidance in other areas relevant to assuring high quality staff attorney performance. The Agency will provide active supervision for each staff attorney, in the ratio of one supervisor per ten staff attorneys.

### **III. PROGRAM REQUIREMENTS**

#### **A. Services**

##### **1. Case Credit Allocation and Case Assignment:**

- a. OPD shall make reasonable efforts to maintain the Agency at the total case credit allocation and case assignment as shown on Attachment B of this Exhibit, for each quarter of the year. The quarterly case credit amounts in Attachment B are provided for planning purposes. OPD shall make reasonable efforts to distribute cases beyond variance consistent with the case credit allocation in Attachment B of this Exhibit and paragraph b. below. The variance in case distribution does not apply to calendars, specialty court agreements, complex felony cases, or Sexually Violent Predator cases.
- b. The Agency shall accept case assignments within contracted subject areas, including case assignments above the projected variance levels.

Agency refusal to accept an individual case assignment within contractual subject areas shall not in and of itself be considered a material breach of this Contract, however the County may determine such refusal requires Agency corrective action. The County shall pay the Agency for work in excess of the variance levels according to Section IV of this Exhibit.

- c. The Agency shall accept all complex litigation cases as determined by OPD, after consultation with the Agency concerning capacity.
- d. If the Agency has begun delivery of legal services and the defendant/respondent absconds for a period of 180 days or more, upon re-apprehension, the allocation of a new case credit shall be allocated by OPD upon reassignment to Agency.
- e. If the Agency is assigned to a case prior to arraignment, has not provided legal services, and the defendant fails to appear for arraignment, the case shall be returned to OPD within 30 days or as agreed with OPD, and the Agency shall be debited.
- f. For Felony, Juvenile Offender and Misdemeanor cases, if either within the original charging instrument or after initial assignment, additional charges are filed under the same cause number against the same defendant/respondent, and said charges arise out of a different allegation or series of allegations unrelated by transaction to the first charges, then additional case credit shall be provided according to paragraphs II.C.2, 3, and 4 above.
- g. The Agency may be appointed by the court as standby counsel in pro se cases. If such appointment is made, the Agency shall receive one case credit, less previous credit assigned for case. The Agency is not necessarily expected to utilize its professional support staff for pro se case work. If professional support staff work is requested by the pro se defendant, the Agency may opt to reduce its credit allocation by 0.1 per case credit allocated by not utilizing Agency professional support staff. In the event that the Agency elects to not provide professional support staff services, then it is the responsibility of the pro se defendant to comply with OPD Expert Services protocols regarding requests for any such services as may be necessary. Standby counsel shall provide such assistance and advice as may be ordered by the court and otherwise as consistent by case law and other legal requirements, and applicable standards of attorney representation and attorney conduct, including pro se requests for expert services.
- h. The Agency shall electronically file a Closed Case Report (Attachment D) each month with OPD which shall include all information requested in the report for the month indicated and be submitted to OPD no later than 20 days following the last day of the previous month. A case is considered closed for further credit for attorney time expended after 30 days past sentencing, or a dispositional order is filed, or upon filing of a Notice of Appeal, unless an additional court order appoints counsel.

2. Funds for payment for expert services are not included in this Exhibit. Requests for appointment for expert services shall be made consistent with the existing OPD Expert Services policies and procedures, and dependent upon available appropriation of funds. The Agency must assure that its staff follows the OPD expert procedures, as agreed with OPD.
3. The Agency shall fully participate as requested by the County in Superior Court and OPD planning and responsibilities for providing services in the event of a natural disaster, a major disruption to court processes, or a pandemic. The Agency shall maintain disaster plan protocols which shall include a provision for daily contact with OPD and the Courts to verify court calendars requiring counsel presence. The parties acknowledge that all such plans presume the continued ability to communicate between the Agency and OPD. OPD shall assist the Agency in coordination with the courts and general implementation of the disaster plan.

The Agency's disaster plan shall include procedures and protocols to respond to and recover from a natural disaster or major disruption to agency operations such as a work stoppage; and continue operations during a prolonged event such as a pandemic. The plans shall be consistent with written County disaster plans for purposes of continuation of court business in the event of a disaster.

#### B. Practice Standards and Records

1. The Agency shall require that all attorneys, professional support staff and supervisors maintain contemporaneous records of all legal services provided on a specific case. The records shall provide a factual description of the work done and shall be sufficiently detailed to allow monitoring of legal service activity by OPD.
2. Upon closing a case, all attorney, professional support staff and supervisor files associated with the case shall be cross referenced and accessible as a whole for OPD monitoring.
3. The Agency shall maintain professional practice standards in providing a level of legal service that does not fall below the minimum professional standards and RPCs of the Washington State Supreme Court, the Washington State Bar, the National Legal Aid & Defender Association, and any applicable case law and court rules that define the duties of counsel to their clients. The Agency shall formally adopt the internal Agency practice standards as submitted to OPD February 1, 2006, and shall include a procedure for monitoring compliance with the standard. Case credit workload standards shall be according to paragraph III.B.14. below.
  - a. Attorney practice standards, include but are not limited to:
    - i. Lawyer-client relationship, initial case actions, investigation and preparation, preliminary hearings; disposition without trial; trial; post conviction or fact finding and any other areas of attorney practice deemed appropriate by Agency management or Board.
    - ii. Attorney use of professional support staff services and expert services.

- b. Professional support staff service practice standards.
  - c. Practice standards for supervision of attorneys and professional support staff services.
4. The Agency agrees that, within available resources, reasonable efforts will be made by the Agency to continue the initial attorney assigned to a client throughout any case in which representation is undertaken. The Agency is not prohibited from rotating attorneys through various Agency divisions or from assigning a single attorney to handle various aspects of legal proceedings for all indigent persons where such method of assignment is the most reasonable method of obtaining effective legal representation for indigent persons.
  5. The Agency agrees that a member of the Agency staff shall visit any assigned in-custody criminal defendant, in-custody civil commitment, or dependency clients, and obtain basic contact and other fundamental intake information for a bond hearing within one business day from notification to the Agency of the assignment of the case and the in-custody status of the client, and/or within one business day upon notification of transport to a local detention facility. This provision applies to clients in custody at any facility within the King County jail. If a client is in custody in a non-County jail, the Agency shall make contact within three business days of receiving the assignment.
  6. The Agency agrees that an attorney shall make contact with all assigned persons within five working days from a case assignment, or in rare occurrences where this is not achieved the Agency shall document reasonable efforts to make said contact.
  7. The Agency agrees that a preliminary written response to any written complaints from OPD concerning services provided by the employees of the Agency or the Agency itself shall be submitted to OPD within three working days of the date the complaint is received by the Agency Director or the Director's designee. Written complaints include email communications from OPD; email response date will be provided.
  8. Upon assignment of any client charged with a criminal or civil case to an Agency, the Agency shall make diligent and reasonable efforts to obtain initial discovery within five business days of the assignment, whether initial or subsequent, on any case. In all cases, discovery shall be reviewed as soon as possible and in no event later than five business days after receipt for purposes of determining any conflicts of interest. The Agency shall notify OPD immediately and no later than 14 calendar days from assignment without good cause shown, of any conflict of interest as defined by the Washington State Rules of Professional Conduct. The Agency shall state the basis when possible, as court determines, for the conflict in its written notification.
  9. The Agency shall establish and enforce policies and procedures to ensure that attorney time and other Agency resources funded by this Contract shall be for work which is the subject of this Contract and as according to Section IV and Attachment A of this Exhibit.

The Agency shall establish policies and procedures for pro-bono work provided by staff of the Agency. These policies and procedures shall assure that any such pro bono work is not provided to the exclusion or detriment of legal services that are the subject of this Contract.

10. Attorney Professional Support Staff

The Agency shall provide sufficient professional support staff, including investigators, social workers and paralegals to provide for effective assistance of counsel.

11. Minimum Attorney Qualifications

- a. Attorneys shall have the requisite qualifications for the cases to which they are assigned by the Agency, consistent with Washington State Bar Association (WSBA) Standards for Public Defense Services, Standard Fourteen, Qualifications for Attorneys, as adopted in 2007 and as may be amended by WSBA during the Contract period.
- b. Each staff attorney representing any client assigned by OPD shall work under the supervision of a supervising attorney employed by the Agency. Such supervision shall provide the staff attorney with sufficient resources so the attorney will be able to handle cases on his/her own. The employees of the Agency are not employees of the County and the County will not provide supervision to any of the Agency's employees.
- c. The Agency may choose to use Rule 9 Interns or Rule 8 attorneys, as allowed by Washington State Bar Association regulations.

12. Attorney/Professional Support Staff Evaluation

The Agency director, or his/her designee, shall evaluate the professional performance of each individual Agency attorney and professional support staff member annually. Attorney evaluations shall include: quality of case preparation, compliance with the requirements of this Contract, monitoring of time and caseload records, review of case files, and in-court observation. Professional support staff evaluations shall be sufficiently comprehensive to assess the quality of the actual work performed.

The Agency shall keep a written record of all required performance evaluations.

13. Attorney/Professional Support Staff Training

The Agency shall provide access to sufficient training to support attorney and professional support staff performance of duties under this Contract. The Agency shall maintain all necessary records to assess the adequacy of the training. A Continuing Legal Education (CLE) approved by the Washington State Bar Association is presumptively adequate.

At a minimum during each calendar year of the Contract period, the Agency shall require each attorney to attend at least seven WSBA approved credits of CLE in a practice area under this Contract. Each Agency attorney must attend training approved by WA State OPD, pursuant to RCW 10.101.050 and as

posted on WA State OPD website, at least once per calendar year. Each Agency attorney also shall satisfy the minimum requirements for practicing law in the State of Washington as determined by the Washington Supreme Court.

14. Case Credit Workload Guidelines

The County shall review Agency case credit workloads, case assignments, standards, and support staff/attorney ratios for each area of cases to assess compliance with the requirements of this Contract.

The total amount of reimbursement included in this Contract resulted from the application of the Public Defense Payment Model approved by the King County Council by Motions 12160, 13003, and 13004, as supplemented by external funding sources and as otherwise approved by the King County Council. Pursuant to the policies stated in Motion 12160, the allocation for each case area was calculated to provide funding for public defenders at parity with similarly situated attorneys in the Office of the Prosecuting Attorney. The Agency's staffing plan may be reviewed by OPD to verify that an attorney's experience level meets or exceeds required experience for case practice area, and placement within the salary schedule is reasonable. The Agency agrees to comply with the following average annual case credit workloads per attorney per case type:

Supervisors	0
Felony	150
Complex	150
593	150
King County Misdemeanor	450
Expedited Felony Calendar cases	450 (assignments)
Juvenile*	330
* KCC Motion 12160, 13003, 13004, supplemented by WA State funds (see Att C of this Exhibit) to allow an effective caseload of 250.	
Dependency	180
Becca ARY/CHINS	250
Becca Truancy	375
Contempt of Court (Child Support Enforcement) – Calendar Funding	
Contempt of Court (Non-Child Support Enforcement)	225
Involuntary Treatment Act (ITA)	410

A supervisor, including a managing director or assistant director, may provide minimal representation to clients under this Exhibit solely for the purpose of addressing management issues, emergencies or caseload overflow beyond the projections. OPD shall be notified of primary representation by supervisory staff constituting a caseload that exceeds available one to ten ratio of supervisory availability.

15. The Agency agrees to abide by the OPD Withdrawal requirement (Attachment E) when declining a case or withdrawing from a case because of a conflict of interest. Agency may withdraw only pursuant to applicable court rules and RPCs. Agency attorney shall promptly notify the court of any conflict of interest or any other reason requiring withdrawal from a case assigned under this Exhibit. The Agency shall file a Notice of Withdrawal the same day of discovery of such conflict and is permitted to withdraw under applicable court rules so that substitute counsel can be assigned by OPD.

The Agency shall immediately submit to OPD the OPD Withdrawal form and a copy of the court order, if any, approving withdrawal and the case will be re-assigned by OPD within one business day. Credits shall be applied according to Attachment A of this Exhibit. OPD shall notify withdrawing agency of next counsel assigned to the case.

16. The Agency shall report all extraordinary occurrences to OPD in accordance with the Extraordinary Occurrences Policy and Procedure, and cooperate with any OPD extraordinary occurrence review. The Agency shall adopt an Agency specific extraordinary occurrence policy. The requirement to report to OPD does not replace reporting requirements by other entities.

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17. The Agency shall have a process for the filing and review of complaints that are brought to the Agency's attention by clients, OPD, or other criminal justice system staff. The Agency shall establish an internal complaint procedure that is accessible to all clients, in accordance with RCW 34.05, 49.60, RPCs, and the OPD Client Complaint Services Policy and Procedure. The Agency shall maintain a file system for reliable report backup of each complaint.
18. The Agency agrees to abide by the terms of the OPD Attorney Supervision Policy and Procedures.
19. The Agency shall have an Information System (IS) that can report accurate data to OPD, and shall comply with the OPD IS Policy and Procedure. The Agency shall have functional personal computers using a Windows Operating System version XP or above and shall be connected to the Internet with the following supports:
  - a. The Agency shall have at least one trained primary and one trained backup on-site IS support person who has training on Basic Administration of Windows XP, and other basic software training.
  - b. The Agency shall notify OPD, in writing, of staffing changes (i.e. new hires, transfers, and terminations) for those staff directly responsible for data reporting and IS maintenance.
  - c. If a virus attacks an Agency computer, Agency response must be immediate (i.e. within 15 minutes) to take the computer off line and other appropriate action.

#### **IV. COMPENSATION AND METHOD OF PAYMENT**

- A. The Agency shall be paid monthly as provided in Attachment C of this Exhibit except as paragraph IV B. applies. The Payment Schedule shall pertain to all cases assigned

to the Agency from July 1, 2011 through June 30, 2012. Payment for the period of this Contract or any portion thereof is conditional upon appropriation by all necessary agencies and funding sources of sufficient funds to support the activities described in the Contract. That portion of funds for Agency payment derived from either WA State or the Mental Illness and Drug Dependency (MIDD) program are conditional upon re-appropriation at any time. If any other fund amounts are changed or prove insufficient to fund specific services described in this Contract, OPD may amend this Contract to reflect such change.

- B. Payment shall be made monthly, subject to performance requirements being met and upon completion of scheduled corrective action requirements noted in the OPD 2009 site visit review and the Agency plan for corrective action. For each corrective action due date missed by the established due date, one percent of the subsequent month's payment will be withheld until action is completed or report is received and accepted by the County.
- C. For all cases, other than those assigned to the Agency by OPD, in all case areas and for all review credits and other credits, the Agency shall make a reasonable effort to ensure that credit requests are submitted to OPD weekly.
- D. The Agency shall respond to the OPD Case Credit Certification Report within ten business days of receipt by the Agency.
- E. Payments may be made for extraordinary expenses that are in addition to those payments shown in Attachment C, Payment Schedule. Extraordinary expenses shall be approved in writing in advance of the expenditure by OPD.
- F. In the event that workload demand exceeds the projected levels, the Agency shall accept case assignments and case credits above the variance levels on a monthly basis. OPD will monitor the distribution on a cumulative monthly basis to ensure that a reasonable distribution of cases, consistent with Attachment B allocation, has been maintained. OPD recognizes that a variance above projected levels may be inconsistent with the case credit workload guidelines and up to the variance percentage for a specific case area will not be a cause cited for Agency corrective action.
- G. For quarterly and annual payment purposes, the variance shall be no more than five percent, for all case areas except felony cases, which shall have a variance of no more than two-and-one-half percent. These variance percentages will be applied to the projected caseload to establish the variance range. Variance does not apply to calendars, specialty court agreements, Sexually Violent Predator cases, or Complex Litigation cases.
- H. Quarterly reconciliation: At the end of each quarter, OPD will evaluate the case credit accumulation for each Agency for each case type. Action taken will be as follows:
  - 1. The actual quarterly caseload for each type of case area, as shown in the quarterly Certified Statistics will be compared to the actual variance range, for each practice area.
  - 2. If the Agency's actual quarterly caseload falls within the high and low values of the variance, an adjustment to the reimbursement will not be made.



3. If the Agency's actual quarterly caseload falls outside of either the high or low values of the projected variance range, an adjustment to the payment may be made.
  4. If a quarterly reconciliation results in payment due to the Agency from the County, the Agency may, at the Agency's option, defer such payment to a later month in the Contract period.
  5. If the quarterly reconciliation results in payment due to the County from the Agency, the County may, at the County option, defer such payment to a later month in the Contract period.
  6. Reconciliation will be based on case credits per case type or per charge type.
- I. Contract end reconciliation: The final payment will be the result of a reconciliation of actual performance to Contract specifications. The reconciliation will be conducted as follows:
1. All extra credit requests from the Agency shall be submitted by the 15<sup>th</sup> day of the month subsequent to the end date of the Contract. OPD will issue a Case Credit Reconciliation Report to the Agency within 5 business days from receipt of Agency request. Agency response to the OPD Case Credit Reconciliation Report shall be submitted to OPD no later than 30 days of this Report from OPD. Credit requests submitted from the Agency that are received by OPD after this day shall not be considered for reimbursement unless the Agency sends OPD a written request for an extension of the due date by the 15<sup>th</sup> day of the month, citing specific extraordinary circumstances.
  2. The variance percentages outlined in Section III. Program Requirements will be applied to the projected caseload to establish the variance range.
  3. The actual caseload for each type of case will be compared to the variance range for each type of case, except for complex felony cases, calendars, specialty court agreements, or Sexually Violent Predator cases.
  4. If the Agency's actual caseload falls within the high and low values of the variance, an adjustment to December reimbursement will not be made.
  5. If the Agency's actual caseload falls outside of either the high or low values of the projected variance range, an adjustment to the final payment will be made.
- J. Becca Cases: The Agency shall be paid by OPD for CHINS, ARY, and Truancy legal services from funds provided by the State of Washington through King County Superior Court, Juvenile Court Services, and such additional funds as may be made available by King County.
1. Truancy. Truancy cases will be counted as one credit for appointments on the initial petition as well as one credit for the filing of a truancy contempt of court where the right to counsel attaches. No additional credits will be given for review hearings.
  2. ARY/CHINS. ARY and CHINS cases will be counted as one credit for new assignments on a petition for ARY or CHINS. The initial case credit will include

two review hearings. Review hearings after the first two on that petition will earn one third (.33) credit per review.

3. Review hearings. All hearings subsequent to the hearing in which the ARY or CHINS fact finding is completed and in which disposition of the petition is ordered, if ordered in a separate hearing, will be considered a review. Any contempt hearing ordered on the original petition post disposition will be considered a review credit, if the matter is handled within the proceedings relating to the ARY or CHINS petition. Hearings relating to the contempt in the ARY or CHINS proceeding which occur post disposition of the contempt matter will be considered a review hearing.
  4. Truancy credit load limit. For purposes of this contract, Truancy cases will have a case credit load limit of 375 credits per FTE attorney per year.
  5. ARY / CHINS credit load limit. For purposes of this contract, ARY and CHINS cases will have a case credit load limit of 250 credits per FTE attorney per year.
  6. A variance of five percent applies to Becca cases in total, as counted in aggregate in this Contract. Site specific estimates of cases assigned included in Attachment B are for Agency management purposes only.
- K. Sexual Predator cases: The Agency shall be paid by the State of Washington for cases filed under RCW 71.09 and assigned to the Agency by OPD at a rate determined by DSHS or as ordered by the Court. Agency reimbursement shall be based on submission of expenditure reports and an invoice to DSHS in a format approved by DSHS. OPD shall apply its policies and procedures, as amended and posted on its website, to review and approve or deny requests from Agency counsel for use of Expert Services in 71.09 cases. Such authorization for Expert Services shall be made at the sole discretion of OPD. Upon approval or denial of counsel's request for Expert Services, OPD shall notify counsel. Per OPD policy, defense counsel may appeal an expert service denial to the court. Expert service reimbursement shall be invoiced to and provided directly by DSHS.
- L. Attorney Monthly Case Service Form: All attorney hours shall be entered in Agency case files. The Agency agrees that maintaining accurate and contemporaneous records of attorney time is an essential responsibility of Agency attorneys. The Agency shall be responsible for submitting monthly attorney hourly records for all cases assigned on and after July 1, 2009 for which the Agency requests additional credits. The completed form shall be submitted in an electronic format provided by OPD, as included by Attachment to this Contract. All cases for which the Agency requests additional credits shall be based solely on the cumulative number of attorney hours worked on that case by the primary attorney (unless a second attorney is ordered by the court), as supported by the monthly attorney hourly record.

The Agency shall participate on the OPD and Contractors Data Interface Workgroup, which will identify the business operations necessary at the Agency and OPD to put into effect this reporting requirement for all cases assigned to the Agency. The data transmissions shall be tested prior to this data report submission requirement taking effect and a start date shall be recommended by the workgroup to OPD. Cases assigned prior to July 1, 2009 for which the Agency requests additional credits shall not be subject to this Attachment requirement, but shall be available for extraordinary case credit according to Attachment A.

**M. Prepayments**

1. The Agency shall ensure that it has sufficient funds to complete prepaid cases assigned but not completed at the end of the Contract period. The Agency must report its calculated prepayment retention amount and cost estimate, include the method of calculation, and provide a conclusion about whether the funds available would cover all costs associated with completing the cases assigned and prepaid. Not having an adequate reserve shall not be cause for a material breach of contract, but may require Agency corrective action.
  
2. In the absence of a precise calculation of prepayments by the Agency, the County shall estimate the sufficiency of funds using the following formula: For all felony, misdemeanor, initial dependency assignments, and juvenile offender cases assigned during the three months before the end of the contract that remain open at contract-end, it is assumed that the first month cases are 75 percent completed, the second month cases are 50 percent completed, and final month cases are 25 percent completed. For dependency cases it is assumed first month cases are 15 percent completed, second month cases are ten percent completed, and final month cases are five percent completed. The estimation shall be the result of calculating the number of open cases for each month by the corresponding percentage of uncompleted work, and then determining the sum of the uncompleted case count by the per case revenue amount to determine the sufficiency of funds.

**V. REPORTING REQUIREMENTS**

Compliance with the terms of this Exhibit requires the following reports, in a format approved by the County, to be submitted at the times indicated in the chart below.

<b>Report Title</b>	<b>2011-2012 Due Date</b>
Closed Case Reports Weekly Credit Request Report Persistent Offender (593) Monthly Case Report Additional Credit forms for cases assigned prior to July 1, 2009 Complex Litigation time sheets Extraordinary Case Credit Report for cases assigned prior to July 1, 2009 Attorney hourly record for cases assigned from July 1, 2009 through 2012, if additional case weighting credits are requested	Monthly, or more frequently as necessary
Contract-end Case Credit Reconciliation Report	July 20, 2012
Annual Financial Statements, IRS Form 990, Audit Report including management letters.	August. No more than one financial audit shall be required in any given calendar year.
Additional summaries, reports or documents, corrective actions directly related to Contract performance, as requested by OPD with reasonable notice.	Varies

## ATTACHMENT A

### Case Credit Application Schedule

Type of Case	
<b>Felony</b>	
One completed case	One case credit
Substantial advice	0.10 case credit
Substantial advice in the King County Detention Facility	0.20 case credit
Probation, other reviews, or sentencing only	0.33 case credit
Assignment for Motion to Withdraw Guilty Plea or a Motion for New Trial to an agency other than the agency handling the case	One case credit
Homicide cases assigned on and after July 1, 2009	Ten case credits upon assignment for the following case types: <ol style="list-style-type: none"> <li>1. Murder 1 – RCW 9A.32.040</li> <li>2. Murder 2 – RCW 9A.32.050</li> <li>3. Homicide by Abuse – RCW 9A.32.055</li> <li>4. Manslaughter 1 – RCW 9A.32.060</li> <li>5. Vehicular Homicide</li> </ol>
Adult Sex Offenses subject to Indeterminate Life Sentences on cases assigned on and after July 1, 2009	Five case credit upon assignment for the following case types: <u>Indeterminate Sex Cases – Life Sentence</u> <ol style="list-style-type: none"> <li>1. Rape 1 – RCW 9A.44.040</li> <li>2. Rape 2 – RCW 9A.44.050</li> <li>3. Rape Child 1 – RCW 9A.44.073</li> <li>4. Rape Child 2 – RCW 9A.44.076</li> <li>5. Indecent Liberties with Forcible Compulsion – RCW 9A.44.100(2)(b)</li> <li>6. Child Molestation 1 – RCW 9A.44.083</li> <li>7. Kidnapping 1 with Sexual Motivation – RCW 9A.40.020</li> <li>8. Kidnapping 2 with Sexual Motivation – RCW 9A.40.030</li> <li>9. Assault 1 with Sexual Motivation – RCW 9A.36.011 and RCW 9A.36.030(43)</li> <li>10. Assault 2 with Sexual Motivation – RCW 9A.36.021(2)(b)</li> <li>11. Assault of a Child 1 with Sexual Motivation – RCW 9A.36.120</li> <li>12. Burglary 1 with Sexual Motivation – RCW 9A.52.020</li> </ol>

<p>Additional Credits for Felony cases assigned on and after July 1, 2009</p>	<p>If Homicide cases listed above or Sex Offenses subject to Indeterminate Life Sentences cases listed above exceed 220 hours of attorney time, three additional credits for every 50 attorney hours over 200 attorney hours, upon OPD receipt of required Attorney Billing document.</p> <p>Any other felony case: three credits for every 50 hours over the initial presumed 12.1, upon OPD receipt of required Attorney Billing document.</p>
<p>Case from Court Order for Public Health Isolation or Quarantine of Individuals, WAC 246-100-04D and WAC 246-100-060.</p>	<p>One felony case credit</p>
<p>Persistent Offender (593)</p>	<p>For cases assigned prior to July 1, 2009, one case credit upon assignment, less previously assigned felony credit. The Agency is eligible for additional case credit for each additional 12.1 hours or portion thereof of attorney time on the case according to the Extraordinary Cases section of this Attachment A.</p> <p>For 593 cases assigned on or after July 1, 2009, this reimbursement method shall be in place until such time as the King County Council approves, by motion, a change in reimbursement methodology, and such time when the Council approved change is amended to this Contract.</p> <p>The Agency shall report monthly to OPD the total attorney time in each persistent offender case in a format consistent with Attachment F categorizing attorney hours. It is understood that the Agency director or the director's designee will review the status of all pending persistent offender cases in the Agency at least monthly and will discuss the cases with the attorneys representing the clients. Such review will include the status of investigation, preparation and presentation of mitigation packages, legal and factual issues in the case, the client's physical and mental status, and any plea bargaining offers.</p> <p>Case credits upon assignment or upon documentation of persistent offender status and additional credits for cases assigned prior to July 1, 2009 shall be as per Extraordinary Cases application and submission of Persistent Offender Monthly Case Report. Cases assigned on and after July 1, 2009 shall be tracked by the Persistent Offender Monthly Case Report until such time as the Attorney Monthly Billing Report is activated.</p>

Felony Bench Warrant during case	One case credit if more than 180 days has passed since the date of the bench warrant and if the bench warrant was on a new case assignment; or 0.33 case credit if warrant was a probation review or other hearing valued at 0.33 credit.
Case transferred to another agency, assigned counsel, or retained counsel	Substantial advice credit if case is not completed prior to transfer and if Agency provides to OPD satisfactory justification for credit. This does not include withdrawals based on discovery of conflict upon initial conflict check by Agency, generally completed within 14 days of receipt of OPD assignment, including upon initial receipt of pretrial discovery. In the event that award of substantial advice credit alone results in a substantial financial hardship to the Agency, the Agency may request additional credit. Factors to be considered for additional credit include stage of proceedings (e.g. set for plea, set for or approaching trial date, amount and nature of work expended) and explanation made by the Agency to resolve any breakdown in communication between the defendant and the assigned attorney.
Case transferred to Drug Diversion Court	One case credit retained by transferring agency.
Each court event on a case assigned as a conflict from Drug Diversion Court, if case returned to originating/transferring agency.	0.33 case credit
Case assigned as a conflict from Drug Diversion Court	One case credit
<b>Complex Litigation</b>	
Capital cases	Two attorneys; up to 25 complex litigation case credits per month as reported by the Agency and consistent with SPRC 2; support staff and supervision to be assigned consistent with the King County Public Defender Payment Model.
Aggravated Homicide (non-death penalty)	One attorney; up to 12.5 complex litigation case credits per month maximum.
<b>King County Misdemeanor</b>	
One completed case, King County District Court	One case credit
District Court review, revocation, re-sentencing or other hearing	One case credit

Expedited Felony	Calendar funding
Misdemeanor Appeal	Four case credits
Misdemeanor Writ	Three case credits
Substantial advice; excludes misdemeanor beeper calendar matters listed in Attachment B and other calendar representation	0.10 case credit
Substantial advice for In-custody clients; excludes misdemeanor beeper calendar matters listed in Attachment B and other calendar representation	0.20 case credit
<b>Juvenile Offender</b>	
One completed case	One case credit
Decline hearing or Out of County Mods	One case credit
Reviews	0.33 case credit
Substantial advice	0.10 case credit
Juvenile Drug Court	Calendar Funding
Case transferred to Juvenile Drug Court	One case credit retained by transferring agency.
Each court event on a case assigned as a conflict from Juvenile Drug Court, if case returned to originating/transferring agency.	0.33 case credit
Case assigned as a conflict from Juvenile Drug Court	One case credit
<b>Juvenile Dependency</b>	
A dependency case	One case credit
Post Disposition Review Hearing	0.40 case credit
Dependency trial or contested disposition	0.50 case credit
Termination Petition	One case credit
Termination trial	One case credit

Atty/Day for substantial advice	0.10 case credit
Reinstatement of Parental Rights	One case credit for child representation. One case credit for parent representation only if the court ordered appointment on the matter for the parent.
Post-Hearing on the Merits review hearing (Reinstatement of Parental Rights)	0.40 case credit
Contested Private Adoption	One case credit
<b>Civil Contempt</b>	
All hearings involving child support enforcement proceedings	Calendar funding
For Civil Contempt cases not involving child support proceedings, from show cause initial assignment hearing up to and including two contempt reviews	One case credit
Subsequent reviews, for Civil Contempt cases not involving child support proceedings	0.33 case credit
<b>Becca: Child in Need of Services, At-Risk Youth, Truancy</b>	
ARY/CHINS case	One case credit for one completed case – petition through disposition; includes first two review hearings.
ARY/CHINS Reviews	0.33 case credits for the third and successive review hearings, including any new contempts on the same petition.
Truancy	One case credit for initial petition filing One case credit for contempt filing
<b>ITA</b>	One case credit
<b>Drug Diversion Court</b>	Calendar funding
<b>District Mental Health Court</b>	Calendar funding



<b>Other Circumstances</b>	
New statutory mandates	Case types not contemplated by this Contract arising from legislation with effective dates after July 1, 2011 shall be assigned by OPD and accepted by the Agency subject to agreement on the credit to be given.
Extraordinary Cases	<p>Extraordinary Juvenile Felony Offender, Dependency, Termination of Parental Rights, RCW 26.33.110 contested adoption termination, Reinstatement of Parental Rights, Contempt of Court, Murder, Persistent Offender, all Adult Felony cases, and in other case categories shall be given extra credits if the nature of the case requires such extra credits, based upon a written application from the Agency for additional credits and negotiation between OPD and the Agency. Factors entering into the awarding of extra credits include, but are not limited to: seriousness of the charges; amount and complexity of evidence; number of witnesses; unusual legal issues; number of defendants; whether there is a plea, bench trial or jury trial; number of pre-trial motions or hearings needed; severity of the consequences; actual length of trial, and the extent to which workload is accounted for by existing case weighting methodologies.</p> <p>The Agency application must be specific about the work to be done or completed to date as shown on the Attorney Billing form, the estimated length of time to perform the work, and the personnel that will be assigned to perform the work. Initial OPD response to the Agency, including requests for additional information, shall be made within five business days from OPD receipt of Agency application.</p>
Credit for cases where the Agency withdraws due to a conflict of interest	When the Agency has reviewed discovery and reported to OPD its withdrawal for reason of conflict and requested credit for time expended, OPD shall review the Agency's request for credit, including the reason given for withdrawal, and may grant appropriate credit. Should such credit be denied, OPD shall inform the Agency of the basis for denial. Credit shall be determined as per rule involving case transferred to another Agency, Attachment A above.
Pro Se/Standby Counsel	Case credited in same manner as other felony credits in this Attachment A, if professional support staff work is performed by the Agency and requests for appointment of professional support staff at public expense outside of the Agency are not submitted to OPD. If professional support staff work is requested by the pro se defendant, the Agency must inform OPD if it is opting to reduce its credit allocation by 0.1 per each attorney credit assigned in the case by not utilizing its professional support staff.

## ATTACHMENT B

### Agency Case Credit Allocation and Cases Assigned Estimate for July 2011 to June 2012

Case Category	Total Agency Case Credit Allocation	Percentage Share	3rd Quarter 2011	4th Quarter 2011	1st Quarter 2012	2nd Quarter 2012
<i>Felony &amp; 593s</i>	2,100.0	29%	525.0	525.0	525.0	525.0
<i>Complex</i>	600.0	42%	150.0	150.0	150.0	150.0
Total Felony	2,700.0	31%	675.0	675.0	675.0	675.0
KC Misdemeanor	2,088.0	34%	522.0	522.0	522.0	522.0
Juvenile Offender	1,320.0	33%	330.0	330.0	330.0	330.0
Dependency	268.0	8%	67.0	67.0	67.0	67.0
Contempt of Court (Non Child Support Enforcement)	6.0	0%	1.5	1.5	1.5	1.5
Becca	478.0	52%	119.0	120.0	119.0	120.0
<b>TOTAL</b>	6,860.0		1,714.5	1,715.5	1,714.5	1,715.5

**2011-2012 Calendar Attorney and Staff List**

Case Area	KCCH	MRJC	YSC	Calendar	Agency	Day	Time	Atty	Staff	Supv
Felony		X		Felony arraignment calendar	ACA	Mon-Thurs	AM	0.40	0.25	0.04
Expedited Felony	X			Expedited Felony calendar	ACA		AM/PM	0.80	0.56	0.08
KC Misdemeanor	X			Change of venue & 1st appearance	ACA	Mon-Fri	AM	0.50	0.25	0.05
		X		Change of plea calendar (in-custody) & 1st appearance	ACA	MWF	PM	0.50		0.05
		X		Domestic Violence Court calendar	ACA	Mon-Fri	PM	0.50	0.25	0.05
	X			Southwest District Court	ACA	Thurs or Fri	AM/PM	0.50		0.05
Juvenile			X	1st appearance & diversion calls	ACA	Mon-Fri	PM	0.50	0.25	0.05
Dependency	X			Attorney of the Day (AOD) 72-hour Shelter Care	ACA	Mon-Fri	AM	0.25		0.03
		X		Attorney of the Day (AOD) 72-hour Shelter Care	ACA	Mon-Fri	AM	0.25		0.03
Contempt of Court (Child Support Enforcement)	X			Contempt of Court	ACA	Tues	AM/PM	1.00	2.20	0.10
Mental Health Court (Base)	X			MHC Court calendar	ACA	Mon-Fri	AM/PM	1.50	1.00	0.15
Mental Health Court (Expansion)	X			MHC Court calendar	ACA	Mon-Fri	AM/PM	1.00	1.50	0.10
Drug Diversion Court	X			Drug Diversion Court calendar	ACA	Mon-Fri	AM/PM	4.40	0.90	0.44
<b>TOTAL ACA</b>								<b>12.10</b>	<b>7.16</b>	<b>1.21</b>

Beeper/Pager Duty - South District Court DUI<sup>1</sup>:

\$7,731.00  
\$7,731.00

Jul-Dec 2011  
Jan-Jun 2012

<sup>1</sup> Payments for Beeper/Pager Duty are included in the Calendar Staffing total of Attachment C.

**ATTACHMENT C**

**Payment Schedule**

This Payment Schedule shall pertain to all cases assigned to the Agency from July 1, 2011 to June 30, 2012. The Agency shall be paid the following monthly amounts for cases and special services:

<b>2011</b>	<b>July Payment</b>	<b>Aug Payment</b>	<b>Sept Payment</b>	<b>Oct Payment</b>	<b>Nov Payment</b>	<b>Dec Payment</b>
Felony (regular & 593s)	213,355	213,355	213,355	213,355	213,355	213,350
Felony (complex) <sup>a,b</sup>	60,958	60,958	60,958	60,958	60,958	60,959
Juvenile	60,958	60,958	60,958	60,958	60,958	60,959
King County Misdemeanor	70,713	70,713	70,713	70,713	70,713	70,705
Dependency	22,690	22,690	22,690	22,690	22,690	22,690
Contempt of Court (Non Child Support Enforcement)	406	406	406	406	406	407
Contempt of Court (Child Support Enforcement Calendar)	23,827	23,827	23,827	23,827	23,827	23,830
Calendar Staffing	58,052	58,052	58,052	58,052	58,052	58,050
<u>Agency Admin &amp; Overhead:</u>						
<i>Administration</i>	38,746	38,746	38,746	38,746	38,746	38,745
<i>Indirect Cost</i>	22,888	22,888	22,888	22,888	22,888	22,888
<i>Rent</i>	51,605	51,605	51,605	51,605	51,605	51,602
<b>Total Agency Administration and Overhead</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,239</b>	<b>113,235</b>
<b>Sub-Total General Fund</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,198</b>	<b>624,185</b>
Drug Diversion Court	66,370	66,370	66,370	66,370	66,370	66,370
Mental Health Court MIDD - KCCH <sup>c</sup>	27,689	27,689	27,689	27,689	27,689	27,681
Mental Health Court MRJC Expansion <sup>d</sup>	24,322	24,322	24,322	24,322	24,322	24,322
Becca	29,478	29,478	29,478	29,478	29,478	29,478
Juvenile 10.101 <sup>e</sup>						
<b>ACA TOTAL</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,057</b>	<b>772,036</b>

<b>2012<sup>f</sup></b>	<b>Jan Payment</b>	<b>Feb Payment</b>	<b>Mar Payment</b>	<b>Apr Payment</b>	<b>May Payment</b>	<b>Jun Payment</b>	<b>GRAND TOTAL</b>
Felony (regular & 593s)	214,545	214,545	214,545	214,545	214,545	214,539	2,567,389
Felony (complex) <sup>a,b</sup>	61,298	61,298	61,298	61,298	61,298	61,299	733,538
Juvenile	61,298	61,298	61,298	61,298	61,298	61,299	733,538
King County Misdemeanor	71,107	71,107	71,107	71,107	71,107	71,101	850,906
Dependency	22,816	22,816	22,816	22,816	22,816	22,820	273,040
Contempt of Court (Non Child Support Enforcement)	408	408	408	408	408	411	4,888
Contempt of Court (Child Support Enforcement Calendar)	23,911	23,911	23,911	23,911	23,911	23,910	286,430
Calendar Staffing	58,402	58,402	58,402	58,402	58,402	58,399	698,719
<b>Agency Admin &amp; Overhead:</b>							
<i>Administration</i>	38,961	38,961	38,961	38,961	38,961	38,962	466,242
<i>Indirect Cost</i>	23,015	23,015	23,015	23,015	23,015	23,014	275,417
<i>Rent</i>	52,443	52,443	52,443	52,443	52,443	52,444	624,286
<b>Total Agency Administration and Overhead</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,419</b>	<b>114,420</b>	<b>1,365,945</b>
<b>Sub-Total General Fund</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,204</b>	<b>628,198</b>	<b>7,514,393</b>
Drug Diversion Court	67,096	67,096	67,096	67,096	67,096	67,104	800,804
Mental Health Court MIDD - KCCH <sup>c</sup>	27,909	27,909	27,909	27,909	27,909	27,912	333,583
Mental Health Court MRJC Expansion <sup>d</sup>	24,539	24,539	24,539	24,539	24,539	24,539	293,166
Becca	28,533	28,533	28,533	28,533	28,533	28,534	348,067
Juvenile 10.101 <sup>e</sup>							
<b>ACA TOTAL</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,281</b>	<b>776,287</b>	<b>9,290,013</b>

<sup>a</sup> Payment is made on a per credit basis for cases open during the month.

<sup>b</sup> This total amount is estimated in consideration of cases open at the beginning of the contract. The actual annual amount may be greater or lesser depending upon new case assignments and the timing of case closing for existing cases.

<sup>c</sup> Contingent upon MIDD funding level.

<sup>d</sup> Contingent upon District Court Expanded Mental Health Court commencing its operations and pro-rated based on level of operations. Includes direct, indirect and rent amounts.

<sup>e</sup> Public Defense Improvement Fund from the Washington State Office of Public Defense.

<sup>f</sup> Second six month payments (January through June) are contingent upon King County Council appropriation.

ATTACHMENT D

Closed Case Report

Period Covered: \_\_\_\_\_

Case Number	Client		Primary Attorney		Days from assignment to first contact	Disposition by OPD disposition codes	File Close Date*	Charge/Case Type				Hours				
	Last Name	First Name	Last Name	First Name				At Filing	At Disposition	Total Attorney	Invest.	Social Worker	Paralegal	Other Staff		

\*Completed cases shall be closed within 60 days of being completed as defined in this Contract.

## Closed Case Report Case Disposition Codes

Disposition Code	OPD Description
1	Appeal withdrawn
2	ARY/CHINS continued placement with further review
3	ARY/CHINS petition dismissed
4	ARY/CHINS returned home, continued for review
5	Assigned in error
6	Bail forfeiture
7	Bench warrant
8	Client deceased
9	COC dismissed
10	COC found, continued for review
11	COC not found, continued for review
12	Compromise of misdemeanor
13	Conditional discharge
14	Conflict of interest
15	Conflict with client
16	Continued without findings
17	Deferred disposition (juvenile)
18	Deferred prosecution
19	Dependency attorney withdrawn – lack of contact
20	Dependency found, dismissed
21	Dependency not found, dismissed
22	Discretionary decline granted
23	Dismissed with prejudice
24	Dismissed without prejudice
25	Diversion
26	Drug/Mental Health failed/revoked
27	Drug/Mental Health graduated/dismissed
28	Extradition case completed
29	Final discharge
30	Found incompetent, dismissed
31	Guardianship established
32	Guilty as charged – Bench
33	Guilty as charged – Jury
34	Guilty, reduced charge – Bench
35	Guilty, reduced charge – Jury
36	ITA agreed order, hospital
37	ITA agreed order, less restrictive conditions
38	ITA client entered treatment voluntarily
39	ITA client released, no petition filed
40	ITA court dismissal
41	ITA court ordered hospital

42	ITA court ordered less restrictive condition
43	ITA revocation less restrictive
44	ITA voluntary dismissal
45	Lost jurisdiction, juvenile turned 18
46	Material witness representation ended
47	Mistrial, hung jury
48	Mistrial, not hung jury
49	No violation found
50	Not guilty – Bench
51	Not guilty – Jury
52	Not guilty, insanity – Bench
53	Not guilty, insanity – Jury
54	Parental rights not reinstated
55	Parental rights reinstated
56	Plead guilty as charged
57	Plead guilty as charged, other charge(s) dismissed
58	Plead guilty as charged, other charge(s) reduced
59	Plead guilty to reduced charge, other charge(s) dismissed
60	Plead guilty to reduced charge, other charge(s) reduced
61	Plead guilty to reduced charges
62	Pro-se, attorney withdrawal
63	RALJ affirmed
64	RALJ reversed (any count)
65	RALJ, Government appeal affirmed
66	RALJ, Government appeal reversed
67	Retained attorney
68	Services rendered, e.g. sentencing, AOD, substantial advice
69	Stand-by counsel
70	Stipulated order of continuance (SOC)
71	Termination established
72	Termination not established
73	Transfer to Drug Court
74	Transfer to Mental Health Court
75	Truancy contempt dismissed, child relocated out of school district
76	Truancy contempt dismissed, contempt purged
77	Truancy contempt dismissed, youth aged out
78	Truancy contempt found, continued for review
79	Truancy contempt not found, continued
80	Truancy contempt not found, dismissed
81	Venue changed, withdraw
82	Violation found
83	Writ denied
84	Writ granted



**ATTACHMENT E  
NOTIFICATION OF WITHDRAWAL**

Date: \_\_\_\_\_

Client Name: \_\_\_\_\_

AKA: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

DOB: \_\_\_\_\_ JCN: \_\_\_\_\_

CCN: \_\_\_\_\_

Cause Number: \_\_\_\_\_

Charge: \_\_\_\_\_

Case Type:     Felony         Juvenile         Dependency         Civil Contempt  
                   KC Misdemeanor         ITA                     Other \_\_\_\_\_

Date Discovery Received: \_\_\_\_\_ Date Conflict Identified: \_\_\_\_\_

**REASON FOR WITHDRAWAL:**

Conflict of Interest         Other \_\_\_\_\_

Defendant Retained Private Counsel: **(Name of Attorney)** \_\_\_\_\_

**CONFLICT INFORMATION:**

This Agency's conflict is with: Name \_\_\_\_\_ DOB: \_\_\_\_\_

Cause Number: \_\_\_\_\_ Co-Defendant in Current Case?  Yes         No

Victim/Client                     Suspect/Client                     Witness/Client                     Other

Irreconcilable breakdown in client communication

**OTHER NAMES IN DISCOVERY:**

NAMES	DOB	STATUS IN CASE	REPRESENTATION HISTORY

SEE ATTACHED SHEET FOR ADDITIONAL LISTINGS

Client is  In-Custody  Out-of-Custody        Received Conflict From: \_\_\_\_\_

**HEARING SCHEDULE:**

Trial Date: \_\_\_\_\_ Next Hearing: \_\_\_\_\_ Type of Hearing: \_\_\_\_\_

Withdrawal Hearing Date: \_\_\_\_\_ *(Must be at least two full working days from this Notification of Withdrawal if trial date has been set. Agency must notify PA and co-defendant counsel, if any.)*

**LOCATION OF DISCOVERY:**

PA's Office                     Agency will forward to new counsel         Other \_\_\_\_\_

**REQUEST FOR CREDIT/DEBIT:**

Withdrawal within 14 days of assignment                     Substantial advice

One credit (explanation must be attached)                     Other \_\_\_\_\_ (explanation must be attached)

**This form filled out by:** \_\_\_\_\_ **Date:** \_\_\_\_\_ **APPROVED BY:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**OPD USE ONLY**

**OPD REASSIGNED TO:** \_\_\_\_\_ **INITIALS:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

FAX

Interoffice Mail

**ATTACHMENT F**

**ACA Persistent Offender (593) Monthly Case Report for cases assigned prior to July 1, 2009**

Client Name: \_\_\_\_\_  
 Cause No.: \_\_\_\_\_  
 Month/Year: \_\_\_\_\_  
 Attorney(s): \_\_\_\_\_

Week (Dates)	Attorney (1)	Attorney (2) (if assigned)	Total Atty.	Invest	Social Wkr.	Paralegal	Total Support	Total Week	Total to Date
/ to /	_____	_____	_____	_____	_____	_____	_____	_____	_____
/ to /	_____	_____	_____	_____	_____	_____	_____	_____	_____
/ to /	_____	_____	_____	_____	_____	_____	_____	_____	_____
/ to /	_____	_____	_____	_____	_____	_____	_____	_____	_____
<b>TOTAL</b>	_____	_____	_____	_____	_____	_____	_____	_____	_____

**NOTE:** 12.1 hours = 1.00 credit

**Abbreviations must be used to categorize attorney hours:**

- CC = Contact with Client**
- R = Legal Research**
- Ptr = Pretrial Hearing**
- Tr = Trial**
- S = Sentencing/Disposition**
- CP = Case Prep**
- C = Confer with attorney/investigator/social worker/paralegal**
- N/A = No second attorney assigned**

## ATTACHMENT G

### Attorney Monthly Billing

Description	Code
Arraignment / First Appearance	IC1
Case Setting / Status	IC2
Omnibus	IC3
Pretrial	IC4
Restitution Hearing	IC5
Motion Hearing	IC6
Trial (Court)	IC7
Trial (Jury)	IC8
Ex Parte	IC9
Competency Hearing	IC10
Probation Review / Modification	IC11
Waiting at Court	IC12
Bond / Bail / Release Hearing (include Quash Warrant)	IC13
Sentencing	IC14
72-Hour Shelter Hearing	IC15
30-Hour Shelter Hearing	IC16
Preliminary Hearing	IC17
Pretrial Calendar	IC18
Fact Finding	IC19
Disposition	IC20
Review Hearing	IC21
Permanency Plan Hearing	IC22
ITA 72-Hour Hearing	IC23
ITA 14-Day Hearing	IC24
ITA Trial	IC25
ITA Review	IC26
ITA 45-Day Hearing	IC27
Extradition Hearing	IC28
Post Trial Hearing: New Trial / Withdraw Plea	IC29
Diversion / Deferred Prosecution	IC30
RALJ Appeal Hearing	IC31

Description	Code
Conference - Client	OC1
Conference - Investigator	OC2
Conference - Other Staff	OC3
Conference - Probation Officer	OC4
Conference - Expert	OC5
Negotiation - Prosecutor / Attorney General	OC6
Telephone Conference - Client	OC7
Telephone Conference - Investigator	OC8
Telephone Conference - Other Staff	OC9
Telephone Conference - Expert	OC10
Telephone Conference - Court	OC11
Telephone Conference - Prosecutor / Attorney General	OC12
Telephone Conference - Other	OC13
Telephone Conference - Probation Officer	OC14
Documents / Pleading Preparation / Drafting	OC15
Letter - Client	OC16
Letter - Investigator	OC17
Letter - Court	OC18
Letter - Prosecutor / Attorney General	OC19
Letter - Expert	OC20
Letter - Other	OC21
Research	OC22
Hearing Preparation	OC23
Trial Preparation	OC24
Travel to Court	OC25
Witness Interview	OC26
Discovery Review	OC27
Travel to Jail	OC28
Defense Investigation Review	OC29
Pleadings Review	OC30
Mitigation Preparation	OC31
Conference - DSHS Caseworker	OC32
Conference - Court Staff	OC33
Conference - Other	OC34

<b>Contractor:</b>		<b>Date Submitted:</b>	
--------------------	--	------------------------	--

Case Number:	Case / Client Name:	593:	Attorney Name	Date of Service	Service Description Arraignment / First Appearance	Time	Comment
<b>TOTAL</b>						<b>0.0</b>	



## ATTACHMENT H

### King County Superior Court Drug Diversion Court

The King County Office of the Public Defender (OPD) has responsibility for ensuring dedicated defense services for the King County Superior Court Drug Diversion Court (DDC). Through a proposal process, the Associated Counsel for the Accused (ACA) defense agency is appointed to provide legal services to DDC defendants and, as such, has agreed to the following scope of work.

#### I. MISSION & GOALS

The DDC mission is to combine the resources of the criminal justice system, drug and alcohol treatment and other community service providers to compel the substance-abusing offender to address his or her substance abuse problem by providing an opportunity for treatment and holding the offender strictly accountable.

Goals of DDC program:

- A. Reduce substance use and related criminal activity.
- B. Enhance community safety.
- C. Reduce reliance on incarceration for non-violent drug dependant offenders.
- D. Hold drug dependent offenders accountable for their actions and decisions.
- E. Integrate substance abuse treatment with criminal justice case processing.
- F. Provide resources and support to assist the drug dependent offender in the acquisition of skills necessary for the maintenance of sobriety.
- G. Reduce the impact of drug related cases on criminal justice resources.
- H. Reward positive life changes while maintaining accountability for negative conduct.

The goal for ACA is to provide specialized legal defense services to work within the DDC to divert court eligible defendants. ACA shall be committed to advocating a treatment plan and support network that promotes the client's success and graduation in DDC.

#### II. STAFFING REQUIREMENTS

ACA will staff the DDC with 4.20 FTE attorneys each month of the Contract period, and as according to Attachment B, Calendar Attorney and Staff List, of this Contract.

- A. ACA shall assign a lead attorney who is experienced in working with eligible defendants to the DDC for a period of two years to assure consistency of experienced staff. Attorneys shall prepare cases for each calendar, including interviewing defendants in jail prior to their court appearance.
- B. ACA commits to assigning attorneys for a minimum of six months, structuring rotation in a timely manner that has the least negative impact to the DDC. This will ensure that the DDC has trained attorneys ready to practice in the DDC.



- C. In the event the assigned attorneys are not able to continue the assignment to the DDC (e.g. due to illness, resignation, personal issues), ACA will provide timely notification to the DDC and assign DDC trained attorneys to replace them.
- D. In the event that a dispute arises and the lead or back up attorneys do not fully discharge their duties in a professional manner, the DDC judge and coordinator/manager will speak first to the attorney(s) and their supervisor to resolve any disagreement and if that does not yield resolution, the ACA Managing Director will be notified and asked to intervene. If the matter is not resolved between the DDC judge and ACA, OPD will be notified to assist in dispute resolution.
- E. ACA commits to a minimum 30-day transition period when the lead attorney is leaving DDC practice.
- F. ACA shall provide 0.42 FTE Supervisor and 0.80 FTE Professional Support Staff each month of the Contract period for the DDC attorneys.

### III. PROTOCOLS

- A. The role of ACA defense attorneys in DDC is to ensure the defendant's legal rights are protected while encouraging the client's full participation in the program. ACA defense attorneys shall:
  - 1. Participate fully as a DDC team member.
  - 2. Meet with potential DDC clients to evaluate their interest and amenability to the program, adhering to the eligibility criteria.
  - 3. Advise a client of their legal rights, legal options, and potential sentencing outcomes.
  - 4. Actively monitor client progress in the DDC and discusses the long-term benefits of a drug-free lifestyle.
  - 5. Participate in a cooperative manner at drug court hearings and meetings and promotes a unified DDC team approach.
  - 6. Adhere to DDC policies, rules and procedures, including reminding participants of their agreements, the DDC rules and mandates and the need to accept consequences for deviation from DDC mandates.
- A. ACA attorneys shall comply with the King County Drug Diversion Court Policy and Procedures Manual, March 16, 2004 edition or its successor, and will abide by the Rule of Professional Conduct of the Washington State Bar Association. The ACA attorneys (and those assigned to DDC) shall assure expeditious integration of referral and assessment to the DDC.
  - 1. ACA commits to the standards of Professional Responsibility for new assignments when a case with an existing attorney is transferred to DDC.
  - 2. ACA commits to maintaining a referral process to assure expeditious referral from arraignment calendars.

3. Clients who transfer into DDC with a private attorney or an attorney from another defender agency may continue to be represented by their attorney or agency. These clients may be transferred to ACA through proper protocol of withdrawal and substitution of counsel procedures.
  4. ACA commits to seeking funding or services to support DDC client/defendant needs. This includes but is not limited to access to housing, treatment services, and/or transportation assistance.
- B. ACA and its attorneys shall continue the assignment on cases of DDC defendants through the length of jurisdiction (up to two years) and appear for all hearings.
  - C. Conflicts in the client/attorney assignment to ACA will be identified and addressed in the following manner: If the attorney identifies the conflict and the defendant wishes to proceed with ACA, the ACA attorney will obtain a waiver from the client. When necessary, the ACA attorney will examine it with the defendant and provide timely notification to the DDC, to OPD, and to the defendant.

#### **IV. METHOD OF COMPENSATION**

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ACA shall be reimbursed monthly according to Attachment C, Payment Schedule, Calendar Staffing, of Exhibit V of this Contract.

#### **V. REPORTING**

- A. ACA and its staff shall participate in future and ongoing evaluation efforts of OPD and the DDC and by affiliation with researchers. Required data may include output data (e.g., number of hearings, referrals, diversions, etc.) as well as outcomes data (e.g. recidivism), affirming that the data will not allow for identification of the defendants.
- B. ACA shall complete a monthly client tracking document in the format below, and submit it to OPD by the tenth working day of the subsequent month.

## King County Superior Court Drug Diversion Court Report

### Associated Counsel for the Accused

**Month:** \_\_\_\_\_

Case Number	Client		Primary Attorney*		Date of last hearing/transfer	Disposition *OPD disposition codes only	File Close date	Charge/Case Type				Hours									
	Last Name	First Name	Last Name	First Name				At Filing	At Disposition	Total Attorney	Invest.	Social Worker	Paralegal	Other Staff							

\*Primary Attorney indicates the agency-assigned attorney who performed primary case management.

## ATTACHMENT I

### District Court Mental Health Court

The King County Office of Public Defense (OPD) has responsibility for ensuring dedicated defense services for the King County District Court Mental Health Court (MHC). The Associated Counsel for the Accused (ACA) defense agency is appointed to provide legal services to MHC defendants and, as such, has agreed to the following scope of work.

#### I. GOAL

To provide specialized legal defense services to work within the MHC to divert defendants who are mentally ill or developmentally disabled and defendants who have a co-occurring disorder (mental illness and chemical abuse) out of jail and into treatment.

ACA attorneys and staff assigned to the MHC shall subscribe to a "defendant based" approach and endorse the MHC goals, philosophy, and principles, including working collaboratively with the MHC team, KCDC Probation, and the ongoing development of the court.

To successfully expand the scope of MHC in the directive and with the funds of the Mental Illness and Drug Dependency (MIDD) program. Funding and staffing will be consistent with the graduated implementation of this expansion.

#### II. STAFFING REQUIREMENTS

- A. ACA will staff the MHC with 2.50 FTE attorneys for the period of the Contract, and as according to Attachment B Calendar Attorney and Staff List.
1. ACA shall assign a lead attorney who is experienced in working with mentally ill misdemeanants to the MHC for a period of two years to assure consistency of experienced staff. The lead attorney will spend the morning preparing for the afternoon MHC calendar, including interviewing defendants in jail prior to their court appearance on the afternoon calendar. One FTE attorney shall be primarily assigned to the Agency's responsibilities in the MIDD MHC expansion project.
  2. ACA commits to assigning the "back up" attorney for a minimum of six months, structuring rotation in a timely manner that has the least negative impact to the MHC. This will ensure that the MHC has trained attorneys ready to practice in the MHC. The back up attorney will be responsible for the Monday and Friday morning jail calendars. Both the back up and the lead attorneys should be able to do contested competency hearings.
  3. In the event the assigned attorneys are not able to continue the assignment to the MHC (e.g. due to illness, resignation, personal issues), ACA will provide timely notification to the MHC as well as assigning trained attorneys to the MHC.
  4. In the event that a dispute arises and the lead or back up attorneys do not fully discharge their duties in a professional manner, the MHC judge and coordinator/manager will speak first to the attorney(s) to resolve any disagreement and if that does not work, the ACA director will be notified and asked to intervene.
  5. ACA commits to a minimum 30-day transition period when the lead attorney is leaving MHC practice.

6. ACA shall provide 0.25 FTE Supervisor each month for the period of the Contract for the MHC attorneys.
- B. ACA will also staff the MHC each month with 2.00 FTE social worker.
1. The social worker will be actively involved in newly identified referrals to MHC, providing substantial knowledge and perspective on the case as well as more informed decisions earlier in the process. This supports the MHC goal of early and active intervention.
  2. One FTE social worker shall be primarily assigned to the Agency's responsibilities in the MIDD MHC expansion project.
  3. ACA commits to a minimum 30-day transition period when the social worker is leaving MHC practice.
- C. July, August and September staffing will be adjusted consistent with funding level for MIDD expansion as listed in Attachment C.

### **III. PROTOCOLS**

- A. ACA and its attorneys shall address all hearings as defined in RCW 10.77 for MHC defendants, and will abide by the Rule of Professional Conduct of the Washington State Bar Association. The ACA attorneys (and those assigned to MHC) shall assure expeditious integration of referral and assessment to the MHC.
1. ACA commits to the standards of Professional Responsibility for new assignments when a case with an existing attorney is transferred to MHC.
  2. ACA commits to maintaining a referral process to assure expeditious referral from arraignment calendars.
  3. Clients who transfer into MHC with a private attorney or an attorney from another defender agency may continue to be represented by their attorney or agency. These clients may be transferred to ACA through proper protocol of withdrawal and substitution of counsel procedures.
  4. ACA commits to seeking funding or services to support MHC client/defendant needs. This includes but is not limited to access to housing, treatment services, and/or transportation assistance.
- B. ACA and its attorneys shall continue the assignment on cases of MHC defendants through the length of jurisdiction (up to two years) and appear for all hearings.
- C. Conflicts in the client/attorney assignment to ACA will be identified and addressed in the following manner: If the attorney identifies the conflict and the defendant wishes to proceed with ACA, the ACA attorney will obtain a waiver from the client. When necessary, the ACA attorney will examine it with the defendant and provide timely notification to the MHC, to OPD, and to the defendant.

**IV. REPORTING**

- A. ACA and its staff shall participate in future and ongoing evaluation efforts of OPD and the MHC. Required data may include output data (e.g., number of hearings, referrals, diversions, etc.) as well as outcomes data (e.g. recidivism), affirming that the data will not allow for identification of the defendants.
  - B. ACA shall complete a monthly client tracking document in the format below, and submit it to OPD by the tenth working day of the subsequent month.
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## King County District Court Mental Health Court Report

### Associated Counsel for the Accused

**Month:** \_\_\_\_\_

Case Number	Client		Primary Attorney*		Date of last hearing/transfer	Disposition *OPD Disposition codes	Charge/Case Type		Hours					
	Last Name	First Name	Last Name	First Name			At Filing	At Disposition	Total Attorney	Invest.	Social Worker	Paralegal	Other Staff	

\*Primary Attorney indicates the agency-assigned attorney who performed primary case management.