

Proposed No. 2014-0209.2

KING COUNTY

1200 King County Courthouse 516 Third Avenue Seattle, WA 98104

Signature Report

April 28, 2015

Ordinance 18028

Sponsors Hague

1	AN ORDINANCE relating to personnel policies; providing
2	that probationary periods are not applied to employees who
3	transfer to a position within their same classification, pay
4	range and department or agency unless the human
5	resources director or the director's designee first makes a
6	written finding that the essential functions of the new
7	position are substantially different from those of the
8	employee's previous position; and amending Ordinance
9	12014, Section 5, as amended, and K.C.C. 3.12.010 and
10	Ordinance 12014, Section 13, as amended, and K.C.C.
11	3.12.100.
12	BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:
13	SECTION 1. Findings:
14	A. A county employee is appointed to a career service position as a result of a
15	competitive selection process, and the appointment is final upon the successful
16	completion of a probationary period. The probationary period is used to determine
17	whether the probationary employee is qualified for the position. K.C.C. 3.12.100
18	provides that a probationary period is required for all promotions, demotions and

transfers. Until the employee has successfully completed the probationary period, the employee is "at will" and may be terminated without cause.

B. The probationary period has been applied to county employees upon initial employment, reemployment and movement to different career service positions, including lateral transfers to different positions within their same classification, pay range and department or agency.

C. However, when a career service employee laterally transfers to a different position that is within the employee's same classification, pay range and department or agency and has essential functions that are not substantially different from those of the position from which the employee transferred, the probationary period should not be applied. This is because the employee has previously engaged in a competitive selection process and demonstrated proficiency in the classification, pay range and department or agency. This ordinance provides that a probationary period will not be applied in these circumstances unless human resources director or the director's designee first makes a written finding that the essential functions of the new position are substantially different from those of the employee's previous position, thereby justifying a probationary period.

SECTION 2. Ordinance 12014, Section 5, as amended, and K.C.C. 3.12.010 are each hereby amended to read as follows:

For the purposes of this chapter, all words shall have their ordinary and usual meanings except those defined in this section which shall have, in addition, the following meanings. In the event of conflict, the specific definitions set forth in this section shall presumptively, but not conclusively, prevail.

A.1. "Administrative interns" means employees who are:

42	a. enrolled full-time during the regular school year in a program of education,
43	internship or apprenticeship; or
44	b. veterans temporarily working to gain practical workforce experience.
45	2. All administrative internships in executive departments shall be approved by
46	the manager. Administrative interns are exempt from the career service under Section
47	550 of the charter.
48	B. "Appointing authority" means the county council, the executive, chief officers
49	of executive departments and administrative offices, or division managers having
50	authority to appoint or to remove persons from positions in the county service.
51	C. "Basis of merit" means the value, excellence or superior quality of an
52	individual's work performance, as determined by a structured process comparing the
53	employee's performance against defined standards and, where possible, the performance
54	of other employees of the same or similar class.
55	D. "Board" means the county personnel board established by Section 540 of the
56	charter.
57	E. "Budgetary furlough" means a circumstance in which projected county
58	revenues are determined to be insufficient to fully fund county agency operations and, in
59	order either to achieve budget savings or to meet unallocated budget reductions, which
60	are commonly known as contras, or both, cost savings may be achieved through
61	reduction in days or hours of service, resulting in placing an employee for one or more
62	days in a temporary furlough status without duties and without pay.

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- F. "Career service employee" means a county employee appointed to a career service position as a result of the selection procedure provided for in this chapter, and who has completed the probationary period.
- G. "Career service position" means all positions in the county service except for those that are designated by Section 550 of the charter as follows: all elected officers; the county auditor, the clerk and all other employees of the county council; the county administrative officer; the chief officer of each executive department and administrative office; the members of all boards and commissions; the chief economist and other employees of the office economic and financial analysis; the chief economist and other employees of the office of economic and financial analysis; administrative assistants for the executive and one administrative assistant each for the county administrative officer, the county auditor, the county assessor, the chief officer of each executive department and administrative office and for each board and commission; a chief deputy for the county assessor; one confidential secretary each for the executive, the chief officer of each executive department and administrative office, and for each administrative assistant specified in this section; all employees of those officers who are exempted from the provisions of this chapter by the state constitution; persons employed in a professional or scientific capacity to conduct a special inquiry, investigation or examination; part-time and temporary employees; administrative interns; election precinct officials; all persons serving the county without compensation; physicians; surgeons; dentists; medical interns; and student nurses and inmates employed by county hospitals, tuberculosis sanitariums and health departments of the county.

time and one-half.

85	Divisions in executive departments and administrative offices as determined by
86	the county council shall be considered to be executive departments for the purpose of
87	determining the applicability of Section 550 of the charter.
88	All part-time employees shall be exempted from career service membership
89	except, all part-time employees employed at least half time or more, as defined by
90	ordinance, shall be members of the career service.
91	H. "Charter" means the King County Charter, as amended.
92	I. "Child" means a biological, adopted or foster child, a stepchild, a legal ward or
93	a child of an employee standing in loco parentis to the child, who is:
94	1. Under eighteen years of age; or
95	2. Eighteen years of age or older and incapable of self care because of a mental
96	or physical disability.
97	J. "Class" or "classification" means a position or group of positions, established
98	under authority of this chapter, sufficiently similar in respect to the duties, responsibilitie
99	and authority thereof, that the same descriptive title may be used to designate each
100	position allocated to the class.
101	K. "Classification plan" means the arrangement of positions into classifications
L02	together with specifications describing each classification.
103	L. "Compensatory time" means time off granted with pay in lieu of pay for work
L04	performed either on an authorized overtime basis or work performed on a holiday that is
105	normally scheduled as a day off. Such compensatory time shall be granted on the basis of

a county employee.

107	M. "Competitive employment" means a position established in the county budget
108	and that requires at least twenty-six weeks of service per year as the work schedule
109	established for the position.
110	N. "Council" means the county council as established by Article 2 of the charter.
111	O. "County" means King County and any other organization that is legally
112	governed by the county with respect to personnel matters.
113	P. "Developmental disability" means a developmental disability, as defined in
114	RCW 71A.10.020(2), as amended, attributable to mental retardation, cerebral palsy,
115	epilepsy, autism or other neurological or other condition of an individual found by the
116	secretary of the Washington state Department of Social and Health Services, or the
117	secretary's designee, to be closely related to mental retardation or to require treatment
118	similar to that required for individuals with mental retardation, which disability originates
119	before the individual attains age eighteen, that has continued or can be expected to
120	continue indefinitely and that constitutes a substantial handicap for the individual.
121	Q. "Direct cost" means the cost aggregate of the actual weighted average cost of
122	insured benefits, less any administrative cost therefor. Any payments to part-time and
123	temporary employees under this chapter shall not include any administrative overhead
124	charges applicable to administrative offices and executive departments.
125	R. "Director" means the manager of the human resources management division.
126	S. "Division" means the human resources management division or its successor
127	agency.
128	T. "Domestic partners" are two people in a domestic partnership, one of whom is

130	U. "Domestic partnership" is a relationship whereby two people:
131	1. Have a close personal relationship;
132	2. Are each other's sole domestic partner and are responsible for each other's
133	common welfare;
134	3. Share the same regular and permanent residence;
135	4. Are jointly responsible for basic living expenses which means the cost of
136	basic food, shelter and any other expenses of a domestic partner that are paid at least in
137	part by a program or benefit for which the partner qualified because of the domestic
138	partnership. The individuals need not contribute equally or jointly to the cost of these
139	expenses as long as they agree that both are responsible for the cost;
140	5. Are not married to anyone;
141	6. Are each eighteen years of age or older;
142	7. Are not related by blood closer than would bar marriage in the state of
143	Washington;
144	8. Were mentally competent to consent to contract when the domestic
145	partnership began.
146	V. "Employed at least half time or more" means employed in a regular position
147	that has an established work schedule of not less than one-half the number of hours of the
148	full-time positions in the work unit in which the employee is assigned, or when viewed
149	on a calendar year basis, nine hundred ten hours or more in a work unit in which a work
150	week of more than thirty-five but less than forty hours is standard or one thousand forty
151	hours or more in a work unit in which a forty hour work week is standard. If the standard

work week hours within a work unit varies (employees working both thirty five and forty

153	hours) the manager, in consultation with the department, is responsible for determining
154	what hour threshold applies.
155	W. "Employee" means any person who is employed in a career service position
156	or exempt position.
157	X. "Executive" means the county executive, as established by Article 3 of the
158	charter.
159	Y. "Exempt employee" means an employee employed in a position that is not a
160	career service position under Section 550 of the charter. Exempt employees serve at the
161	pleasure of the appointing authority.
162	Z. "Exempt position" means any position excluded as a career service position by
163	Section 550 of the charter. Exempt positions are positions to which appointments may be
164	made directly without a competitive hiring process.
165	AA. "Full-time regular employee" means an employee employed in a full-time
166	regular position and, for full-time career service positions, is not serving a probationary
167	period.
168	BB. "Full-time regular position" means a regular position that has an established
169	work schedule of not less than thirty-five hours per week in those work units in which a
170	thirty-five hour week is standard, or of not less than forty hours per week in those work
171	units in which a forty-hour week is standard.
172	CC. "Furlough day" means a day for which an employee shall perform no work
173	and shall receive no pay due to an emergency budget crisis necessitating emergency
17/1	hudget furloughs

or its successor agency.

175	DD. "Furloughed employee" means an employee who is placed in a temporary
176	status without duties and without pay due to a financial emergency necessitating budget
177	reductions.
178	EE. "Grievance" means an issue raised by an employee relating to the
179	interpretation of rights, benefits, or condition of employment as contained in either the
180	administrative rules or procedures, or both, for the career service.
181	FF. "Immediate family" means spouse, child, parent, son-in-law, daughter-in-
182	law, grandparent, grandchild, sibling, domestic partner and the child, parent, sibling,
183	grandparent or grandchild of the spouse or domestic partner.
184	GG. "Incentive increase" means an increase to an employee's base salary within
185	the assigned pay range, based on demonstrated performance.
186	HH. "Integrated work setting" means a work setting with no more than eight
187	persons with developmental disabilities or with the presence of a sensory, mental or
188	physical handicap as specified in K.C.C. 3.12.180. This definition refers to all county
189	offices, field locations and other work sites at which supported employees work
190	alongside employees who are not persons with development disabilities employed in
191	permanent county positions.
192	II. "Life-giving and life-saving procedures" means a medically-supervised
193	procedure involving the testing, sampling, or donation of blood, organs, fluids, tissues
194	and other human body components for the purposes of donation without compensation to
195	a person for a medically necessary treatment.
196	JJ. "Manager" means the manager of the human resources management division

KK. "Marital status" means the presence or absence of a marital relationship and includes the status of married, separated, divorced, engaged, widowed, single or cohabiting.

LL. "Part-time employee" means an employee employed in a part-time position.

Under Section 550 of the charter, part-time employees are not members of the career service.

MM. "Part-time position" means an other than a regular position in which the part-time employee is employed less than half time, that is less than nine hundred ten hours in a calendar year in a work unit in which a thirty-five hour work week is standard or less than one thousand forty hours in a calendar year in a work unit in which a forty-hour work week is standard, except as provided elsewhere in this chapter. Where the standard work week falls between thirty-five and forty hours, the manager, in consultation with the department, is responsible for determining what hour threshold will apply. Part-time position excludes administrative intern.

NN. "Part-time regular employee" means an employee employed in a part-time regular position and, for part-time career service positions, is not serving a probationary period. Under Section 550 of the charter, such part-time regular employees are members of the career service.

OO. "Part-time regular position" means a regular position in which the part-time regular employee is employed for at least nine hundred ten hours but less than a full-time basis in a calendar year in a work unit in which a thirty-five hour work week is standard or for at least one thousand forty hours but less than a full-time basis in a calendar year in a work unit in which a forty-hour work week is standard. Where the standard work week

221	falls between thirty-five and forty hours, the manager, in consultation with the
222	department, is responsible for determining what hour threshold will apply.
223	PP. "Pay plan" means a systematic schedule of numbered pay ranges with
224	minimum, maximum and intermediate steps for each pay range, a schedule of assignment
225	of each classification to a numbered pay range and rules for administration.
226	QQ. "Pay range" means one or more pay rates representing the minimum,
227	maximum and intermediate steps assigned to a classification.
228	RR. "Pay range adjustment" means the adjustment of the numbered pay range of
229	a classification to another numbered pay range in the schedule based on a classification
230	change, competitive pay data or other significant factors.
231	SS. "Personnel guidelines" means only those operational procedures promulgated
232	by the manager necessary to implement personnel policies or requirements previously
233	stipulated by ordinance or the charter. Such personnel guidelines shall be applicable only
234	to employees assigned to executive departments and administrative agencies.
235	TT. "Position" means a group of current duties and responsibilities assigned by
236	competent authority requiring the employment of one person.
237	UU. "Probationary employee" means an employee serving a probationary period
238	in a regular career service. Probationary employees are temporary employees and
239	excluded from career service under Section 550 of the charter.
240	VV. "Probationary period" means a period of time, as determined by the
241	((manager)) director, ((constituting the final step in the competitive screening process for
242	career service or for promotion from one career service position to another. An
243	appointment to the career service, whether following successful completion of an initial

244	probationary period of county employment or a promotional probationary period, shall
245	not be final unless the employee successfully completes this probationary period)) for
246	assessing whether an individual is qualified for a career service position to which the
247	employee has been newly appointed or has moved from another position, whether
248	through promotion, demotion or transfer, except as provided in K.C.C. 3.12.100.
249	WW. "Probationary period salary increase" means a within-range salary increase
250	from one step to the next highest step upon satisfactory completion of the probationary
251	period.
252	XX. "Promotion" means the movement of an employee to a position in a
253	classification having a higher maximum salary.
254	YY. "Provisional appointment" means an appointment made in the absence of a
255	list of candidates certified as qualified by the manager. Only the manager may authorize
256	a provisional appointment. An appointment to this status is limited to six months.
257	ZZ. "Provisional employee" means an employee serving by provisional
258	appointment in a regular career service. Provisional employees are temporary employees
259	and excluded from career service under Section 550 of the charter.
260	AAA. "Recruiting step" means the first step of the salary range allocated to a
261	class unless otherwise authorized by the executive.
262	BBB. "Regular position" means a position established in the county budget and
263	identified within a budgetary unit's authorized full time equivalent (FTE) level as set out
264	in the budget detail report.

265	CCC. "Salary or pay rate" means an individual dollar amount that is one of the
266	steps in a pay range paid to an employee based on the classification of the position
267	occupied.
268	DDD. "Section" means an agency's budget unit comprised of a particular project
269	program or line of business as described in the budget detail plan for the previous fiscal
270 ⁻	period as attached to the adopted appropriation ordinance or as modified by the most
271	recent supplemental appropriations ordinance. This definition is not intended to create an
272	organization structure for any agency.
273	EEE. "Serious health condition" means an illness or injury, impairment or
274	physical or mental condition that involves one or more of the following:
275	1. An acute episode that requires more than three consecutive calendar days of
276	incapacity and either multiple treatments by a licensed health care provider or at least one
277	treatment plus follow-up care such as a course of prescription medication; and any
278	subsequent treatment or period of incapacity relating to the same condition;
279	2. A chronic ailment continuing over an extended period of time that requires
280	periodic visits for treatment by a health care provider and that has the ability to cause
281	either continuous or intermittent episodes of incapacity;
282	3. In-patient care in a hospital, hospice or residential medical care facility or
283	related out-patient follow-up care;
284	4. An ailment requiring multiple medical interventions or treatments by a health
285	care provider that, if not provided, would likely result in a period of incapacity for more
286	than three consecutive calendar days;

- 5. A permanent or long-term ailment for which treatment might not be effective but that requires medical supervision by a health care provider; or
 - 6. Any period of incapacity due to pregnancy or prenatal care.
- FFF. "Temporary employee" means an employee employed in a temporary position and in addition, includes an employee serving a probationary period or is under provisional appointment. Under Section 550 of the charter, temporary employees shall not be members of the career service.
- GGG. "Temporary position" means a position that is not a regular position as defined in this chapter and excludes administrative intern. Temporary positions include both term-limited temporary positions as defined in this chapter and short-term (normally less than six months) temporary positions in which a temporary employee works less than nine hundred ten hours in a calendar year in a work unit in which a thirty-five hour work week is standard or less than one thousand forty hours in a calendar year in a work unit in which a forty hour work week is standard, except as provided elsewhere in this chapter. Where the standard work week falls between thirty-five and forty hours, the manager, in consultation with the department, is responsible for determining what hour threshold will apply.
- HHH. "Term-limited temporary employee" means a temporary employee who is employed in a term-limited temporary position. Term-limited temporary employees are not members of the career service. Term-limited temporary employees may not be employed in term-limited temporary positions longer than three years beyond the date of hire, except that for grant-funded projects capital improvement projects and information systems technology projects the maximum period may be extended up to five years upon

approval of the manager. The manager shall maintain a current list of all term-limited temporary employees by department.

- III. "Term-limited temporary position" means a temporary position with work related to a specific grant, capital improvement project, information systems technology project or other nonroutine, substantial body of work, for a period greater than six months. In determining whether a body of work is appropriate for a term-limited temporary position, the appointing authority will consider the following:
- 1. Grant-funded projects: These positions will involve projects or activities that are funded by special grants for a specific time or activity. These grants are not regularly available to or their receipt predictable by the county;
- 2. Information systems technology projects: These positions will be needed to plan and implement new information systems projects for the county. Term-limited temporary positions may not be used for ongoing maintenance of systems that have been implemented;
- 3. Capital improvement projects: These positions will involve the management of major capital improvement projects. Term-limited temporary positions may not be used for ongoing management of buildings or facilities once they have been built;
- 4. Miscellaneous projects: Other significant and substantial bodies of work may be appropriate for term-limited temporary positions. These bodies of work must be either nonroutine projects for the department or related to the initiation or cessation of a county function, project or department;
- 5. Seasonal positions: These are positions with work for more than six consecutive months, half-time or more, with total hours of at least nine hundred ten in a

calendar year in a work unit in which a thirty-five hour work week is standard or at least one thousand forty hours in a calendar year in a work unit in which a forty hour work week is standard, that due to the nature of the work have predictable periods of inactivity exceeding one month. Where the standard work week falls between thirty-five and forty hours, the manager, in consultation with the department, is responsible for determining what hour threshold will apply; and

6. Temporary placement in regular positions: These are positions used to back fill regular positions for six months or more due to a career service employee's absence such as extended leave or assignment on any of the foregoing time-limited projects.

All appointments to term-limited temporary positions will be made by the appointing authority in consultation with the manager before the appointment of term-limited temporary employees.

JJJ. "Volunteer for the county" means an individual who performs service for the county for civic, charitable or humanitarian reasons, without promise, expectation or receipt of compensation from the county for services rendered and who is accepted as a volunteer by the county, except emergency service worker volunteers as described by chapter 38.52 RCW. A "volunteer for the county" may receive reasonable reimbursement of expenses or an allowance for expenses actually incurred without losing his or her status as a volunteer. "Volunteer for the county" includes, but is not limited to, a volunteer serving as a board member, officer, commission member, volunteer intern or direct service volunteer.

	KKK. "Volunteer intern" means volunteers who are also enrolled full-time during
	the regular school year in a program of education, internship or apprenticeship who are
,	receiving scholastic credit or scholastic recognition for participating in the internship.
	LLL. "Work study student" means a student enrolled or accepted for enrollment
	at a post-secondary institution who, according to a system of need analysis approved by

at a post-secondary institution who, according to a system of need analysis approved by the higher education coordinating board, demonstrates a financial inability, either parental, familial or personal, to bear the total cost of education for any semester or quarter.

SECTION 3. Ordinance 12014, Section 13, as amended, and K.C.C. 3.12.100 are each hereby amended to read as follows:

A. There shall be a probationary period during which time a probationary employee shall be evaluated by the appointing authority to determine qualification for entry into the career service. Except as otherwise provided in this section, an individual's appointment, promotion, demotion or transfer to a career service position is not final unless the employee successfully completes the probationary period. The probationary period shall be determined by the director, but shall be not less than six months or more than one year of actual service, and shall be served by those employees who have been newly hired($(\frac{1}{2})$) or reemployed ($(\frac{1}{2})$ transferred to a different position, or promoted or demoted.)) or have moved from another career service position, whether through promotion, demotion or transfer except:

1. A furloughed employee's probationary period shall not be extended as a result of a budgetary furlough; and

2. A career service employee who transfers to a position within the employee's
same classification, pay range and department or agency shall not be required to serve a
probationary period unless the director of the human resources division or its successor,
or the director's designee, makes a written finding, in advance of the transfer, that the
essential functions of the new position are substantially different from those of the
employee's previous position, taking into consideration: the specific duties of the
position; the work setting; the skills, training, and experience needed; the level of
available support and supervision; and any other factors the director or designee deems
relevant.

B. A probationary employee may be separated from county service at any time during the probationary period without right of appeal to the personnel board. Notwithstanding any other provisions of this section, an employee who does not successfully complete the probationary period in a position to which he or she had been promoted or transferred may be restored to his or her former position. Such a restoration is not mandatory, but is optional at the discretion of the former appointing authority within the limits of available authorized positions. Such a restoration shall include restoration of the employee's former salary and all other benefits to which he or she would have been entitled if the promotion or transfer had not occurred.

<u>SECTION 4.</u> Severability. If any provision of this ordinance or its application

to any person or circumstance is held invalid, the remainder of the ordinance or the application of the provision to other persons or circumstances is not affected.

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Ordinance 18028 was introduced on 6/30/2014 and passed by the Metropolitan King County Council on 4/27/2015, by the following vote:

Yes: 6 - Mr. Phillips, Mr. Gossett, Ms. Hague, Ms. Lambert, Mr. McDermott and Mr. Dembowski

No: 0

Excused: 3 - Mr. von Reichbauer, Mr. Dunn and Mr. Upthegrove

KING COUNTY, WASHINGTON

County, WASHINGTON

Larry Phillips, Chair

ATTEST:

Anne Noris, Clerk of the Council

APPROVED this 6 day of 7, 2015

Dow Constantine, County Executive

Attachments: None